

# 6G for Connected Sky

## “6G-SKY”

### Work Package 2:

#### Multi-Technology Connectivity Links

#### Deliverable D2.1:

A2A, A2G, NTN HAPs, and NTN Satellite Links for 6G

#### 6G-SKY Project:

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## Abstract

This deliverable provides analysis of selected 6G links for air-to-air (A2A), air-to-ground (A2G), high altitude platform stations (HAPS), and non-terrestrial networks (NTN) satellite link communications. This report includes analysis of NTN satellite links from low earth orbit (LEO), medium earth orbit (MEO) and geostationary earth orbit (GEO) satellites serving as HAPS backhaul link. Analysis of links from HAPS to ground users focuses on providing coverage on rural areas, while analysis of A2G links between terrestrial base station and airborne users focuses on personal aerial vehicles and unmanned aerial vehicles (UAVs). A2A links are analyzed on the context of multi-technology links to serve UAVs. This report also analyzes free-space optics for use in Inter Satellite Links (ISL), Inter HAPS Links, links between satellites and HAPS and orbit-to-ground links (also called Direct To Earth (DTE)).

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- Ericsson AB, Sweden (TL)
- KTH
- Fraunhofer
- Airbus
- Ericsson Antenna Systems, Germany (TL)

**6G-SKY, Work Package 2: Multi-Technology Connectivity Links****Task T2.1:** High capacity 6G A2A and A2G links enabled by mix of radio technologies**Task T2.3:** NTN HAP and satellite links

D2.1: A2A, A2G, NTN HAPs, and NTN Satellite Links for 6G

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6G-SKY

Deliverable 2.1: A2A, A2G, NTN HAPs, and NTN Satellite Links for 6G

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## Document History

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## Executive Summary

This deliverable provides analysis of selected 6G links for air-to-air (A2A), air-to-ground (A2G) and non-terrestrial networks (NTN) such as satellite and high altitude platform stations (HAPS) link communications.

The various links in a 3D architecture of combined Airspace and NTN (ASN) must overcome different challenges to satisfy the emerging new services provided by both terrestrial networks (TN) and NTN. This report defines and describes best link parameters and antenna systems for various communication channels.

First, this deliverable presents an overview of links present in a 3D network architecture, highlighting selected links that are analyzed in the subsequent sections. An overview of existing and envisioned spectrum candidates is also included for the multiple bands to be considered for each link. For selected links in the 3D architecture, a discussion on key performance indicators and performance characteristics is considered, with a focus on providing realistic values.

This report includes analysis of NTN satellite links from low earth orbit (LEO), medium earth orbit (MEO) and geostationary earth orbit (GEO) satellites with a focus on serving ground users. Analysis of links from HAPS to ground users focuses on providing mobile broadband coverage for rural areas. A2G links between terrestrial base station and airborne users are studied with a focus on personal aerial vehicles, like flying taxis, and unmanned aerial vehicles (UAVs). A2A links are analyzed on the context of multi-technology links to serve UAVs. This report also analyzes free-space optics for use in Inter Satellite Links (ISL), Inter HAP Links, and HAPS and orbit-to-ground links (also called Direct To Earth (DTE)).

New technological advancements are needed to enable ubiquitous coverage by a 3D network architecture. These technological advancements cover several aspects of telecommunication networks, including development of new antenna technology, identifying potential spectrum candidates for 6G, and ensure efficient use of spectrum by using best link parameters to connect aerial users and platforms. Enhancement to resilience for end users can be obtained by using multi-link connectivity. To satisfy capacity requirements, free-space optics technology can be used for several NTN link, like inter-satellite links and feeder links.

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## Glossary

List of acronyms with alphabetical order.

|       |  |
|-------|--|
| 3GPP  | Third Generation Partnership Project   |
| 5G    | Fifth-generation of cellular networks  |
| 6G    | Sixth-generation of cellular networks  |
| A2A   | Air to air                             |
| A2G   | Air to ground                          |
| AAS   | Advanced antenna system                |
| ASN   | Airspace and NTN                       |
| AUE   | Aerial UE                              |
| AV    | Aerial vehicles                        |
| BS    | Base station                           |
| CNR   | Carrier to Noise Ratio                 |
| CoMP  | Coordinated multi-point                |
| CSI   | Channel state information              |
| DA2G  | Direct air to ground                   |
| DL    | Downlink                               |
| DTE   | Direct To Earth                        |
| E2E   | End to end                             |
| EIRP  | Effective isotropic radiated power     |
| eVTOL | Electric vertical take-off and landing |
| FDD   | Frequency division duplexing           |
| FSO   | Free space optics                      |
| FWA   | Fixed wireless access                  |
| GEO   | Geostationary Earth orbit              |
| HAPS  | High-altitude platform station         |
| HIBS  | HAPS as IMT base station               |
| IoT   | Internet of Things                     |
| ITU   | International Telecommunications Union |
| KPI   | Key performance indicator              |

## CELTIC-Next 6G-SKY project Deliverable 2.1 v1.0

|      |  |
|------|--|
| LB   | Link Budget                            |
| LEO  | Low Earth orbit                        |
| LOS  | Line of sight                          |
| MEO  | Medium Earth Orbit                     |
| MIMO | Multiple-input multiple-output         |
| NLOS | Non-line of sight                      |
| NR   | New Radio                              |
| NTN  | Non-terrestrial networks               |
| SAR  | Specific Absorption Rate               |
| SINR | Signal to interference and noise ratio |
| TDD  | Time division duplexing                |
| TN   | Terrestrial networks                   |
| UAM  | Urban Air Mobility                     |
| UAV  | Unmanned aerial vehicle                |
| UE   | User equipment                         |
| UL   | Uplink                                 |
| UTM  | Unmanned traffic management            |
| WP   | Work package                           |
| WRC  | World Radio Conference                 |

# 1 Introduction

The 6G-Sky project aims at solutions to enable reliable and robust connectivity for aerial and ground users via flexible and adaptive network architecture adopting multiple technologies such as satellites, high altitude platform stations as International Mobile Telecommunications base stations (HIBS), direct air to ground communication (DA2GC) etc. In addition, this project focuses on novel wireless network design and management schemes in 3D space including different types of flying vehicles with their unique requirements. Another focus is to provide robust, low latency and/or high-capacity communications to ground users in the rural areas without any infrastructure via non terrestrial networks (NTNs), which are already initially introduced in 5G [1].

Selected sets of communication technologies used in the multi-layered 3D network architecture are evaluated and the main goal of this work package (WP2) is to define and proof the best link parameters and antenna systems for various communication channels. Link design parameters, particularly capacity, delay, reliability, and availability, are investigated respectively to the links' end points, i.e., on board satellites, HAPS, aircraft, drones/electric vertical take-off and landing vehicles (eVTOLs), and on ground. In addition, prototypes of ground and airborne antennas are designed, developed and assessed.

## 1.1 Objective of the document

This document gives an overview of all possible communication links in the multi-layered 3D network as depicted on the 6G-Sky reference architecture [Figure 1]. A set of links have been selected based on WP2 partners interest and for this highlighted subset, a detailed study is presented.

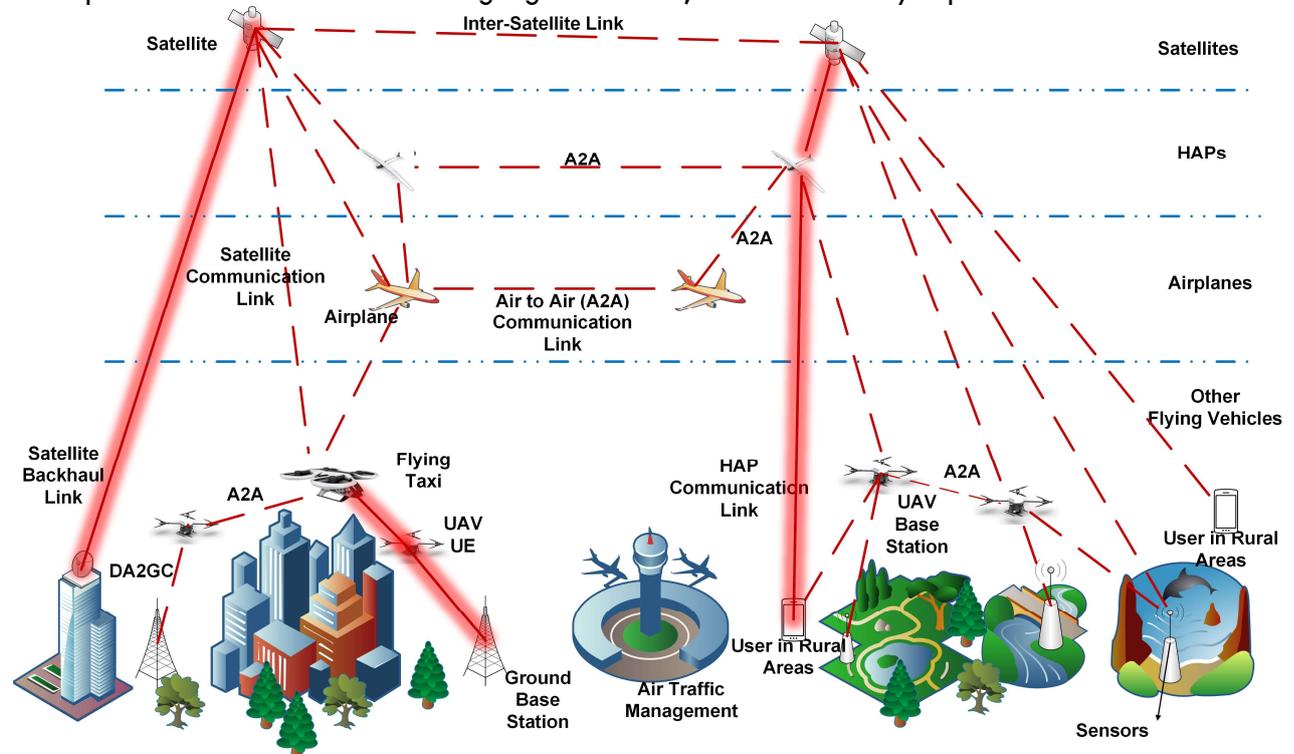


Figure 1 3D Network Architecture

This deliverable has the following main objectives:

- Identification of links of interest, including their foreseen frequency bands.

- Set target key performance indicators (KPIs) and system parameters for selected links.
- Detailed Link budget (LB) calculations and performance analysis.

## 2 Communication links for a connected sky

This section provides an overview of the links of interest and how do these fit within the context of combined ASN, and identifies spectrum candidates for the respective links.

Table 1 describes usage and role of various aerial and ground elements in a 3D architecture as depicted in Figure 1.

|                                    | Typical Use case  | Typical Role    | Technology   | Remarks   |
|------------------------------------|---|-----------------|--|---|
| <b>GEO/LEO/MEO</b>                 | Backhaul for extended networks<br>Direct-to-device, as standardized in 3GPP since Rel-17      | backhaul for BS | RF, FSO  | Free Space Optics (FSO)   |
| <b>HAPS</b>                        | Broadband   | HBS             | RF, FSO  | RF for UE link, RF/FSO for backhaul   |
| <b>Aircraft (Airliner)</b>         | Broadband   | UE              | RF   | Typically, Inflight Connectivity  |
| <b>H/C, eVTOL, UAV, Small UAVs</b> | Remote UAV controller through High-Definition video; High-Definition patrol and laser mapping | UE              | Key technologies for connectivity are massive MIMO and new spectrum with large bandwidth | Main challenges are inter-cell interference when served by terrestrial networks, channel aging due to 3D high mobility of airborne vehicles, and coexistence with NTN satellite, radar and other existing services. |
| <b>Terrestrial UE</b>              | Broadband   | UE              | RF, based on 3GPP standards  |   |
| <b>Terrestrial BS</b>              | Provide connectivity at ground level and near ground  | BS              | RF, massive MIMO, large bandwidth  |   |

|                       |                                   |          |    |                               |
|-----------------------|-----------------------------------|----------|----|-------------------------------|
| <b>Ground Station</b> | Provides feeder link connectivity | Backhaul | RF | Satellite or HAPS feeder link |
|-----------------------|-----------------------------------|----------|----|-------------------------------|

Table 1 Usage and roles of aerial and ground elements

Links present in the 3D network architecture can be summarized in Table 2, where the links included in this document are highlighted in green. Furthermore, partners' names are indicated by each link based on their area of interest and for which a detailed study has been performed in chapter 4.

|  |   | Counterpart "Node_2" terminating the link |            |            |              |                |               |                |                |                |
|--|---|---|------------|------------|--------------|----------------|---------------|----------------|----------------|----------------|
|  |   | GEO                                       | LEO/MEO    | HAPS       | A/C          | eVTOL, UAV, HC | Small UAV's   | Terrestrial UE | Terrestrial BS | Ground Station |
| <b>"Node_1" Antenna/Link of interest</b> | <b>GEO</b>  |   |            | DT, Airbus | Airbus       | Airbus         | Airbus        |                |                | FhG            |
|  | <b>LEO/MEO</b>                                    |   | FhG        | DT, Airbus | Airbus       | KTH, Airbus    | KTH, Airbus   | DT, KTH        |                | FhG            |
|  | <b>HAPS</b>                                       | DT, Airbus                                | DT, Airbus | DT         | DT           | DT, KTH        | DT, KTH       | DT, KTH        | DT             | DT             |
|  | <b>A/C</b>  | Airbus                                    | Airbus     | DT         |              |                |               |                |                | DT             |
|  | <b>H/C, eVTOL, UAV</b>                            | Airbus                                    | Airbus     | DT         |              | KTH            | KTH           |                | EAB, EAG, KTH  |                |
|  | <b>Small UAV's</b>                                | Airbus                                    | Airbus     | DT         |              | KTH            | KTH           |                | EAB, EAG, KTH  |                |
|  | <b>Terrestrial UE</b>                             |   | DT         | DT         |              |                |               |                |                |                |
|  | <b>Terrestrial BS (incl. dedicated uptilt BS)</b> |   |            | DT         |              | EAB, EAG, KTH  | EAB, EAG, KTH |                |                |                |
|  | <b>Ground Station</b>                             | FhG                                       | FhG        | DT         | DT, EAG, KTH |                |               |                |                |                |

Table 2 Communications links matrix

Table 3 provides an overview of spectrum candidates that are either already commonly used or are envisioned to be used in the future. It is noted that a multitude of different bands can be considered for each link. For example, a HAPS-to-terrestrial user link can already use 3GPP bands on sub-6 GHz (FR1) for handset type of UEs [2]. FR3 (7-24 GHz) is a potential new spectrum candidate for this link, for which several studies exist and which will be further covered in WRC-27. Both aforementioned bands are included in the detailed analysis in chapter 4, while Q/V band is envisioned for different UE type and different use case and therefore is not included in the analysis (ref. Table 3)

| Color legend:                     |                 | Counterpart "Node_2" terminating the link |                            |                  |                  |                 |               |                            |                |                |
|-----------------------------------|-----------------|---|----------------------------|------------------|------------------|-----------------|---------------|----------------------------|----------------|----------------|
|                                   |                 | GEO                                       | LEO/MEO                    | HAPS             | A/C              | H/C, eVTOL, UAV | Small UAVs    | Terrestrial UE             | Terrestrial BS | Ground Station |
| Included in the D2.1              |                 |   |                            |                  |                  |                 |               |                            |                |                |
| In 6G-Sky reference architecture  |                 |   |                            |                  |                  |                 |               |                            |                |                |
| Out of scope                      |                 |   |                            |                  |                  |                 |               |                            |                |                |
| "Node_1" Antenna/Link of interest | GEO             | Ka, Ku, Q/V, FSO                          | Ka, Ku, Q/V, FSO           | Ka, Ku, Q/V, FSO | Ka, Ku, Q/V, MSS | FR1, FR3, MSS   | FR1, FR3, MSS | Ka, Ku, Q/V, MSS           |                | Ka, Ku, Q/V    |
|                                   | LEO/MEO         | Ka, Ku, Q/V, FSO                          | Ka, Ku, Q/V, FSO           | Ka, Ku, Q/V, FSO | Ka, Ku, Q/V, MSS | FR1, FR3, MSS   | FR1, FR3, MSS | Ka, Ku, Q/V, FR1, FR3, MSS |                | Ka, Ku, Q/V    |
|                                   | HAPS            | Ka, Ku, Q/V, FSO                          | Ka, Ku, Q/V, FSO           | Q/V, FSO         | Q/V, FR3         | FR1, FR3        | FR1, FR3      | FR1, FR3, Q/V              | Q/V            | Q/V            |
|                                   | A/C             | Ka, Ku, Q/V, MSS                          | Ka, Ku, Q/V, MSS           | Q/V, FR3         |                  |                 |               |                            |                | MSS, FR3       |
|                                   | H/C, eVTOL, UAV | FR1, FR3, MSS                             | FR1, FR3, MSS              | FR1, FR3         |                  | FR1, FR3        | FR1, FR3      | FR1, FR3                   | FR1, FR3       |                |
|                                   | Small UAVs      | FR1, FR3, MSS                             | FR1, FR3, MSS              | FR1, FR3         |                  | FR1, FR3        | FR1, FR3      | FR1, FR3                   | FR1, FR3       |                |
|                                   | Terrestrial UE  | Ka, Ku, Q/V, MSS                          | Ka, Ku, Q/V, FR1, FR3, MSS | FR1, FR3, Q/V    |                  | FR1, FR3        | FR1, FR3      |                            |                |                |
|                                   | Terrestrial BS  |   |                            | Q/V              |                  | FR1, FR3        | FR1, FR3      |                            |                |                |
|                                   | Ground Station  | Ka, Ku, Q/V                               | Ka, Ku, Q/V                | Q/V              | MSS, FR3         |                 |               |                            |                |                |

|                       |                  |
|-----------------------|------------------|
| FR1: 410MHz-7.125 GHz | 3GPP TS 38.101-1 |
| FR3: 7.125-24.25 GHz  |                  |
| FR2: 24.25-71.00 GHz  | 3GPP TS 38.101-2 |
|                       |                  |
| Ku 12.4-18 GHz        |                  |
| Ka: 26.5-40 GHz       |                  |
| Q/V: 33-75GHz         |                  |
| MSS: 1.5-2.5GHz       |                  |
| FSO: >100 THz         |                  |

Table 3 Envisioned spectrum candidates

The satellite frequencies are defined in 3GPP TS 38.101-5, where L-and S-band frequencies are specified. The specification includes as well the FR2-NTN frequency band and it also includes a part of the Ku-band frequencies and is defined from 17300 MHz to 30000 MHz.

### 3 Key performance indicators for a connected sky

Tables presented in chapter 2 provide a matrix of possible physical links. Each link can be used for a number of different use cases, having different KPI requirements for end-to-end (E2E) latency, reliability, bandwidth etc. On top, some KPIs may only be reached in Line of Sight (LOS) conditions, which is a general assumption for all A2A and A2G communication links.

Target KPIs are estimated based on current state of the art in 5G timeline, see, e.g., [3], with outlook to 6G timeframe. We tried to set the 6G KPIs values to realistic figures rather than aiming for some overestimated and technologically unreachable targets.

For example, for the HAPS-to-UE link, the target KPIs for 5G timeframe are representative of real-life HAPS experiments performed by DT. For 6G timeframe, we have set target KPIs that aim to provide 5x throughput improvement over the set 5G KPIs.

Table 4 shows a summary of 6G KPI requirements for selected links and more detailed KPI requirements can be found in Annex 8.1.

| Link                         | DL / UL Peak Data Rate | E2E Latency               | Reliability |
|------------------------------|------------------------|---------------------------|-------------|
| HAPS - UE                    | 1000 / 100 Mbps        | 10 ms                     | 99.99 %     |
| Terrestrial BS - airborne UE | 100 / 120 Mbps         | 20 ms UL/ 100 ms DL       | 99.9 %      |
| HAPS - GEO                   | 790 / 325 Mbps         | 142 ms                    |             |
| HAPS - MEO                   | 740 / 440 Mbps         | 37 ms                     |             |
| HAPS - LEO                   | 660 / 510 Mbps         | 7 ms                      |             |
| HAPS - HAPS                  | 100 Gbps               | 1 ms                      | 99.9 %      |
| Ground station - GEO         | 2 / 8 Gbps             | 541 ms (max. transparent) | 99.99 %     |
| Ground station - LEO         | 1.06 / 37.1 Gbps       |                           | 99.99 %     |

Table 4 Summary of 6G KPI requirements

## 3.1 Satellite Feeder Links

For the satellite backhaul scenario important KPIs are coverage, data rate and latency. Reducing the latency can be done by using LEO satellites instead of GEO or MEO satellites. If the satellite is regenerative the speed of the hardware and the on-board CPU is important to reduce the end-to-end latency.

Regarding the data rate a high bandwidth and powerful antennas and also BUC and LNB that are connected with relatively low losses as well as aspects like antenna pointing accuracy are playing a big role.

Coverage can be achieved with more satellites or higher orbits.

### 3.1.1 Ground station to GEO satellites

As shown in Figure 1, the satellites are used to transmit signals to other satellites, HAPS, flying taxis, airplanes and UAVs via an RF or an optical link. In addition, a direct connection to users in rural areas should also be possible as defined in 3GPP (TR 38.821).

The task of the feeder links is to provide the required data from the core network to the user equipment and vice versa. Determining the need for required data rates is very complex because the number of HAPS, UAVs and airplanes that should be connected via a satellite is not specified. The number of feeder links per satellite must therefore be designed as required so that they do not become a bottleneck. For this reason, the specification for GEO satellites is made for one feeder link and not for a specific number of feeder links per satellite. The feeder links for a satellite can be established via one or several ground stations that are on different locations. Several gateways can be set up per ground station and, with the help of circular polarization (RHCP and LHCP), the available frequencies can be used with a frequency reuse factor of two.

For the 5G timeframe (transparent satellites → 5G NR as air interface) it should be possible to achieve a spectral efficiency of 4 bit/s/Hz and with the bandwidth of 500 MHz in the Ka-band to obtain a data rate of 2 Gbit/s per UL feeder link. As shown in Figure 2 below therefore an SNR of ~16.5 dB is required to achieve the spectral efficiency of 4 bit/s/Hz.

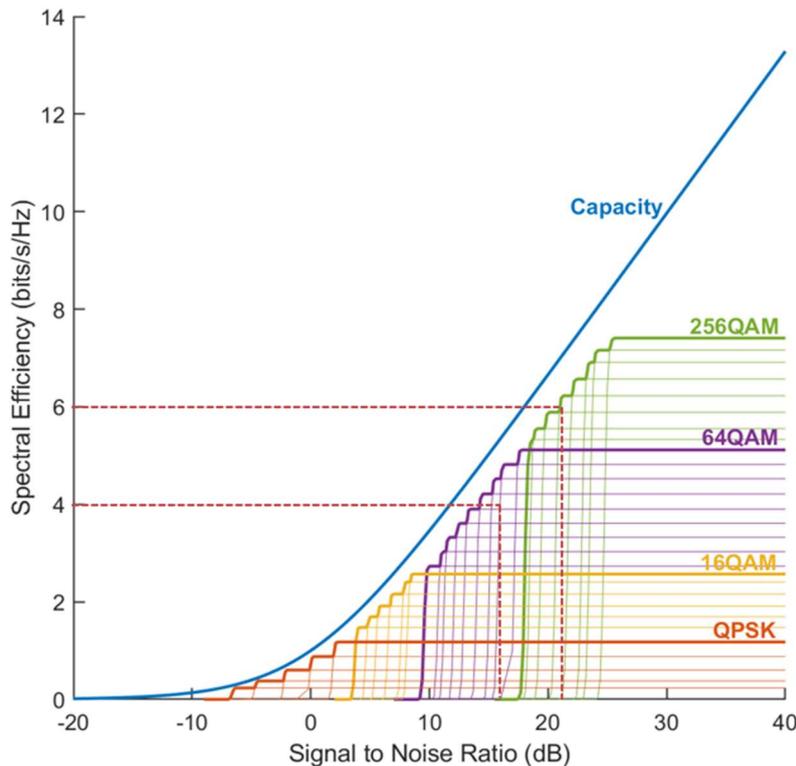


Figure 2 Spectral Efficiency of 5G NR, simulated by Fraunhofer IIS, based on the ALIX Link Level Simulation Tool. Overhead by reference symbols etc. is not taken into account<sup>1</sup>

$$UL\_data\_rate\_5G = 2 \frac{Gbit}{s}$$

Depending on the use case, the ratio between the uplink and downlink data rate in the user link can vary. In conventional satellite communication, the ratio of the UL and DL data rate in the user link is 1:4. The ratio in the feeder link should therefore be 4:1. The following value can therefore be assumed for the DL data rate:

$$DL\_data\_rate\_5G = UL\_data\_rate\_5G / 4 = 0.5 \frac{Gbit}{s}$$

The higher the required total data rate for the feeder link is, the more parallel links must be implemented. For example, if a data rate of 20 Gbit/s is required in the uplink feeder link, a total of 5 gateway-to-satellite links with 500 MHz bandwidth each with two polarizations must be implemented (5x 500 MHz x2 = 5000 MHz).

Since the Ka-band is heavily used and the bandwidth is limited to 500 MHz, the Q/V band is assumed for the feeder links in the 6G timeframe. The available carrier bandwidths in the Q/V frequency band are up to 2 GHz. Assuming that the higher atmospheric losses in the Q/V compared to the Ka-band can be compensated with technical progress, the following data rates can be expected for the 6G timeframe:

<sup>1</sup> Overhead factor in 3GPP TS 38.306 is 0.14 for frequency range FR1 for DL, 0.18 for frequency range FR2 for DL, 0.08 for frequency range FR1 for UL 0.10, for frequency range FR2 for UL

$$UL\_data\_rate\_6G = 8 \frac{Gbit}{s}$$

$$DL\_data\_rate\_6G = UL\_data\_rate\_6G / 4 = 2 \frac{Gbit}{s}$$

### 3.1.2 Ground station to LEO satellites

In contrast to the GEO satellites, several sets (1-1, 1-2 and 1-3) were defined for LEO 600 satellites as part of 3GPP TSG RAN WG1 #116. In addition to the maximum EIRP per satellite beam, the total number of simultaneously active beams (16 to 106) was defined. In combination with the specified data rates (2 Mbit/s for UL and 70 Mbit/s for DL) from ITU-R M.2514-0, a minimum feeder uplink and downlink data rate can be calculated for the 5G timeframe.

$$DL\_data\_rate\_5G = 2 \frac{Mbit}{s} \times (16 \text{ to } 106 \text{ beams}) = 32 \frac{Mbit}{s} \text{ to } 212 \frac{Mbit}{s}$$

$$UL\_data\_rate\_5G = 70 \frac{Mbit}{s} \times (16 \text{ to } 106 \text{ beams}) = 1.2 \frac{Gbit}{s} \text{ to } 7.42 \frac{Gbit}{s}$$

This is the total data rate that the satellite must provide for the Uu-Link. Additional data streams as for example ISL or the links to HAPS requires higher data rates for the feeder links. As this links are not taken into account the calculated data rate above is a minimum data rate for the feeder links.

A significantly higher required user data rate can be assumed for the 6G timeframe. To ensure a technologically feasible estimate for 6G timeframe, we assume a factor of 5 for both the uplink and downlink data rate. This results in the following specifications for the 6G timeframe feeder link:

$$DL\_data\_rate\_6G = DL\_data\_rate\_5G \times 5 = 212 \frac{Mbit}{s} \times 5 = 1.06 \text{ Gbit/s}$$

$$UL\_data\_rate\_6G = UL\_data\_rate\_5G \times 5 = 7.42 \frac{Gbit}{s} \times 5 = 37.1 \frac{Gbit}{s}$$

## 4 Analysis of communication links

### 4.1 HAPS Satellite Backhaul

This analysis is about the link budget of satellite backhaul scenarios for HAPS via satellites. Therefore, satellites in the three main orbit types LEO, MEO and GEO will be discussed. For this examination the Ka band is considered as the most relevant. Ka band has a wider bandwidth than Ku band and therefore can contain much higher data rates. On the other hand, the Q/V band suffers more losses because of the smaller wave length and higher attenuation e.g., due to rain or clouds. This is the reason why Ka band is considered today as the preferred frequency band for satellite communication.

For the link budget some assumptions were made:

- Assumed maximum elevation angle for HAPS antenna = 30° for GEO, MEO and LEO satellite
- Ka band atmospheric losses = 2.0 dB [5] (adjusted for HAPS height)

- Clear and dry sky conditions
- Assumed 3 dB for implementation penalty
- Latency is one way trip time between Tx/Rx antennas assuming an elevation angle of 30°

The link budget is calculated in the following way:

First the CNR [dB] is calculated:

$$\text{CNR}[\text{dB}] = \text{EIRP}[\text{dBW}] + \text{G/T}[\text{dB/K}] - k[\text{dBW/K/Hz}] - \text{FSPL}[\text{dB}] - \text{IP}[\text{dB}] - \text{AL}[\text{dB}] - \text{BW}[\text{dBHz}]$$

With IP ... Implementation Penalty of 3dB

And AL ... Atmospheric losses of 2 dB for Ka band accounting for the height of the HAPS.

With the bandwidth and the CNR, the channel capacity (Shannon limit) C can be calculated:

$$C = \text{BW} * \log_2(1 + \text{CNR})$$

For the 5G time frame, three antennas are considered:

| Antenna Name         | G/T [dB/K] | EIRP [dBW] |
|----------------------|------------|------------|
| Antenna A (25 W BUC) | 11.2       | 49.0       |
| Antenna B (8 W BUC)  | 5.2        | 37.7       |
| Antenna C (25 W BUC) | 5.2        | 42.7       |

Table 5 Considered antennas; 5G time frame

The assumptions regarding these antennas are as follows:

- High performance state of the art antennas
- Future proof Ka band
- Would fit on HAPS (A, B, C) (e.g. GROB AC, task 5.6)
- Antennas B and C are identical with different BUC

For the 5G time frame, 4 satellites in different orbits are considered:

| Antenna Name          | G/T [dB/K] | EIRP [dBW] | BW [MHz] | UL frq [GHz] | DL frq [GHz] |
|-----------------------|------------|------------|----------|--------------|--------------|
| GEO antenna           | 29.1       | 72.7       | 36       | 20           | 30           |
| MEO antenna @ 8000 km | 21.1       | 59.7       | 36       | 20           | 30           |

|                              |     |      |    |    |    |
|------------------------------|-----|------|----|----|----|
| <b>LEO antenna @ 1200 km</b> | 9.1 | 43.2 | 36 | 20 | 30 |
|------------------------------|-----|------|----|----|----|

Table 6 Considered satellite antennas; 5G time frame

To get a better validity, the approach from the ESA 5G-IS (5G Infrastructure Study) is chosen for this examination.

In that study, the EIRP values are depending on the power flux density on the ground. The power flux density is limited by Radio Regulations to avoid harmful interferences with terrestrial communication systems. The EIRP [dBW] value for each satellite is dependent on the power flux density [dBW/m<sup>2</sup>] and the bandwidth. So, the EIRP [dBW] values in the table above are calculated for the bandwidth of 36 MHz.

The bandwidth of 36 MHz is a user equipment (satellite terminal on the HAPS) limitation. HAPS currently (that is also the case for the HAPS which will be used in Task 5.6 of this study) must be efficient regarding Size, Weight, and Power (SWaP) parameters to be flexible and perform longer flights. Even though the bandwidth of satellite constellations can be much bigger, the limitation of the user equipment is the reason for the bandwidth of 36 MHz of the satellite backhaul links.

The G/T[dB/K] values were also derived from the 5G-IS. Satellite antenna parameters are depending on the different satellite capabilities, which are typically different for each orbit. Therefore, different G/T[dB/K] values are assumed. High throughput satellites are more likely to be deployed in GEO. GEO satellites are often part of smaller constellations with fewer satellites and have longer lifetimes which is favoring bigger and more capable satellites. Smaller satellites however are more likely to be deployed in LEO. In this orbit many satellites are needed to provide the same coverage. Limited launcher capabilities favor comparatively small satellites.

Even though those power flux density limits are a good base line, which is allowing for a good comparison going forward in this examination, note that this is only a crude first-order approximation as not all frequency bands have a defined PFD limit in ITU Radio Regulations and in practice different values may be agreed upon during the co-ordination process.

For the 6G time frame, it is assumed that HAPS have better SWaP conditions and antenna parameters are getting better by a certain factor. Besides that, all other assumptions that were made above are staying the same.

In this concrete example the bandwidth capabilities of the UE are expanded by the factor three (from 36 MHz to 108 Mhz) and all antenna parameters for satellite antennas and user equipment antennas are advanced by the factor 1.1 compared to the 5G time frame today.

Therefore, the antennas of the user equipment in the 6G time frame are assumed like in this table:

| Antenna Name                   | G/T [dB/K] | EIRP [dBW] |
|--------------------------------|------------|------------|
| <b>Antenna A 6G (25 W BUC)</b> | 11.6       | 49.4       |
| <b>Antenna B 6G (8 W BUC)</b>  | 5.6        | 38.1       |
| <b>Antenna C 6G (25 W BUC)</b> | 5.6        | 43.1       |

Table 7 Considered antenna; 6G time frame

For the satellite antenna parameters for the 6G time frame are presented in the following table:

| Antenna Name                    | G/T [dB/K] | EIRP [dBW] | BW [MHz] | UL frq [GHz] | DL frq [GHz] |
|---------------------------------|------------|------------|----------|--------------|--------------|
| <b>GEO antenna 6G</b>           | 29.5       | 77.4       | 108      | 20           | 30           |
| <b>MEO antenna 6G</b>           | 21.5       | 64.4       | 108      | 20           | 30           |
| <b>LEO antenna @ 1200 km 6G</b> | 9.5        | 47.9       | 108      | 20           | 30           |

Table 8 Considered satellite antennas; 6G time frame

#### 4.1.1 Conclusion

In the following tables (Table 9, Table 10 and Table 11) the conclusion of the link budget analysis is presented. The antenna A is the most capable antenna and therefore brings the best results for each orbit. For the beam capacity a frequency reuse factor of 2 is considered.

Satellite backhaul over a GEO satellite:

| Parameter  | 5G time frame KPI Target                        | 6G time frame KPI Target                            |
|--|---|---|
| <b>Peak data rate (user terminated or DL)</b>              | 260 Mbps  | 790 Mbps  |
| <b>Peak data rate (user terminated or UL)</b>              | 150 Mbps  | 325 Mbps  |
| <b>Experienced user throughput (user terminated or DL)</b> | 260 Mbps  | 790 Mbps  |
| <b>Experienced user throughput (user originated or UL)</b> | 150 Mbps  | 325 Mbps  |
| <b>Beam/cell capacity DL</b>                               | 1444 Mbps                                       | 1462 Mbps   |
| <b>Beam/cell capacity UL</b>                               | 833 Mbps  | 602 Mbps  |
| <b>Total number of Beams/cells DL</b>                      | 500   | 1000  |
| <b>Total number of Beams/cells UL</b>                      | 500   | 1000  |
| <b>Minimum elevation angle</b>                             | 30°   | 30°   |
| <b>Acquisition time</b>                                    | 5s to 60s                                       | 2s to 20s   |
| <b>UE type</b>   | VSAT (25W BUC), BW = 36MHz, Ka band (Antenna A) | VSAT (25W BUC), BW = 108MHz, Ka band (Antenna A 6G) |
| <b>Max EIRP</b>  | 49 dBW  | 49.4 dBW  |
| <b>G/T</b>   | 11.2 dBi  | 11.6 dBi  |
| <b>Polarization</b>  | RHCP/LHCP                                       | RHCP/LHCP   |

|                                      |        |        |
|--------------------------------------|--------|--------|
| <b>One Way Delay @ min elevation</b> | 142 ms | 142 ms |
|--------------------------------------|--------|--------|

Table 9 GEO conclusion

Satellite backhaul over a MEO@8000 km satellite:

| Parameter  | 5G time frame KPI Target                          | 6G time frame KPI Target                              |
|--|---|---|
| <b>Peak data rate (user terminated or DL)</b>              | 240 Mbps  | 740 Mbps  |
| <b>Peak data rate (user terminated or UL)</b>              | 190 Mbps  | 440 Mbps  |
| <b>Experienced user throughput (user terminated or DL)</b> | 240 Mbps  | 740 Mbps  |
| <b>Experienced user throughput (user originated or UL)</b> | 190 Mbps  | 440 Mbps  |
| <b>Beam/cell capacity DL</b>                               | 1333 Mbps   | 1370 Mbps   |
| <b>Beam/cell capacity UL</b>                               | 1055 Mbps   | 814 Mbps  |
| <b>Total number of Beams/cells DL</b>                      | 16  | 100   |
| <b>Total number of Beams/cells UL</b>                      | 16  | 100   |
| <b>Minimum elevation angle</b>                             | 30°   | 30°   |
| <b>Acquisition time</b>                                    | 60s   | 20s   |
| <b>UE type</b>   | VSAT (25 W BUC), BW = 36 MHz, Ka band (Antenna A) | VSAT (25 W BUC), BW = 108 MHz, Ka band (Antenna A 6G) |
| <b>Max EIRP</b>  | 49 dBW  | 49.4 dBW  |
| <b>G/T</b>   | 11.2 dBi  | 11.6 dBi  |
| <b>Polarization</b>  | RHCP/LHCP   | RHCP/LHCP   |
| <b>One Way Delay @ min elevation</b>                       | 37 ms   | 37 ms   |

Table 10 MEO conclusion

Satellite backhaul over a LEO@1200 km satellite

| Parameter  | 5G timeframe KPI Target | 6G timeframe KPI Target |
|--|-------------------------|-------------------------|
| <b>Peak data rate (user terminated or DL)</b>              | 210 Mbps                | 660 Mbps                |
| <b>Peak data rate (user terminated or UL)</b>              | 210 Mbps                | 510 Mbps                |
| <b>Experienced user throughput (user terminated or DL)</b> | 210 Mbps                | 660 Mbps                |
| <b>Experienced user throughput (user originated or UL)</b> | 210 Mbps                | 510 Mbps                |
| <b>Beam/cell capacity DL</b>                               | 1167 Mbps               | 1222 Mbps               |
| <b>Beam/cell capacity UL</b>                               | 1167 Mbps               | 944 Mbps                |

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|                                       |                                       |  |
|---------------------------------------|---------------------------------------|--|
| <b>Total number of Beams/cells DL</b> | 16                                    | 100                                    |
| <b>Total number of Beams/cells UL</b> | 16                                    | 100                                    |
| <b>Minimum elevation angle</b>        | 30°                                   | 30°                                    |
| <b>Acquisition time</b>               | 60s                                   | 30s                                    |
| <b>UE type</b>                        | VSAT (25 W BUC), BW = 36 MHz, Ka band | VSAT (25 W BUC), BW = 108 MHz, Ka band |
| <b>Max EIRP</b>                       | 49 dBW (Antenna A)                    | 49.4 dBW (Antenna A 6G)                |
| <b>G/T</b>                            | 11.2 dBi                              | 11.6 dBi                               |
| <b>Polarization</b>                   | RHCP/LHCP                             | RHCP/LHCP                              |
| <b>One Way Delay @ min elevation</b>  | 7 ms                                  | 7 ms                                   |

Table 11 LEO conclusion

## 4.2 Links to/from HAPS-UE

### 4.2.1 Link and use case description

This analysis focuses on a link between High Altitude Platform Station (HAPS) acting as a HAPS IMT base station (HIBS) flying in stratospheric altitude of FL600 (18.3 km) and terrestrial handset type of UE for both Uplink and Downlink data transmission, as depicted on the Figure 3. The analysis assumes Mobile Broadband type services such as web browsing, voice and messaging, OTT services, video streaming etc. Thus, we do not focus on IoT or fixed wireless access (FWA) use cases.

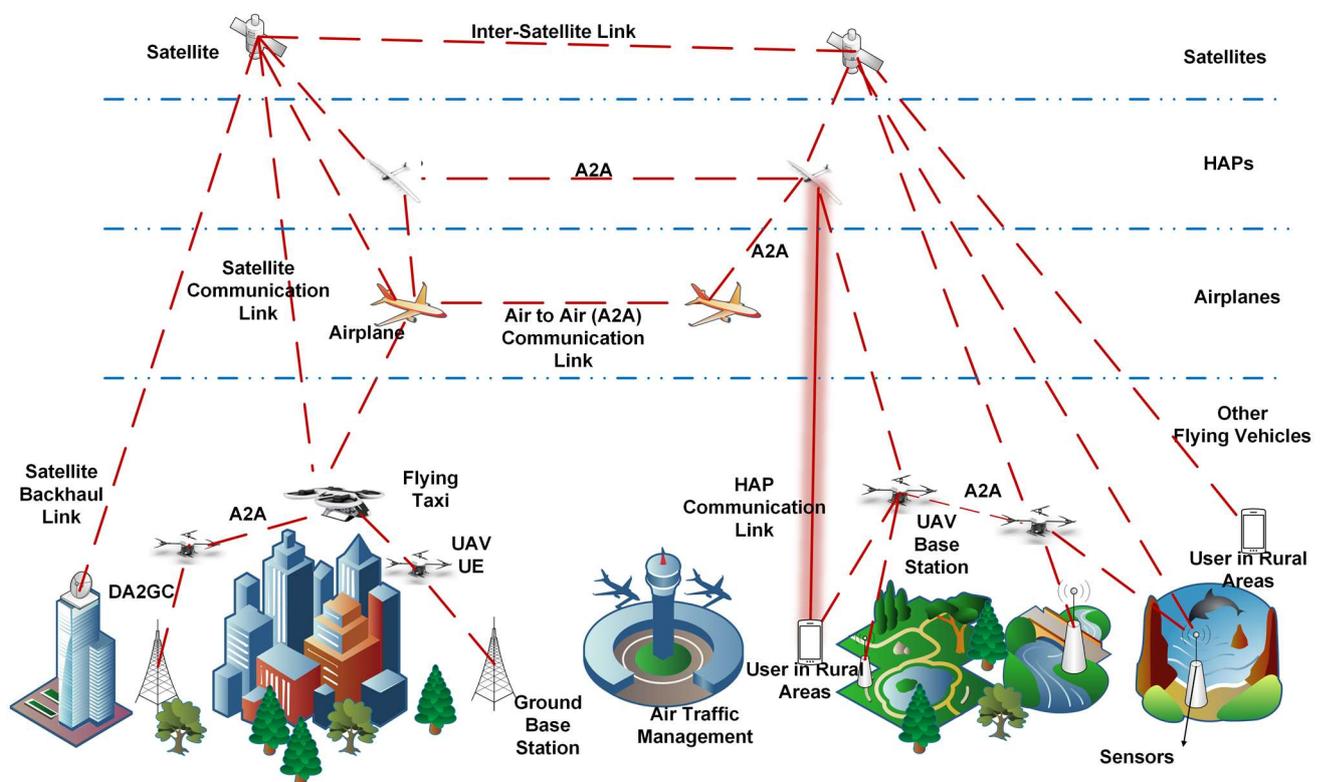


Figure 3 HAPS-UE link in 6G-Sky reference architecture

### 4.2.2 Description of key assumptions and system parameters

As a baseline for the analysis, we assume a 3GPP 5G (NR) RAT based link for the 5G timeframe scenario and have set a number of key assumptions and system parameters to represent future 6G timeframe scenario, as depicted in Table 12.

| Parameter      | 5G Timeframe      | 6G Timeframe    |
|----------------|-------------------|-----------------|
| Link Distance  | 18 km and 63 km   | 18 km and 63 km |
| Frequency Band | band n7 / 2.6 GHz | “FR3” 8 GHz     |

|                            |                 |                 |
|----------------------------|-----------------|-----------------|
| Channel Bandwidth          | 20 MHz          | 100 MHz         |
| BS Total Tx power          | 43 dBm (20 W)   | 53 dBm (200 W)  |
| UE Total Tx power          | 23 dBm (200 mW) | 26 dBm (400 mW) |
| BS Antenna Bore-sight Gain | 28.1 dBi        | 37.7 dBi        |
| UE Antenna Bore-sight Gain | 0 dBi           | 12.5 dBi        |
| Interference Margin        | 3 dB            | 1 dB            |
| Spectrum Efficiency Factor | 1.0             | 1.2             |

Table 12 Key system parameter assumptions

With respect to the Link Distance we have analyzed two scenarios, 18 km for UE directly underneath the HAPS, so called nadir position, and 63 km for UE in cell service edge area which is assumed to be 50 km from cell center. The link geometries illustrating these scenarios are shown in Figure 4.

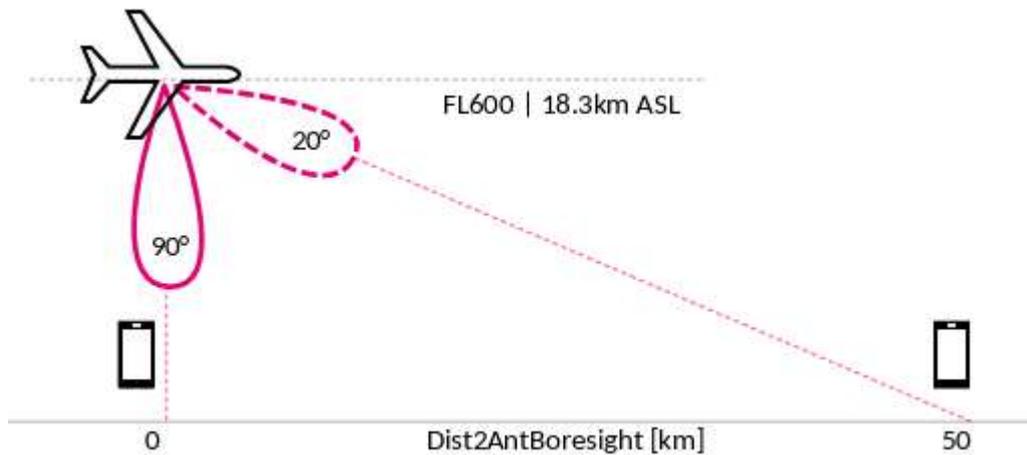


Figure 4 HAPS link geometry assumptions

For frequency bands, in 5G timeframe scenario we have used one of the bands that is approved for HBS use case [15], specifically band n7 (2600 MHz). This is generally a suitable candidate for rural operations, since the band is predominantly used in urban areas and is generally under-utilized in rural areas. For 6G timeframe, we assume use of higher frequencies at 8 GHz in so called FR3 range (7-24 GHz), as this range is being studied for IMT use. Use of this range would possibly allow for higher overall bandwidth, however for the study we have assumed a rather conservative 100 MHz channel bandwidth.

For BS total Tx power, the 5G timeframe assumes a typical power of 20 W per Tx path available for macro-scale Radio Unit (RU). Going to 6G timeframe, we are aiming to use multi-beam active antenna to cover target area with multiple cells as illustrated in Figure 5, therefore we increased the aggregated output power to 200 W. From PA design perspective it would be possible to go even higher already today, however due to possibly limited energy budget for HAPS payload, we want to keep the active antenna's RF power and its power consumption at realistic level.

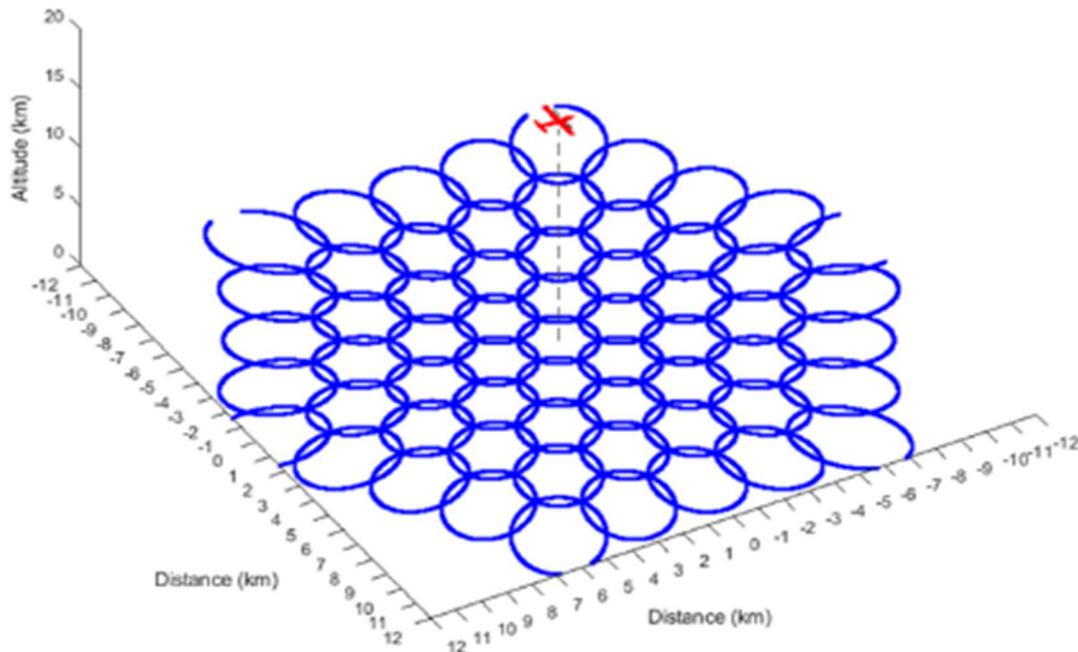


Figure 5 Example beam Layout for multi-beam antenna

From UE side we stay on conservative values for both 5G and 6G timeframe and assume only currently specified UE power classes 2 and 3, considering SAR limitations, battery life etc.

Main antenna characteristics assumed for the HAPS-UE link are detailed in Table 13. For BS side, to keep the antenna size reasonable, we assume rectangular shape with less than 1 m<sup>2</sup> surface area as depicted in Figure 6. For 6G timeframe, we assume the use of beamforming capable antenna also for the UE side, enabled by use of FR3 spectrum range.

| Freq (GHz) | Link Side | Architecture | Gain (dBi)  |      | Min Size (mm) |
|------------|-----------|--------------|-------------|------|---------------|
|            |           |              | Nadir (90°) | 20°  |               |
| 2.6        | HAPS      | 16x16        | 28.1        | 23.4 | 865           |
| 8          | HAPS      | 48x48        | 37.7        | 33   | 881           |
| 8          | UE        | 4x4          | 12.5        | 9.1  | 56            |

Table 13 Antenna architecture assumptions

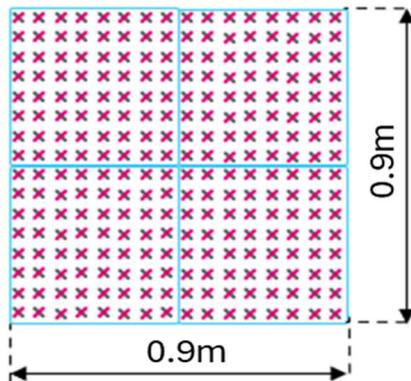


Figure 6 Physical layout example of 16x16 dual polarized antenna array for Band 7 (2600 MHz)

For 6G timeframe, we lower the Interference margin by 2 dB as we assume the use of high gain antennas with improved sidelobe suppression and advancements in interference cancellation methods. Also, we anticipate an improvement in Spectral Efficiency by a factor of 1.2, by use of higher modulation schemes, more efficient use of guard bands etc.

#### 4.2.3 Link budget calculations

The link budget calculation focuses on two geometric scenarios, representing two different UE locations within the HIBS service area as depicted in Figure 4. These locations provide extreme cases with respect to the link distance, which is one of dominating factors in the link budget calculation.

Further, the calculation is done assuming different system parameters for 5G and 6G timeframes, as well as is separated for Downlink and Uplink analysis.

Since the link is considered to be of Line of Sight (LOS) type, we use simple a free space path loss model for attenuation calculation both for Downlink and Uplink.

Finally, for 6G timeframe, we use two key assumptions as improvement over 5G as described in chapter 4.2.2. First, we use lower interference margin resulting in higher SNR, and therefore higher achievable throughput, and additionally multiply it by spectrum efficiency factor of 1.2.

##### 4.2.3.1 Downlink direction

Detailed link budget calculation for downlink direction (i.e. from the BS to the UE) is provided in Figure 7. A link to spreadsheet is provided in Annex 8.3.

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HAPS - UE | Downlink

|              |   |             | 6G timeframe                          |  | 5G timeframe                          |  |
|--------------|---|-------------|---------------------------------------|--|---------------------------------------|--|
|              |   |             | Scenario 1;<br>HAPS Nadir<br>position | Scenario 2;<br>Service area<br>edge position | Scenario 1;<br>HAPS Nadir<br>position | Scenario 2;<br>Service area<br>edge position |
| Freq         | Link Budget Frequency                               | GHz         | 8.0                                   | 8.0  | 2.6                                   | 2.6  |
| BW           | Total Bandwidth                                     | MHz         | 100                                   | 100  | 20                                    | 20   |
| BSTxPwr      | Total Transmit Power available per Transmit channel | W           | 1.55                                  | 1.55   | 10                                    | 10   |
| BSTxPwr      | Total Transmit Power available per Transmit channel | dBm         | 31.9                                  | 31.9   | 40.0                                  | 40.0   |
| TxChanNo     | Number of TX channels                               | -           | 128                                   | 128  | 2                                     | 2  |
| DLLayers     | Number of DL layers                                 | -           | 2                                     | 2  | 2                                     | 2  |
| BSTxPwrRE    | TX power per Resource Element                       | dBm         | 17.9                                  | 17.9   | 12.0                                  | 12.0   |
| LFeeder      | Tx_FeederLoss (distribution loss)                   | dB          | 0.5                                   | 0.5  | 0.5                                   | 0.5  |
| TxAntGain    | Tx Antenna Gain                                     | dBi         | 37.7                                  | 33.0   | 28.1                                  | 23.4   |
| EIRPRE       | <b>EIRP per RE</b>                                  | <b>dBm</b>  | <b>55.1</b>                           | <b>50.4</b>                                  | <b>39.6</b>                           | <b>34.9</b>                                  |
| EIRP         | <b>Total EIRP</b>                                   | <b>dBm</b>  | <b>90.2</b>                           | <b>85.5</b>                                  | <b>70.6</b>                           | <b>65.9</b>                                  |
| Dist         | Link Distance                                       | km          | 18.3                                  | 63.0   | 18.3                                  | 63.0   |
| FSPL         | FSPL  | dB          | 135.8                                 | 146.5  | 126.0                                 | 136.7  |
| LAtmGas      | Atmospheric Gases and Water Vapor Attenuation       | dB          | 0.1                                   | 0.9  | 0.1                                   | 0.5  |
| LRain        | Rain Attenuation (ITU P.838-3, 10mm/h)              | dB          | 0.1                                   | 2.6  | 0.1                                   | 0.2  |
| Fading       | Fading Margin                                       | dB          | 10.0                                  | 10.0   | 10.0                                  | 10.0   |
| PLTotal      | <b>Path Loss Total</b>                              | <b>dB</b>   | <b>146.0</b>                          | <b>159.9</b>                                 | <b>136.2</b>                          | <b>147.4</b>                                 |
| RxAntGain    | Rx Antenna Gain                                     | dBi         | 12.5                                  | 9.1  | 0                                     | 0  |
| LBody        | Body Loss   | dB          | 3.0                                   | 3.0  | 3.0                                   | 3.0  |
| RxDivGain    | Receiver Diversity Gain                             | dB          | 3.0                                   | 3.0  | 3.0                                   | 3.0  |
| RxLev        | <b>RxLev at Receiver Input</b>                      | <b>dBm</b>  | <b>-78.4</b>                          | <b>-100.5</b>                                | <b>-96.7</b>                          | <b>-112.5</b>                                |
| SCBW         | Subcarrier BW (OFDM SCS)                            | kHz         | 30                                    | 30   | 15                                    | 15   |
| SysTemp      | System Temperature                                  | K           | 290                                   | 290  | 290                                   | 290  |
| RxNF         | Rx LNA NF   | dB          | 8                                     | 8  | 8                                     | 8  |
| RxNfloor     | Receiver Noise Floor                                | dBm         | -121.2                                | -121.2                                       | -124.2                                | -124.2                                       |
| Interference | Interference Margin                                 | dB          | 1.0                                   | 1.0  | 3.0                                   | 3.0  |
| SEfactor     | Spectrum efficiency increase factor                 | -           | 1.20                                  | 1.20   | 1.00                                  | 1.00   |
| SNR          | <b>SNR</b>  | <b>dB</b>   | <b>41.8</b>                           | <b>19.7</b>                                  | <b>24.6</b>                           | <b>8.7</b>                                   |
| Thput        | <b>Throughput (5G NR based)</b>                     | <b>Mbps</b> | <b>1251.0</b>                         | <b>813.5</b>                                 | <b>161.0</b>                          | <b>74.6</b>                                  |

Figure 7 HAPS-UE link budget for Downlink

Starting on BS (i.e. HIBS) side, we use OFDM based 5G NR system as a baseline. Thus, for further calculations, we need to first calculate the transmit power per Resource Element (RE) as shown in Figure 8.

|                  |   |                |                 |
|------------------|---|----------------|-----------------|
| SCS              | Subcarrier Spacing                                  | 15 kHz         |                 |
| TxChanNo         | Number of TX channels                               | 2              | 3.0 dB          |
| BSTxPwr          | Total Transmit Power available per Transmit channel | 10 W           | 40.0 dBm        |
| BSTxPwrTot       | Total Transmit Power                                | 20 W           | 43.0 dBm        |
| BW               | Total Bandwidth                                     | 20 MHz         | 106 RBs         |
| REnum            | RE number [RBnum * 12]                              | 1272           | 31.0            |
| CRP              | Cell reference power                                | 0.0079 W       | 9.0 dBm         |
| <b>BSTxPwrRE</b> | <b>TX power per Resource Element</b>                | <b>0.016 W</b> | <b>12.0 dBm</b> |

Figure 8 Example calculation of RE power

Then we calculate the Total Effective Isotropic Radiated Power (EIRP) per RE.

$$EIRPRE \text{ (dBm)} = BSTxPwrRE - LFeeder + TxAntGain$$

Total link loss between the transmitter and receiver pair consists of free space path loss, atmospheric effects and a margin for fading.

$$PLTotal \text{ (dB)} = FSPL + LAtmGas + LRain + Fading$$

We then calculate received power level at receiver input per RE (effectively RSRP). For this we consider UE antenna gain, margin for body loss and Rx diversity gain.

$$RxLev \text{ (dBm)} = EIRPRE - PLTotal + RXAntGain - LBody + RxDivGain$$

Ultimately, the link performance is dictated by the achieved Signal to Noise Ratio, which is impacted by the receiver implementation such as bandwidth, noise figure etc.

$$SNR = RxLev - RxNfloor - Interference$$

where the receiver noise floor is given by thermal noise and receiver noise figure.

$$RxNfloor = 10 * \text{Log}(k * T * B) + RxNF$$

The last step is to calculate the maximum achievable throughput for the given SNR. For this we apply the calculation method described in 3GPP TS 38.214, Section 5.1.3.2. As inputs for calculation, we use the following parameters:

- Modulation and Coding Scheme (MCS) Index
- Code Rate (R)
- Modulation Order ( $Q_m$ )
- Number of allocated Resource Blocks (RB)
- Number of Layers

From stratospheric flight experiments with 5G NR payload we get a mapping table between Synchronization Signal SINR (SS-SINR) and DL MCS as depicted in Figure 9, which we used to transform the calculated SNR from previous step to the MCS index ( $I_{MCS}$ ).

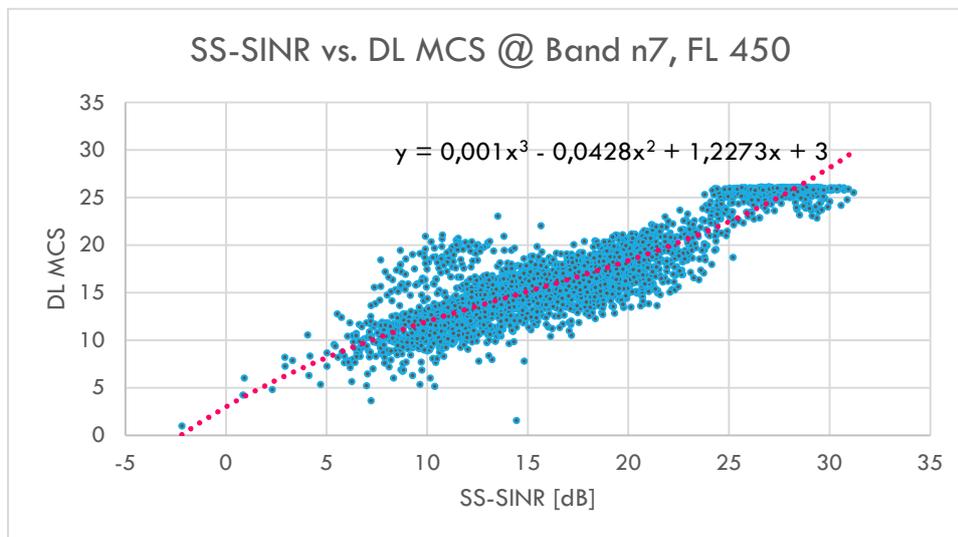


Figure 9 SS-SINR vs DL MCS

Based on Table 5.1.3.1-2: MCS index table 2 for PDSCH in 3GPP TS 38.214 we derive the  $Q_m$  and R values. With these values, we calculate the maximum Transport Block Size using cell bandwidth, number of layers and number of scheduled PDSCH symbols. Finally, we get the achievable throughput by multiplying the TBS with available slots.

#### 4.2.3.2 Uplink direction

Detailed link budget calculation for uplink direction (i.e. from the UE to the BS) is provided in Figure 10. A link to spreadsheet is provided in Annex 8.3.

| HAPS - UE   Uplink |   |             | 6G timeframe                    |  | 5G timeframe                    |  |
|--------------------|---|-------------|---------------------------------|--|---------------------------------|--|
|                    |   |             | Scenario 1; HAPS Nadir position | Scenario 2; Service area edge position | Scenario 1; HAPS Nadir position | Scenario 2; Service area edge position |
| Freq               | Link Budget Frequency                                     | GHz         | 8.0                             | 8.0                                    | 2.6                             | 2.6                                    |
| BW                 | Total Bandwidth   | MHz         | 100                             | 100                                    | 20                              | 20                                     |
| UETxPwr            | Total Tx Power available per TX channel                   | dBm         | 26.0                            | 26.0                                   | 23.0                            | 23.0                                   |
| TxChanNo           | Number of TX channels                                     | -           | 1                               | 1                                      | 1                               | 1                                      |
| ULLayers           | Number of UL layers                                       | -           | 1                               | 1                                      | 1                               | 1                                      |
| TxAntGain          | Tx Antenna Gain   | dBi         | 12.5                            | 9.1                                    | 0.0                             | 0.0                                    |
| LBody              | Body loss   | dB          | 3.0                             | 3.0                                    | 3.0                             | 3.0                                    |
| EIRP               | <b>Total EIRP</b>   | <b>dBm</b>  | <b>35.5</b>                     | <b>32.1</b>                            | <b>20.0</b>                     | <b>20.0</b>                            |
| Dist               | Link Distance   | km          | 18.3                            | 63.0                                   | 18.3                            | 63.0                                   |
| FSPL               | FSPL  | dB          | 135.8                           | 146.5                                  | 126.0                           | 136.7                                  |
| LAtmGas            | Atmospheric Gases and Water Vapor Attenuation             | dB          | 0.2                             | 0.9                                    | 0.1                             | 0.5                                    |
| LRain              | Rain Attenuation (ITU P.838-3, 10mm/h)                    | dB          | 1.6                             | 2.6                                    | 0.1                             | 0.2                                    |
| Fading             | Fading Margin   | dB          | 10.0                            | 10.0                                   | 10.0                            | 10.0                                   |
| Interference       | Interference Margin                                       |             | 1.0                             | 1.0                                    | 3.0                             | 3.0                                    |
| PLTotal            | <b>Path Loss Total</b>                                    | <b>dB</b>   | <b>148.6</b>                    | <b>160.9</b>                           | <b>139.2</b>                    | <b>150.4</b>                           |
| RxAntGain          | Rx Antenna Gain   | dBi         | 37.7                            | 33.0                                   | 28.1                            | 23.4                                   |
| LFeeder            | Rx Feeder Loss (distribution loss)                        | dB          | 0.5                             | 0.5                                    | 0.5                             | 0.5                                    |
| RxDivGain          | Rx Diversity Gain   | dB          | 5.0                             | 5.0                                    | 5.0                             | 5.0                                    |
| SEfactor           | Spectrum efficiency increase factor                       | -           | 1.20                            | 1.20                                   | 1.00                            | 1.00                                   |
| RxLev              | <b>RxLev at Receiver Input</b>                            | <b>dBm</b>  | <b>-70.9</b>                    | <b>-91.3</b>                           | <b>-86.6</b>                    | <b>-102.5</b>                          |
| CL                 | <b>Coupling Loss</b>                                      | <b>dB</b>   | <b>97</b>                       | <b>117</b>                             | <b>110</b>                      | <b>125.5</b>                           |
| BW                 | Total Bandwidth   | MHz         | 100                             | 100                                    | 20                              | 20                                     |
| SysTemp            | System Temperature  | K           | 290                             | 290                                    | 290                             | 290                                    |
| RxNF               | Rx LNA NF   | dB          | 4                               | 4                                      | 4                               | 4                                      |
| RxNfloor           | Receiver Noise Floor                                      | dBm         | -90.0                           | -90.0                                  | -97.0                           | -97.0                                  |
| SNR                | <b>SNR</b>  | <b>dB</b>   | <b>19.0</b>                     | <b>-1.4</b>                            | <b>10.3</b>                     | <b>-5.5</b>                            |
| Thput              | <b>Throughput based on CL (5G NR FDD n1 1T4R 20MHz)</b>   | <b>Mbps</b> | <i>N/A</i>                      | <i>N/A</i>                             | 52.1                            | 11.6                                   |
| Thput              | <b>Throughput based on SNR (5G NR FDD n1 1T4R 20MHz)</b>  | <b>Mbps</b> | <i>N/A</i>                      | <i>N/A</i>                             | 57.4                            | 14.6                                   |
| Thput              | <b>Throughput based on CL (5G NR TDD n78 1T8R 90MHz)</b>  | <b>Mbps</b> | 315.1                           | 29.8                                   | <i>N/A</i>                      | <i>N/A</i>                             |
| Thput              | <b>Throughput based on SNR (5G NR TDD n78 1T8R 90MHz)</b> | <b>Mbps</b> | 325.4                           | 44.1                                   | <i>N/A</i>                      | <i>N/A</i>                             |

Figure 10 HAPS-UE link budget for Uplink

As for the Downlink, we use OFDM based 5G NR system as a baseline for the Uplink, however calculation steps are slightly different. First, the total UE EIRP is calculated.

$$EIRP = UETxPwr + 10 * \log(TxChanNo) + TxAntGain - LBody$$

Total link loss between the transmitter and receiver pair consists of free space path loss, atmospheric effects and a margin for fading as well as interference margin.

$$PL_{Total} (dB) = FSPL + L_{AtmGas} + L_{Rain} + Fading + Interference$$

We then calculate received power level at receiver input. For this we consider BS antenna gain, margin for feeder loss and Rx diversity gain.

$$RxLev (dBm) = EIRP - PL_{Total} + RX_{AntGain} - L_{Feeder} + Rx_{DivGain}$$

We derive the 5G timeframe throughput values using two different approaches:

- a) Based on Coupling Loss (CL), calculated by:

$$CL = EIRP - RXLev$$

- b) Based on Uplink SNR estimation:

$$SNR = RXLev - RxNFloor$$

where the receiver noise floor is given by thermal noise and receiver noise figure.

$$RxNFloor = 10 * \text{Log}(k * T * B) + RxNF$$

For throughput estimation, two different sets of log files from field experiments are used for mapping between CL and SNR to UL throughput. For 5G timeframe, data is based on Band n7 (2600 MHz) with 20 MHz channel bandwidth and 1T4R antenna configuration, and for 6G timeframe we use Band n78 (3600 MHz), 90 MHz channel bandwidth and 1T8R antenna configuration.

Data for band n78 is based on TDD system on 90 MHz bandwidth, while the target system is assumed to be FDD with 100 MHz bandwidth. Thus, we normalize the measured data to the target system. This results to CL and SNR vs Throughput graphs as shown in Figure 11 and Figure 12.

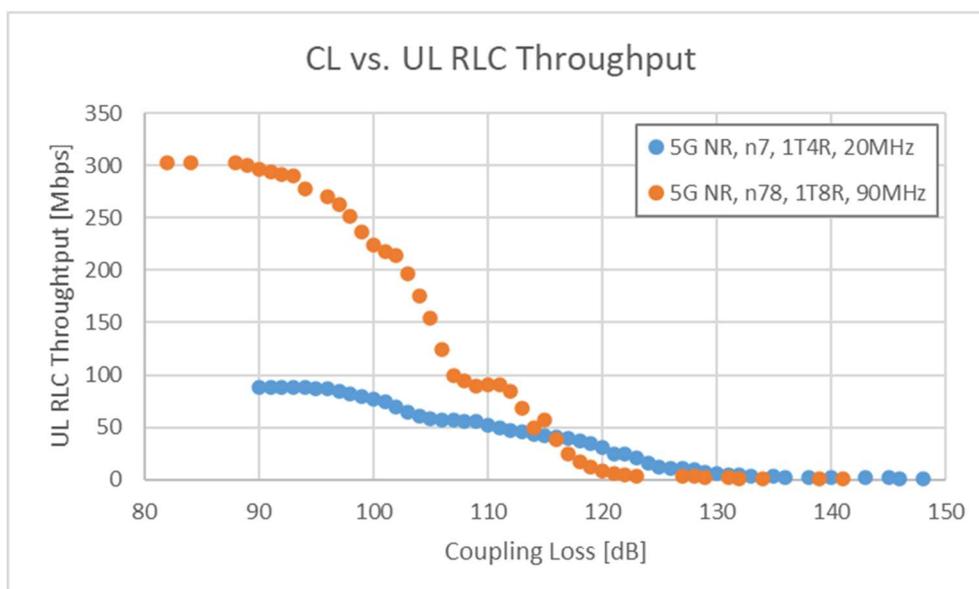


Figure 11 Coupling Loss vs Throughput

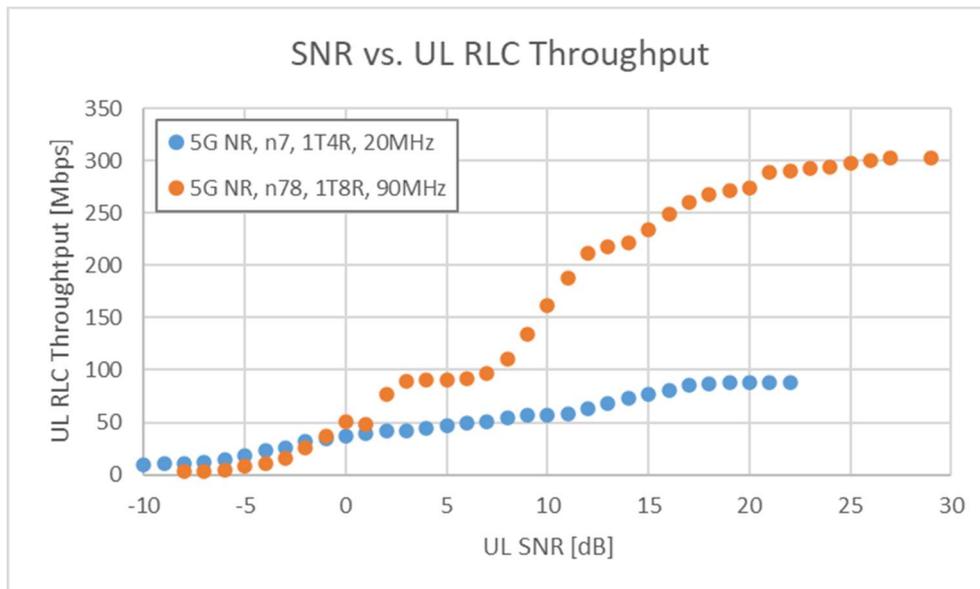


Figure 12 SNR vs Throughput

#### 4.2.4 Comparison with target KPIs and conclusion

Comparing with the 6G target KPIs outlined in chapter 3, we can conclude that the throughput values for the Downlink resulting from the above link budget study meet the target values as shown in Table 14.

For Uplink throughput calculation, two different methods were used, resulting in slightly different values. The Table 14 shows averaged value of both methods.

| KPI Targets for 6G timeframe      | Peak Data Rate | Experienced Data Rate |
|-----------------------------------|----------------|-----------------------|
| Data rate (user terminated or DL) | 1000 Mbps      | 500 Mbps              |
| Data rate (user originated or UL) | 100 Mbps       | 50 Mbps               |

| Derived throughput values for 6G  | Peak Data Rate | Cell Edge Data Rate |
|-----------------------------------|----------------|---------------------|
| Data rate (user terminated or DL) | 1250 Mbps      | 810 Mbps            |
| Data rate (user originated or UL) | 320 Mbps       | 37 Mbps             |

Table 14 Target and calculated throughput values

## 4.3 Links to terrestrial BS

### 4.3.1 Links from terrestrial base stations to airborne users

This section presents a link-level analysis of terrestrial mobile networks serving aerial users flying below 2000 m height (i.e., up to approximately 6500 ft). The focus is on the data channel for uplink (UL) and downlink (DL), with the DL being the link from a base station transmitting data to an aerial user, and the UL being the link from an aerial user transmitting to a terrestrial base station. This link is highlighted in red in Figure 13.

The link performance analysis is applicable to airborne vehicles such as uncrewed aerial vehicles (UAV), electric vertical take-off and landing (eVTOL) aircraft, and helicopters.

Frequencies within FR1 and FR3 are considered.

### 4.3.2 Assumption on system, network and base station parameters

System parameters are listed in Table 15.

| Parameter                         | 5G timeframe | 6G timeframe |
|-----------------------------------|--------------|--------------|
| Carrier frequency (GHz)           | 3.5          | 10           |
| Bandwidth (MHz)                   | 20           | 100          |
| Sub carrier spacing (kHz)         | 30           | 30           |
| Base station transmit power (dBm) | 53           | 53           |
| Base station antenna gain (dBi)   | 24.2         | 33.8         |

Table 15 System parameters for links to/from terrestrial base station

Terrestrial base stations for the 5G timeframe are equipped with a 4-by-8 array of subarrays where each subarray has 2-by-1 cross-polarized antennas. For 6G timeframe, base station antennas consist of a configuration of a 4-by-24 array of subarrays and each subarray has 6-by-1 cross-polarized antennas. The antenna elements have  $65^\circ$  half-power beamwidth in both azimuth and elevation, and an element gain of 6.2 dBi.



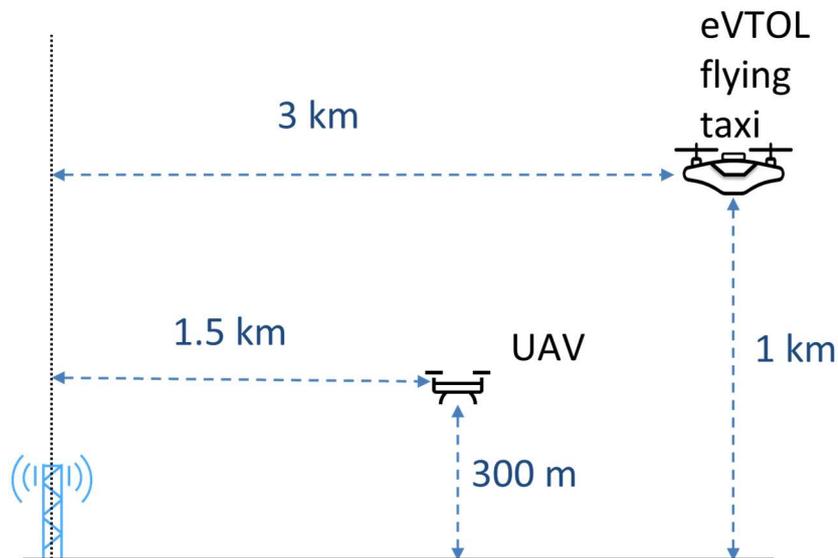


Figure 14 Assumptions on airborne vehicles

#### 4.3.4 Link budget calculations

Link budget for UAV case is calculated for both the 5G and 6G timeframe, while the link budget for the flying taxi is computed for 6G timeframe. Table 16 shows link budget in the downlink for the relevant scenarios:

| Parameter                                  | Symbol                        | Unit | TN to UAV (DL) 5G | TN to UAV (DL) 6G | TN to Flying taxi (DL) 6G |
|--|-------------------------------|------|-------------------|-------------------|---------------------------|
| <i>System parameters:</i>                  |                               |      |                   |                   |                           |
| Carrier frequency                          | Freq                          | GHz  | 3.5               | 10                | 10                        |
| Channel Bandwidth                          | BW                            | MHz  | 20.0              | 100.0             | 100.0                     |
| <i>Transmitter side:</i>                   |                               |      |                   |                   |                           |
| Transmit power                             | P <sub>out</sub>              | dBm  | 49.0              | 53.0              | 53.0                      |
| Antenna Gain                               | G <sub>TX</sub>               | dBi  | 24.2              | 33.8              | 33.8                      |
| Cable loss, line losses, and switch losses | L <sub>implementationTX</sub> | dB   | 2.0               | 2.0               | 2.0                       |
| Pointing losses                            | L <sub>pointingTX</sub>       | dB   | 1.0               | 3.0               | 2.0                       |
| <i>Path:</i>                               |                               |      |                   |                   |                           |
| Total TX to RX distance                    |                               | m    | 1525.0            | 1525.0            | 3154.5                    |
| Path loss                                  | PL                            | dB   | 110.0             | 119.1             | 125.4                     |

*Metrics:*

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|   |       |      |       |        |       |
|---|-------|------|-------|--------|-------|
| Effective Isotropically Radiated Power  | EIRP  | dBm  | 70.2  | 81.8   | 82.8  |
| Signal-to-noise ratio                   | SNR   | dB   | 52.2  | 42.1   | 36.2  |
| Signal-to-Interference-plus-noise ratio | SINR  | dB   | 46.1  | 36.1   | 30.2  |
| Capacity                                | C     | Mbps | 281.4 | 1179.6 | 986.4 |
| Throughput                              | Thput | Mbps | 109.8 | 587.7  | 587.7 |

Table 16 Base station – airborne UE link budget in DL

For the UL, Table 17 shows the uplink link budget for the 5G and 6G timeframes.

| Parameter                               | Symbol       | Unit | UAV to TN (UL) 5G | UAV to TN (UL) 6G | Flying taxi to TN (UL) 6G |
|---|--------------|------|-------------------|-------------------|---------------------------|
| <u>System parameters:</u>               |              |      |                   |                   |                           |
| Carrier frequency                       | Freq         | GHz  | 3.5               | 10                | 10                        |
| Channel Bandwidth                       | BW           | MHz  | 20.0              | 100.0             | 100.0                     |
| <u>Transmitter side:</u>                |              |      |                   |                   |                           |
| Transmit power                          | P_out        | dBm  | 23.0              | 23.0              | 26.0                      |
| Pointing losses                         | L_pointingTX | dB   | 1.0               | 3.0               | 2.0                       |
| <u>Path:</u>                            |              |      |                   |                   |                           |
| Total TX to RX distance                 |              | m    | 1525.0            | 1525.0            | 3154.5                    |
| Path loss                               | PL           | dB   | 110.0             | 119.1             | 125.4                     |
| <u>Receiver side:</u>                   |              |      |                   |                   |                           |
| Antenna Gain                            | G_RX         | dBi  | 24.2              | 33.8              | 33.8                      |
| <u>Metrics:</u>                         |              |      |                   |                   |                           |
| Received signal power                   | S_RX         | dBm  | -66.2             | -68.9             | -71.8                     |
| Signal-to-noise ratio                   | SNR          | dB   | 30.2              | 18.1              | 15.2                      |
| Signal-to-Interference-plus-noise ratio | SINR         | dB   | 26.1              | 14.1              | 11.2                      |
| Capacity                                | C            | Mbps | 159.5             | 466.8             | 376.4                     |
| Throughput                              | Thput        | Mbps | 53.7              | 186.7             | 150.6                     |

Table 17 Base station – airborne UE link budget in UL

In Table 16 and Table 17, throughput is computed using the following approximation described in section 6.2.9 in [6]

$$Thput(SINR) = \begin{cases} 0 & \text{for } SINR < SINR_{Min} \\ \alpha \log_2(1 + SINR) & \text{for } SINR_{Min} \leq SINR < SINR_{Max} \\ \alpha \log_2(1 + SINR_{Max}) & \text{for } SINR \geq SINR_{Max} \end{cases}$$

Where  $SINR_{Min}$  is the minimum SINR of the code set used in link adaptation,  $SINR_{Max}$  is the maximum SINR of the code set, and  $\alpha$  is an attenuation factor representing implementation losses. We consider a TDD configuration with DL:UL ratio of 3:2.

Throughput values presented in Table 16 and Table 17 show that target values for 6G time frame presented in section 3 are met.

More details on the calculation can be found in Annex 8.4.

#### 4.3.5 Related work within the 6G-Sky project

Several studies related to the link between ground base station and aerial UEs have been performed within the context of 6G-Sky. For example, in the context of ground base station antenna design, [7] proposes antenna solution for direct-air-to-ground communications, with an antenna design for the 5.9-8.5 GHz band. The main advantages of the solution include wide-band, high-isolation antenna array concept for the ground BS antenna.

High mobility of airborne vehicles introduces challenges related to channel aging, with short-time channel coherence. In this aspect, [8] introduces a novel minimum mean square error (MMSE) receiver that depends only on CSI error statistics and the channel's correlation coefficient. The proposed receiver outperforms other state-of-art methods, especially those with higher autoregressive coefficients. The paper [9] focuses on the impact of pilot spacing in the uplink MU-MIMO systems operating in aging channels. It provides analytical expression between pilot spacing and achieved uplink spectral efficiency for MIMO systems as a function of the path loss, Rician factor and Doppler frequency. The paper also proposes a procedure to determine near-optimal pilot spacing that ensures high quality channel estimates, good link quality and high spectral efficiency in the uplink of terrestrial MIMO systems serving UAVs.

Cell-free systems are expected to play an important role in next generation mobile networks. The work in [10] explores cell-free massive MIMO systems focusing on uplink power allocation. Using a game theory framework, the paper proposes distributed power control approach that enhances energy utilization in user terminals and strikes a balance between spectral and energy efficiency. Antenna tilting can also impact the performance of cell-free systems, this is investigated in [11] for the case when the system serves both ground UEs and UAVs. The research shows that, while uptilt angles benefit UAVs, a fixed downward tilt with linear array setup is best for the entire system. This is due to the loss in spectral efficiency of UAVs is not as significant compared with ground UEs, because UAVs already have good rates and higher spectral efficiency than ground UEs. The work of [12] addresses the challenging problem of jointly controlling pilot-and-data power in cell-free systems. The study formulates two optimization objectives, maximizing minimum spectral efficiency and total spectral efficiency. A solution based on deep reinforcement learning is proposed that outperforms

benchmarking algorithms in terms of minimum spectral efficiency and sum spectral efficiency for several scenarios.

In 5G New Radio (NR), multi-antenna technologies such as massive MIMO and beamforming are beneficial for dual-use networks, i.e., networks serving both terrestrial and aerial users. This is studied in [14] on 3GPP compliant technologies, and four main conclusions are obtained. A first conclusion is that dual-use cellular networks using UE-specific beamforming methods outperform networks using cell-specific beamforming even when uptilted antennas are used. A second conclusion is that the spatial diversity increases when there are aerial users in the system, and this can be used by MU-MIMO to co-schedule more users on the same time-frequency resource. A third conclusion is that significant interference reduction, in both uplink and downlink, is achieved when aerial UEs are equipped with directional antennas. Finally, the paper points out that one of the key challenges to realize advanced beamforming techniques for Advanced Air Mobility is the proper acquisition of CSI at the transmitter side, especially in high mobility scenarios.

A networks perspective of the performance of dual-use network is presented in [13]. The focus is on the coupling between interference and the network load when using technologies such as MU-MIMO, aerial-specific power control and AUE directional antennas. The results show that technologies providing both a reduction in interference and an improved SINR can help dual-use networks to keep appropriate QoS while maintaining a low system-wise resource utilization. For example, managing aerial UE (AUE) interference by using AUE-specific power control is beneficial in many scenarios, but when combined with other interference reduction technologies such as AUE directional antennas the benefits of AUE-specific power control can be limited or non-existent.

## 4.4 Feeder links to satellite

### 4.4.1 Assumptions on system parameters

The limitations in the design of the feeder links are the PFD limits for the downlink, specified by the ITU and the available bandwidths in different frequency ranges.

At this point it should be mentioned that the ITU-R only makes recommendations for the respective regions regarding the limits and that national agencies can also change these for their countries, but we take the ITU's specifications in our considerations into account.

In addition to the regulatory requirements, the following assumptions were made for the specification of the feeder links:

- As described in [23] the 5G NR waveform CP-OFDM suffer significant performance degradation for downlink compared to DVB-S2X due to higher peak-to-average power ratio (PAPR) at higher order modulation. For that reason, we assume 5G NR for the case, if the satellite payload is transparent. For regenerative payloads 5G NR or DVB-S2X could be used in general. As a simplification, since it is not specified how the processing payload is implemented on the UE side (HAPS, UAV, flying taxis) from the satellite perspective, the data rate calculation is based on 5G NR, even if DVB-S2X is slightly more efficient for feeder links.
- Waveform for the 6G timeframe is the same as for 5G (CP-OFDM).
- At the current stage, the FR2-NTN includes only the frequency band Ka (17.3 GHz to 30.0 GHz), but for the terrestrial applications the FR2 includes also frequencies up to 71 GHz (also

the Q/V band). For that reason, we consider Q/V band and especially the higher BW available in Q/V band for the 6G timeframe.

| Parameter                     | 5G Timeframe   | 6G Timeframe  |
|-------------------------------|--|---|
| Link Distance                 | 38178 km (35786 km altitude and an elevation angle of 35°)   |   |
| Frequency Band                | FR2 NTN (Ka band)  | FR2 (Q/V band)  |
| Channel Bandwidth             | 29.5 – 30 GHz (UL): 500 MHz<br>28.6 – 29.1 GHz (UL): 500 MHz<br>19.7 – 20.2 GHz (DL): 500 MHz<br>18.3 – 19.3 GHz (DL): 1 GHz<br>[16] | 42.5 – 43.5 GHz (UL): 1 GHz<br>47.2 – 50.2 GHz (UL): 3 GHz<br>50.4 – 51.4 GHz (UL): 1 GHz<br>37.5 – 39.5 GHz (DL): 2 GHz<br>40.5 – 42.5 GHz (DL): 2 GHz<br>[17] |
| GW EIRP                       | +85 dBW  | +95 dBW   |
| GW G/T                        | 43.7 dB/K [21]   | 42.8 dB/K [18]  |
| Satellite EIRPD               | 57.6 dBW/MHz <sup>2</sup>  | 57.6 dBW/MHz  |
| Satellite G/T                 | 29.1 dB/K [22]   | 33.9 dB/K [22]  |
| Atmospheric loss <sup>3</sup> | UL: 4.3 dB<br>DL: 2.2 dB   | UL: 9.8 dB<br>DL: 6.9 dB  |
| Additional losses             | 3 dB   | 3 dB  |

Table 18 System parameters for links to/from ground station to GEO satellite

In the 6G timeframe, LEO satellite altitude could be lower than for the 5G timeframe to achieve a better link budget also to smaller UE (direct-to-device). Whether it is financially viable to implement a LEO constellation at an altitude of for example 400 km (significantly more satellites are required to achieve coverage in a certain area of the earth than with an LEO 600 constellation) or to ensure coverage with HAPS if necessary, is not considered here. A LEO constellation at an altitude of 600 km is therefore assumed for both 5G and 6G timeframes.

| Parameter         | 5G Timeframe   | 6G Timeframe   |
|-------------------|--|--|
| Link Distance     | 1075 km for LEO at 600 km altitude and an elevation angle of 30°   | 1075 km for LEO at 600 km altitude and an elevation angle of 30°   |
| Frequency Band    | FR2 NTN (Ka band)  | FR2 (Q/V band)   |
| Channel Bandwidth | 29.5 – 30.0 GHz (UL): 500 MHz<br>28.6 – 29.1 GHz (UL): 500 MHz<br>19.7 – 20.2 GHz (DL): 500 MHz<br>18.3 – 19.3 GHz (DL): 1 GHz<br>[16] | 42.5 – 43.5 GHz (UL): 1 GHz<br>47.2 – 50.2 GHz (UL): 3 GHz<br>37.5 – 39.5 GHz (DL): 2 GHz<br>40.5 – 42.5 GHz (DL): 2 GHz<br>[17] |
| GW EIRP           | +80 dBW  | +81 dBW [19]   |
| GW G/T            | 40.0 dB/K [20]   | 35.1 dB/K [19]   |
| Satellite EIRPD   | 26.6 dBW/MHz <sup>4</sup>  | 26.6 dBW/MHz   |

<sup>2</sup> ITU-R Radio Regulations, Edition of 2020, <http://handle.itu.int/11.1002/pub/814b0c44-en>: Defined PFD Limit in dB(W/m<sup>2</sup>) for angles of arrival (25°-90°) above the horizontal plane with Reference bandwidth of 1MHz

<sup>3</sup> ITU-R P.618

<sup>4</sup> ITU-R Radio Regulations, Edition of 2020, <http://handle.itu.int/11.1002/pub/814b0c44-en>: Defined PFD Limit in dB(W/m<sup>2</sup>) for angles of arrival (25°-90°) above the horizontal plane with Reference bandwidth of 1MHz

|                               |                          |                           |
|-------------------------------|--------------------------|---------------------------|
| Satellite G/T                 | 9.1 dB/K [22]            | 13.3 dB/K [22]            |
| Atmospheric loss <sup>5</sup> | UL: 4.8 dB<br>DL: 2.5 dB | UL: 10.9 dB<br>DL: 7.7 dB |
| Additional losses             | 3 dB                     | 3 dB                      |

Table 19 System parameters for links to/from ground station to LEO satellite

#### 4.4.2 Link budget calculations

##### 4.4.2.1 5G timeframe - Ground station to GEO

The figure below shows the uplink link budget calculation for a max. available BW of 400 MHz and a max. resulting data rate of 2.5 Gbit/s.

| Uplink - FR2: GEO 35786 km, elevation angle 35° |                             |                    |            |               |                 |                         |    |           |                 |                     |                  |                             |                    |               |                                   |                                |                        |
|---|-----------------------------|--------------------|------------|---------------|-----------------|-------------------------|----|-----------|-----------------|---------------------|------------------|-----------------------------|--------------------|---------------|-----------------------------------|--------------------------------|------------------------|
| UE  |                             | Satellite          |            |               |                 |                         |    |           |                 |                     |                  | Results - 5G NR Performance |                    |               |                                   |                                |                        |
| max. EIRP [dBW]                                 | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Altitude [km] | Frequency [MHz] | max. available BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB]                    | max. eff. BW [MHz] | eff. BW [MHz] | Count of RB with a BW of 1.44 MHz | Spectral Efficiency [Bit/s/Hz] | max. Datarate [Mbit/s] |
| 85,00   | 59,2                        | 54,9               | 29,1       | 35786         | 29000,0         | 400                     | 2  | 120       | 264             | 35                  | 38178            | 36,3                        | 380,16             | 380,16        | 264                               | 6,67                           | 2534,60                |

Figure 15 Uplink (ground station to GEO) link budget for 5G timeframe

The achievable data rates for further bandwidths as defined in 3GPP TS 38.101 are shown in Table 8. As the CNR is high enough, the increase of the bandwidth leads to an increase in data rate without a sufficient reduction of the spectral efficiency.

| max. available BW [MHz] | max. data rate [Mbit/s] | Data rate/BW factor |
|-------------------------|-------------------------|---------------------|
| 100                     | 633.65                  | 6.34                |
| 200                     | 1267.3                  | 6.34                |
| 400                     | 2534.6                  | 6.34                |
| 800                     | 4761.98                 | 5.95                |
| 1600                    | 9523.96                 | 5.95                |
| 2000                    | 11367.31                | 5.68                |

Table 20 Data rates from ground station to GEO satellite for different BW and the resulting data rate to BW factor

As the maximum bandwidth in the Ka band is 500 MHz, it makes sense to select the specified 3GPP bandwidth of 400 MHz. The total data rate of the feeder links can be increased if several links are set up in parallel and the frequency reuse factor of 2 (RHCP and LHCP) is used.

The link budget results for the DL are shown below.

<sup>5</sup> ITU-R P.618

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| Downlink - FR2: GEO 35786 km, elevation angle 35° |               |                             |                    |            |                 |               |    |           |                 |                     |                  |          |                    |   |                                 |  |
|---|---------------|-----------------------------|--------------------|------------|-----------------|---------------|----|-----------|-----------------|---------------------|------------------|----------|--------------------|---|---------------------------------|--|
| Satellite   |               | UE                          |                    |            |                 |               |    |           |                 |                     |                  | Results  |                    |   |                                 |  |
| PFDF [dBW/m²]                                     | Altitude [km] | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Frequency [MHz] | max. BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB] | max. eff. BW [MHz] | Spectral Efficiency - 5G NTN [Bit/s/Hz] | max. Datarate - 5G NTN [Mbit/s] |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 100,0         | 2  | 120       | 66              | 35                  | 38178            | 52,0     | 95,04              | 6,07                                    | 577,3                           |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 200,0         | 2  | 120       | 132             | 35                  | 38178            | 52,0     | 190,08             | 6,07                                    | 1154,7                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 400,0         | 2  | 120       | 264             | 35                  | 38178            | 52,0     | 380,16             | 6,07                                    | 2309,3                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 800,0         | 2  | 480       | 124             | 35                  | 38178            | 52,0     | 714,24             | 6,07                                    | 4338,7                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 1600,0        | 2  | 480       | 248             | 35                  | 38178            | 52,0     | 1428,48            | 6,07                                    | 8677,4                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 19000,0         | 2000,0        | 2  | 960       | 148             | 35                  | 38178            | 52,0     | 1704,96            | 6,07                                    | 10356,9                         |  |

Figure 16 Downlink (GEO to ground station) link budget for 5G timeframe

The results of the link budget calculation show that a high CNR can be achieved and thus the transmission can take place with the highest Modulation and Coding Scheme (MCS) of 5G. Since no increase in spectral efficiency can be achieved above a CNR of 34.7 dB, it is recommended to reduce the transmission power of the satellite and thus the PFD.

#### 4.4.2.2 6G timeframe - Ground station to GEO

As already described in the assumptions, the Q/V band is used for the feeder links in the 6G timeframe. This means that the maximum bandwidth specified in 3GPP - 2 GHz - can be used. The higher atmospheric losses have no influence on the maximum spectral efficiency, as the link margin is very high.

| Uplink - FR2: GEO 35786 km, elevation angle 35° |                             |                    |            |               |                 |                         |    |           |                 |                     |                  |                             |                    |               |                                    |                                |                        |
|---|-----------------------------|--------------------|------------|---------------|-----------------|-------------------------|----|-----------|-----------------|---------------------|------------------|-----------------------------|--------------------|---------------|------------------------------------|--------------------------------|------------------------|
| UE  |                             | Satellite          |            |               |                 |                         |    |           |                 |                     |                  | Results - 5G NR Performance |                    |               |                                    |                                |                        |
| max. EIRP [dBW]                                 | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Altitude [km] | Frequency [MHz] | max. available BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB]                    | max. eff. BW [MHz] | eff. BW [MHz] | Count of RB with a BW of 11,52 MHz | Spectral Efficiency [Bit/s/Hz] | max. Datarate [Mbit/s] |
| 95,00   | 62,7                        | 59,7               | 33,9       | 35786         | 47000,0         | 2000                    | 2  | 960       | 148             | 35                  | 38178            | 34,9                        | 1704,96            | 1704,96       | 148                                | 6,67                           | 11367,31               |

Figure 17 Uplink (ground station to GEO) link budget for 6G timeframe

The highest spectral efficiency is also achieved in the downlink, so that the 2 GHz bandwidth can also be used for the feeder link.

| Downlink - FR2: GEO 35786 km, elevation angle 35° |               |                             |                    |            |                 |               |    |           |                 |                     |                  |          |                    |   |                                 |  |
|---|---------------|-----------------------------|--------------------|------------|-----------------|---------------|----|-----------|-----------------|---------------------|------------------|----------|--------------------|---|---------------------------------|--|
| Satellite   |               | UE                          |                    |            |                 |               |    |           |                 |                     |                  | Results  |                    |   |                                 |  |
| PFDF [dBW/m²]                                     | Altitude [km] | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Frequency [MHz] | max. BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB] | max. eff. BW [MHz] | Spectral Efficiency - 5G NTN [Bit/s/Hz] | max. Datarate - 5G NTN [Mbit/s] |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 100,0         | 2  | 120       | 66              | 35                  | 38178            | 41,1     | 95,04              | 6,07                                    | 577,3                           |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 200,0         | 2  | 120       | 132             | 35                  | 38178            | 41,1     | 190,08             | 6,07                                    | 1154,7                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 400,0         | 2  | 120       | 264             | 35                  | 38178            | 41,1     | 380,16             | 6,07                                    | 2309,3                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 800,0         | 2  | 480       | 124             | 35                  | 38178            | 41,1     | 714,24             | 6,07                                    | 4338,7                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 1600,0        | 2  | 480       | 248             | 35                  | 38178            | 41,1     | 1428,48            | 6,07                                    | 8677,4                          |  |
| -105,0  | 35786         | 57,6                        | 68,0               | 43,7       | 39000,0         | 2000,0        | 2  | 960       | 148             | 35                  | 38178            | 41,1     | 1704,96            | 6,07                                    | 10356,9                         |  |

Figure 18 Downlink (GEO to ground station) link budget for 6G timeframe

#### 4.4.2.3 5G timeframe - Ground station to LEO

For the same reasoning as for the feeder links in Ka-band, the bandwidth for the LEO satellites is set to 400 MHz. The resulting SNR is high, so the bandwidth can be further increased.

| Uplink - FR2: LEO 600 km, elevation angle 30° |                             |                    |            |               |                 |                         |    |           |                 |                     |                  |                             |                    |               |                                    |                                |                        |
|---|-----------------------------|--------------------|------------|---------------|-----------------|-------------------------|----|-----------|-----------------|---------------------|------------------|-----------------------------|--------------------|---------------|------------------------------------|--------------------------------|------------------------|
| UE  |                             | Satellite          |            |               |                 |                         |    |           |                 |                     |                  | Results - 5G NR Performance |                    |               |                                    |                                |                        |
| max. EIRP [dBW]                               | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Altitude [km] | Frequency [MHz] | max. available BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB]                    | max. eff. BW [MHz] | eff. BW [MHz] | Count of RB with a BW of 11,52 MHz | Spectral Efficiency [Bit/s/Hz] | max. Datarate [Mbit/s] |
| 80,00   | 54,2                        | 34,9               | 9,1        | 600           | 29000,0         | 400                     | 2  | 960       | 33              | 30                  | 1075             | 41,8                        | 380,16             | 380,16        | 33                                 | 6,67                           | 2534,60                |

Figure 19 5G timeframe uplink (ground station to LEO) link budget

With a bandwidth of 400 MHz in the downlink, the same data rate can be achieved as in the uplink.

| Downlink - FR2: LEO 600 km, elevation angle 30° |               |                             |                    |            |                 |               |    |           |                 |                     |                  |          |                    |   |                                 |  |
|---|---------------|-----------------------------|--------------------|------------|-----------------|---------------|----|-----------|-----------------|---------------------|------------------|----------|--------------------|---|---------------------------------|--|
| Satellite                                       |               |                             | UE                 |            |                 |               |    | Results   |                 |                     |                  |          |                    |   |                                 |  |
| PFD [dBW/m²]                                    | Altitude [km] | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Frequency [MHz] | max. BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB] | max. eff. BW [MHz] | Spectral Efficiency - 5G NTN [Bit/s/Hz] | max. Datarate - 5G NTN [Mbit/s] |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 100,0         | 2  | 120       | 66              | 30                  | 1075             | 48,3     | 95,04              | 6,07                                    | 577,3                           |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 200,0         | 2  | 120       | 132             | 30                  | 1075             | 48,3     | 190,08             | 6,07                                    | 1154,7                          |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 400,0         | 2  | 120       | 264             | 30                  | 1075             | 48,3     | 380,16             | 6,07                                    | 2309,3                          |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 800,0         | 2  | 480       | 124             | 30                  | 1075             | 48,3     | 714,24             | 6,07                                    | 4338,7                          |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 1600,0        | 2  | 480       | 248             | 30                  | 1075             | 48,3     | 1428,48            | 6,07                                    | 8677,4                          |  |
| -105,0  | 600           | 26,6                        | 64,3               | 40,0       | 19000,0         | 2000,0        | 2  | 960       | 148             | 30                  | 1075             | 48,3     | 1704,96            | 6,07                                    | 10356,9                         |  |

Figure 20 5G timeframe downlink (LEO to ground station) link budget

#### 4.4.2.4 6G timeframe - Ground station to LEO

Since the bandwidth of 2 GHz is available in the Q/V band, the link budgets are calculated with 2 GHz bandwidth. In this case, too, the maximum spectral efficiency can be achieved. This results in a data rate of 11.367 Gbit/s per feeder link can be achieved.

| Uplink - FR2: LEO 600 km, elevation angle 30° |                             |                    |            |               |                 |                         |    |                             |                 |                     |                  |          |                    |               |                                    |                                |                        |
|---|-----------------------------|--------------------|------------|---------------|-----------------|-------------------------|----|-----------------------------|-----------------|---------------------|------------------|----------|--------------------|---------------|------------------------------------|--------------------------------|------------------------|
| UE  |                             |                    | Satellite  |               |                 |                         |    | Results - 5G NR Performance |                 |                     |                  |          |                    |               |                                    |                                |                        |
| max. EIRP [dBW]                               | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Altitude [km] | Frequency [MHz] | max. available BW [MHz] | FR | SCS [kHz]                   | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB] | max. eff. BW [MHz] | eff. BW [MHz] | Count of RB with a BW of 11,62 MHz | Spectral Efficiency [Bit/s/Hz] | max. Datarate [Mbit/s] |
| 81,00   | 48,7                        | 39,1               | 13,3       | 600           | 47000,0         | 2000                    | 2  | 960                         | 148             | 30                  | 1075             | 31,3     | 1704,96            | 1704,96       | 148                                | 6,67                           | 11367,31               |

Figure 21 6G timeframe uplink (ground station to LEO) link budget

The maximum spectral efficiency is not achieved in the downlink. Since the transmission power on the satellite side is determined by the defined PFD limits, the link budget can be improved with a better G/T on the gateway side.

| Downlink - FR2: LEO 600 km, elevation angle 30° |               |                             |                    |            |                 |               |    |           |                 |                     |                  |          |                    |   |                                 |  |
|---|---------------|-----------------------------|--------------------|------------|-----------------|---------------|----|-----------|-----------------|---------------------|------------------|----------|--------------------|---|---------------------------------|--|
| Satellite                                       |               |                             | UE                 |            |                 |               |    | Results   |                 |                     |                  |          |                    |   |                                 |  |
| PFD [dBW/m²]                                    | Altitude [km] | max. EIRP Density [dBW/MHz] | Antenna Gain [dBi] | G/T [dB/K] | Frequency [MHz] | max. BW [MHz] | FR | SCS [kHz] | N <sub>RB</sub> | Elevation angle [°] | Slant range [km] | CNR [dB] | max. eff. BW [MHz] | Spectral Efficiency - 5G NTN [Bit/s/Hz] | max. Datarate - 5G NTN [Mbit/s] |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 100,0         | 2  | 120       | 66              | 30                  | 1075             | 31,7     | 95,04              | 5,39                                    | 511,9                           |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 200,0         | 2  | 120       | 132             | 30                  | 1075             | 31,7     | 190,08             | 5,39                                    | 1023,7                          |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 400,0         | 2  | 120       | 264             | 30                  | 1075             | 31,7     | 380,16             | 5,39                                    | 2047,5                          |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 800,0         | 2  | 480       | 124             | 30                  | 1075             | 31,7     | 714,24             | 5,39                                    | 3846,7                          |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 1600,0        | 2  | 480       | 248             | 30                  | 1075             | 31,7     | 1428,48            | 5,39                                    | 7693,5                          |  |
| -105,0  | 600           | 26,6                        | 59,4               | 35,1       | 39000,0         | 2000,0        | 2  | 960       | 148             | 30                  | 1075             | 31,7     | 1704,96            | 5,39                                    | 9182,5                          |  |

Figure 22 6G timeframe downlink (LEO to ground station) link budget

#### 4.4.3 Comparison with target values

In Table 21, the expected data rates as defined in chapter 3.1 are compared with the results from the link budget calculation. For the GEO satellite feeder links, all expectations can be met, even if the expected values for the 5G timeframe were assumed for a bandwidth of 500 MHz and the calculated values with a bandwidth of 400 MHz. This is due to a very good link budget and thus a high SNR, so that the maximum spectral efficiency can be achieved in both the uplink and the downlink.

A comparison with a modern satellite, for example the digital transparent KONNECT VHTS (Very High Throughput Satellite) of EUTELSAT, which deliver a total capacity of 500 Gbit/s, shows that 100 feeder links (each 400 MHz and dual polarized) are necessary to ensure this data rate. The

implementation of the feeder links in the Ka-band for such a satellite would be very cost-intensive, so that the feeder links of the KONNECT VHTS are realized in the Ka and Q/V band using DVB-S2X as air interface. According to our link budget calculations with the 5G NR air interface, 23 gateways would be needed to reach the total capacity of 500 Gbit/s.

|     |                       | 5G timeframe |            | 6G timeframe |            |
|-----|-----------------------|--------------|------------|--------------|------------|
|     |                       | Expected     | Achievable | Expected     | Achievable |
| GEO | UL data rate [Gbit/s] | 2,00         | 2,53       | 8,00         | 11,37      |
|     | DL data rate [Gbit/s] | 0,50         | 2,31       | 2,00         | 10,36      |
| LEO | UL data rate [Gbit/s] | 7,42         | 2,53       | 37,10        | 11,37      |
|     | DL data rate [Gbit/s] | 0,21         | 2,31       | 1,06         | 9,18       |

Table 21 Expected vs. achievable data rates for 5G and 6G timeframe

In comparison to the GEO feeder links, where the expected data rates were calculated per 500 MHz bandwidth, the data rate for the LEO feeder links was calculated based on the required data rate of the user links. Therefore, the expected data rate is to be understood as the total data rate. In the 5G timeframe, two feeder links (each with 400 MHz bandwidth and two polarizations) would be needed to achieve the required capacity. The same applies to the 6G timeframe, where the 2 GHz bandwidth in the Q/V band is used.

## 5 Technology components

This section provides description of aspects relevant to multiple links within the 3D architecture. Section 5.1 describes multi technology connectivity for aerial vehicles, and section 5.2 describes free-space optics.

### 5.1 Multi technology connectivity

Aerial vehicles (AVs) such as electric vertical take-off and landing (eVTOL) aircraft will make aerial passenger transportation a reality in urban environments. However, their communication connectivity is still under research to realize their safe and full-scale operation. This paper envisages a multi-connectivity (MC) enabled aerial network to provide ubiquitous and reliable service to AVs. Vertical heterogeneous networks with direct air-to-ground (DA2G) and air-to-air (A2A) communication, high altitude platforms (HAPs), and low Earth orbit (LEO) satellites are considered.

We evaluate the end-to-end (E2E) multi-hop reliability and network availability of the downlink of AVs for remote piloting scenarios, and control/telemetry traffic. Command and control (C2) connectivity service requires ultra-reliable and low-latency communication (URLLC), therefore we analyse E2E reliability and latency under the finite blocklength (FBL) regime. We explore how different MC options satisfy the demanding E2E connectivity requirements taking into account antenna radiation patterns and unreliable backhaul links. Since providing seamless connectivity to AVs is very challenging due to the line-of-sight (LoS) interference and reduced gains of downtilt ground base station (BS) antennas, we use coordinated multi-point (CoMP) among ground BSs to alleviate the intercell interference.

Beyond visual line of sight (BVLOS) remote piloting of an AV requires a communication path between the remote pilot and the AV. In this concept, ground pilots remotely navigate an AV, which can supply pilots with a first-person view by onboard cameras and other useful sensor data. Remote piloting operation emphasizes the demand for resilient E2E communication paths from the remote pilots to the AVs. As eVTOLs and UAVs occupy the sky, they must coordinate with one another as well as other AVs to efficiently share the low-altitude sky. Unmanned traffic management (UTM) introduces the regulation of these vehicles in a more-autonomous manner compared with air traffic management (ATM). Machine-type communications (MTC) can become the dominant connectivity type in UTM rather than human-centric ATM communication in the future [24]. Based on [24], control/telemetry traffic for remote piloting operations of eVTOLs requires a data rate of about 0.25~1 Mbps, E2E latency of less than 10ms~1sec, and a minimum communication reliability of 99.999%.

### 5.1.1 Key Performance Indicators

The most important KPIs related to URLLC are latency, reliability, and network availability. Latency is defined as the delay a packet experiences from the ingress of a protocol layer at the transmitter to the egress of the same layer at the receiver [25]. In the URLLC literature, the reliability is reflected either by packet loss probability or by latency, which we call them error-based and delay-based reliability, respectively. The E2E packet loss probability,  $\mathcal{E}_{E2E}$ , includes different components such as backhaul failure probability, queueing delay violation, decoding error probability, and so on. Therefore, in error-based reliability, the reliability requirement which is defined by

$$\mathcal{R} = 1 - \mathcal{E}_{E2E}$$

can be satisfied if the overall packet loss probability does not exceed  $\varepsilon^{\text{th}}$ . On the other hand, using the convention that dropped packets have infinite latency, authors of [25] define the reliability as the probability that the latency does not exceed a pre-defined threshold  $D^{\text{th}}$ . Thus, in delay-based reliability

$$\mathcal{R} = \Pr \{ \mathcal{D}_{E2E} \leq D^{\text{th}} \}$$

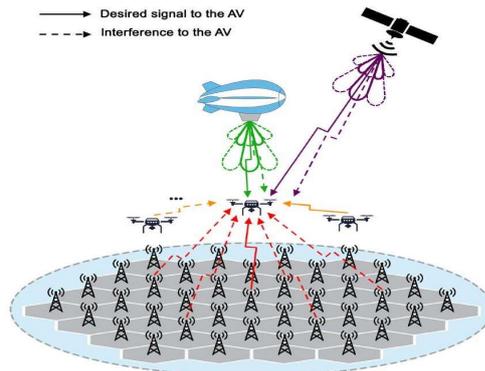


Figure 23 System model.

where  $\mathcal{D}_{E2E}$  is the E2E delay from the transmitter to the receiver.

Different from latency and reliability, which are the QoS required by each user, availability captures the performance of the network how it can respond to the demands of the users, and is another key performance metric for URLLC. In the conventional systems, availability is specified by the packet loss probability which we call it error-based network availability, i.e.,

$$P_A = \Pr \{ \mathcal{E}_{E2E} \leq \varepsilon^{\text{th}} \}$$

However, for URLLC services, availability is defined as the probability that the network can support a service with a target QoS requirement on both latency and reliability [26]. Based on the above definitions, the availability for URLLC services can be described by the following equation, which we call it as delay-aware network availability

$$P_A = \Pr \{ \mathcal{E}_{E2E} \leq \varepsilon^{\text{th}}, \mathcal{D}_{E2E} \leq D^{\text{th}} \}$$

Here  $\varepsilon^{\text{th}}$  and  $D^{\text{th}}$  characterize the QoS requirements in terms of packet error and delay.

### 5.1.2 Multi-connectivity

MC using multiple communication paths simultaneously is the key technology to reduce latency and increase reliability to fulfill strict requirements of AVs' remote piloting. As shown in Figure 23, the system model consists of an integration of multiple RATs including DA2G, A2A, HAP, and LEO satellite communication. For all the RATs, we assume particular frequency band with full frequency reuse such that each link experiences probabilistic interference from all the corresponding links. The E2E path of each RAT is illustrated in Figure 24, a directive path starting with the core network, traversing the backhaul link and the radio link (downlink) to reach the destination AV, which is the AV that remote pilot wants to navigate. The communication links consist of ground BS-to-AV (G2A), HAP ground station-to-HAP (G2H), satellite ground station-to-LEO satellite (G2S), and AV/HAP/LEO satellite-to-AV (A2A/H2A/S2A). In Figure 24, four different E2E paths are shown, i.e., the red line which illustrates "DA2G E2E path" includes the backhaul link to the ground BS and G2A link. "A2A E2E path", illustrated with orange line is defined as the path consisting of backhaul, G2A and A2A links. The green line illustrates the "HAP E2E path" defined as the path consisting of backhaul link to the HAP ground station, G2H and H2A links. Finally, the "LEO satellite E2E path" indicated with violet line includes the backhaul link to the satellite ground station, G2S and S2A links.

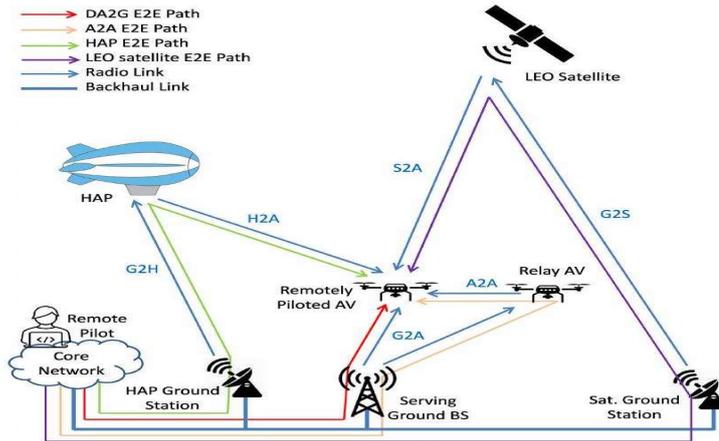


Figure 24 Illustration of multi-RAT and E2E communication paths.

### 5.1.3 Transmission and Combining Strategy

We consider packet cloning for transmitting the message from the remote pilot to the AV over independent links. In this approach, the source sends copies of the message through each of the available links [27]. The combining scheme is joint decoding, where each link is decoded individually. Thus, the overall packet loss probability of  $N$  parallel transmission paths is

$$\mathcal{E}_{E2E} = \prod_{i=1}^N \mathcal{E}_{E2E}^i$$

where  $\mathcal{E}_{E2E}^i$  is the error probability of the  $i$  th path, and  $i \in \{g, a, h, s\}$  refers to different RATs including DA2G, A2A, HAP, and satellite communications, respectively. It also potentially reduces the delay, since only the packet that arrives earlier and is decoded correctly needs to be considered. Hence, the E2E delay of multi-RAT using the cloning scheme is calculated as [27]

$$\mathcal{D}_{E2E} = \min_{i=1,\dots,N} \{\mathcal{D}_{E2E}^i\}$$

where  $\mathcal{D}_{E2E}^i$  is the E2E delay of the  $i$  th path.

#### 5.1.4 SINR calculation

One may obtain the channel coefficient between any two nodes  $x$  and  $y$  as

$$h_{xy} = \left( \frac{g_{xy}}{PL_{xy}} \right)^{1/2} \omega_{xy}$$

where  $g_{xy}$  is the total antenna gain between nodes  $x$  and  $y$  given by the product of their respective antenna gains. Finally, the SINR of X2Y link with bandwidth  $B^{xy}$ ,  $xy \in \{ga, aa\}$ , is calculated as follows

$$\gamma^{xy} = \frac{p_x |h_{xy}|^2}{P_{\text{interf}} \sum_{i \in \mathcal{N}_i} p_{x_i} |h_{x_i y}|^2 + B^{xy} N_0}$$

where  $p_x$  is the transmit power of node  $x$ , and  $N_0$  is the noise spectral density.  $\mathcal{N}_i$  is the set of interfering nodes and,  $h_{x_i y}$  indicates the channel coefficient between the interfering node  $x_i$  and node  $y$ . We assume that interference cancellation techniques can harness interference [30], [31], [32], and it can be explicitly captured by interference probability denoted by  $P_{\text{interf}}$ . It points out that the higher the interference cancellation, the lower the interference probability. Hence, the effect of interference power on the network is affected by  $P_{\text{interf}}$  due to the fact that each potential interferer is modeled as a Bernoulli random variable with a probability of  $P_{\text{interf}}$ . We also assume that the G2H and the G2S links are interference-free, while the interference on H2 A/S2 A links is due to the side lobes of HAP/satellite's antenna overlapping with the main lobes [28], [29].

For the channel models utilized in this section, please refer to our paper in [33].

#### 5.1.5 Reliability and Latency Analysis

##### 5.1.5.1 Transmission Analysis in the FBL Regime

The achievable data rate of the X2Y link,  $R^{xy}$ , with FBL coding and an acceptable Block Error Rate (BLER)  $\epsilon_t^{xy}$ ,  $xy \in \{ga, aa, gh, ha, gs, sa\}$ , has an approximation as [34]

$$R^{xy} \approx B^{xy} \left( C^{xy} - \sqrt{\frac{V^{xy}}{B^{xy} D_t^{xy}} \frac{Q^{-1}(\epsilon_t^{xy})}{\ln 2}} \right) \text{ bits/s}$$

where  $C^{xy} = \log_2(1 + \gamma^{xy})$  is the Shannon capacity and  $V^{xy} = 1 - (1 + \gamma^{xy})^{-2}$  is the channel dispersion. Moreover,  $D_t^{xy}$  is the transmission delay of the X2Y link, and  $Q^{-1}(\cdot)$  refers to the inverse Gaussian Q-function  $Q(x) = \frac{1}{\sqrt{2\pi}} \int_x^\infty e^{-\frac{t^2}{2}} dt$ .

In the FBL regime, decoding error probability is given by

$$\varepsilon_t^{xy} \approx Q\left(f(\gamma^{xy}, R^{xy}, D_t^{xy})\right)$$

where

$$f(\gamma^{xy}, R^{xy}, D_t^{xy}) \triangleq \frac{(B^{xy}C^{xy} - R^{xy})\ln 2}{\sqrt{B^{xy}V^{xy}/D_t^{xy}}}$$

When transmitting a packet that contains  $b$  bits over the allocated channel, the decoding error probability can be obtained by substituting  $D_t^{xy} = \frac{b}{R^{xy}}$  into  $\varepsilon_t^{xy}$  expression. The above expressions are for AWGN channels which contain no fading. Here, we can assume our channel as a quasi-static flat fading channel such that at each realization, its characteristics remain the same.

By adopting ARQ scheme, the packet is retransmitted until it is received correctly, and we assume that there is reliable feedback from the AV to the transmitter as in [35]. Hence, the average transmission delay of the X2Y link is calculated as

$$\bar{D}_t^{xy} = \frac{D_t^{xy}}{1 - \varepsilon_t^{xy}}$$

### 5.1.5.2 Queueing Analysis

As stated in [34], the packet arrival process to the BS in MTC, which is an aggregation of packets generated by multiple sensors, can be modeled as a Poisson process. The event that each sensor at any given instant has a packet to upload or not is modeled as a Bernoulli process. The probability that sensor  $m$  has a packet to upload is denoted by  $P_m$ . Then, the arrival process to the BS is defined as a Poisson process, because the sensors are independent. Since MTC is the connectivity type in our scenario, each remote pilot resembles a sensor that at any time instant may deliver a packet to the AV of interest via node  $x$ . Therefore, if assume that  $M_x$  AVs are served by node  $x$ , where  $x \in \{g, a, h, s\}$  refers to ground BS, relay AV, HAP, and LEO satellite, respectively, the average total arrival rate to node  $x$  is  $\lambda_x = \sum_{m=1}^{M_x} P_m$  packets/s.

Denote the packet dropping probability due to queueing delay violation as

$$\varepsilon_q^x = \Pr\{D_q^x > D_{q,\max}\}$$

where  $D_q^x$  is the queue delay of node  $x$ , and  $x \in \{g, a, h, s\}$ . As described above, the packet arrival process to node  $x$  can be modeled as a Poisson process with the average arrival rate of  $\lambda_x$  packets/s.

Then, the effective bandwidth of node  $x$ , which is the minimal constant packet service rate required to satisfy the queueing delay requirement  $(D_{q,\max}, \varepsilon_q^x)$  can be expressed as follows [34]

$$E_{\text{BW}}^x = \frac{\ln(1/\varepsilon_q^x)}{D_q^x \ln \left[ \frac{\ln(1/\varepsilon_q^x)}{\lambda_x D_q^x} + 1 \right]} \text{ packets /s}$$

## 5.1.6 E2E Delay and Packet Loss Probability

### 5.1.6.1 E2E Path Through DA2G Communication

The E2E delay of DA2G path consists of delay due to backhaul link,  $D_b$ , queue delay in the ground BS,  $D_q^g$ , and the average transmission delay of the G2A link,  $\bar{D}_t^{\text{ga}}$ . Hence, the E2E delay requirement can be satisfied with the following constraint

$$D_b + D_q^g + \bar{D}_t^{\text{ga}} \leq D^{\text{th}}$$

By deploying fiber optic backhaul links, we assume that the backhaul delay for remote piloting is around  $1 \text{ ms}^1$ .

Correspondingly, the overall packet loss probability is due to the backhaul failure, packet dropping in the ground BS's queue with a probability of  $\varepsilon_q^g$ , and decoding error of the G2A link with a probability of  $\varepsilon_t^{\text{ga}}$ . Thus, reliability can be guaranteed if

$$1 - (1 - \varepsilon_b)(1 - \varepsilon_q^g)(1 - \varepsilon_t^{\text{ga}}) \leq \varepsilon^{\text{th}}$$

$\varepsilon_b$  is the failure probability of backhaul link, which is modeled by a Bernoulli process, and  $1 - \varepsilon^{\text{th}}$  is the required reliability.

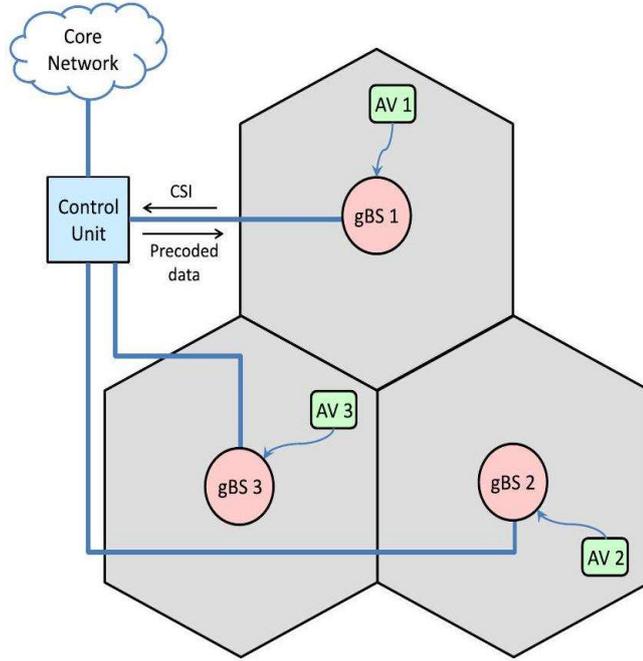


Figure 25 Illustration of centralized CoMP architecture with cluster size of  $N=3$ .

### 5.1.6.2 E2E Communication Path of JT CoMP

Here, we consider a CoMP cluster, consisting of  $N$  ground BSs that are serving  $M$  AVs, where  $M \leq N$ . The E2E delay requirement of JT CoMP with a centralized architecture, introduced in [36], is given by

$$D_b + D_c + D_q^g + \bar{D}_t^{JT} \leq D^{\text{th}}$$

where  $D_b$  as before is the backhaul delay from the core network to the serving ground BSs, and

$$D_c = \max_n \{D_f^{gn} + D_b^C + D_b^D\}$$

is the delay due to CoMP, cf. Figure 25, consisting of the delay that AV $m$ ,  $m \in \{1, \dots, M\}$ , feeds back its channel state information (CSI) to its serving BS $n$ ,  $n \in \{1, \dots, N\}$ , i.e.,  $D_f^{gn}$ , and the backhaul delay between ground BS  $n$  and the control unit (CU) when ground BS  $n$  forwards the local CSI to the CU, i.e.,  $D_b^C$ , and the backhaul delay between CU and ground BS  $n$  when the CU distributes precoded data to ground BS  $n$ , i.e.,  $D_b^D$ . The feedback delay as in [37] is considered a fixed value of 5 ms, and we assume the backhaul delay between the ground BS and CU as  $D_b^C = D_b^D = 0.1\text{ms}$ .<sup>2</sup> Moreover,  $\bar{D}_t^{JT} = \frac{D_t^{ga}}{1 - \varepsilon_t^{JT}}$  is the transmission delay of JT CoMP

The overall packet loss probability of JT with a CoMP cluster size of  $N$  can be calculated as

$$1 - (1 - \varepsilon_b) \left(1 - \prod_{n=1}^N \varepsilon_c^{gn}\right) \left(1 - \prod_{n=1}^N \varepsilon_q^{gn}\right) (1 - \varepsilon_t^{JT}) \leq \varepsilon^{\text{th}}$$

where  $\varepsilon_c^{gn}$  is the probability that ground BS $n$  fails to cooperate in its CoMP cluster and is given by [36]

$$\varepsilon_c^{\text{gn}} = \varepsilon_b^{\text{D}} + (1 - \varepsilon_b^{\text{D}}) \prod_{n=1}^N (\varepsilon_b^{\text{C}} + (1 - \varepsilon_b^{\text{C}}) \varepsilon_f^{\text{gn}})$$

$\varepsilon_b^{\text{D}}$  is the failure probability of the backhaul link between the CU and ground BS  $n$  when the CU transmits precoded data to ground BS  $n$ , and  $\varepsilon_b^{\text{C}}$  is the failure probability of the backhaul link between ground BS  $n$  and the CU when ground BS  $n$  forwards the local CSI to the CU.  $\varepsilon_f^{\text{gn}}$  is the link failure probability of the access link between AV  $m$  and ground BS  $n$ , when the AV feeds back the CSI to ground BS  $n$ . We suppose that the CSI feedback is error free, i.e.,  $\varepsilon_f^{\text{gn}} \approx 0$ , so the channel coefficients between all the AVs and their serving ground BSs are perfectly known at the CU.

Finally,  $\varepsilon_t^{\text{JT}}$  denotes the decoding error probability of JT CoMP and is calculated by  $\varepsilon_t^{\text{JT}} \approx Q\left(f(\gamma^{\text{JT}}, R^{\text{ga}}, D_t^{\text{ga}})\right)$ , where  $\gamma^{\text{JT}}$  is the SINR of AV  $m$  given by

$$\gamma^{\text{JT}} = \frac{p_m}{P_{\text{interf}} \sum_{i \in \mathcal{N}_i} p_i |h_i|^2 + B^{\text{ga}} N_0}$$

$p_m$  denotes the symbol power allocated to AV  $m$  and based on equal power strategy is derived as [38]

$$p_m = \frac{P_{\text{max}}}{\max[\mathbf{W}\mathbf{W}^*]_{j,j}}$$

$\mathbf{W}$  is the zero-forcing precoding obtained as the pseudo-inverse of the channel matrix,  $\mathbf{H} \in \mathbb{C}^{M \times N}$ , available at the CU, i.e.,  $\mathbf{W} = \mathbf{H}^*(\mathbf{H}\mathbf{H}^*)^{-1}$  where  $(\cdot)^*$  denotes the conjugate transpose. We assume disjoint CoMP clusters with intercluster interference, where  $p_i$  in (33) is the transmit power of interfering BS  $i$ , with ground BS's power constraint  $P_{\text{max}}$ . As the worst case of the SINR we assume  $p_i = P_{\text{max}}$ . Since we assume perfect CSI at the CU, the intra-cluster interference due to serving other AVs in the same CoMP cluster is canceled by the zero-forcing precoding.

### 5.1.6.3 E2E Path Through A2A Communication

For the scenario of deploying an AV as a relay to transmit data to the AV of interest, the packet in addition to the DA2G communication path goes across relay AV's queue, with a delay of  $D_q^{\text{a}}$ , and A2A link, with an average delay of  $\bar{D}_t^{\text{aa}}$ . Hence, the delay components should satisfy

$$D_b + D_q^{\text{g}} + \bar{D}_t^{\text{ga}} + D_q^{\text{a}} + \bar{D}_t^{\text{aa}} \leq D^{\text{th}}$$

Correspondingly, the reliability of the A2A communication path can be ensured if

$$1 - (1 - \varepsilon_b)(1 - \varepsilon_q^{\text{g}})(1 - \varepsilon_t^{\text{ga}})(1 - \varepsilon_q^{\text{a}})(1 - \varepsilon_t^{\text{aa}}) \leq \varepsilon^{\text{th}}.$$

If we consider a swarm of parallel coordinated AVs with single-hop transmission to serve the desired AV with joint decoding strategy, the E2E error probability and delay can be calculated by (5) and (6), respectively. In fact, it helps increase reliability by exploiting path diversity in the A2A link.

4) E2E Path Through HAP Communication: For HAP, long distances of G2H and H2A links cause propagation delay in addition to previous delay components. Therefore, the E2E delay requirement of HAP is satisfied if

$$D_b + D_q^g + \bar{D}_t^{gh} + D_p^{gh} + D_q^h + \bar{D}_t^{ha} + D_p^{ha} \leq D^{th}$$

where  $D_p^{gh}$  and  $D_p^{ha}$  are the propagation delay of the G2H link and the H2A link, respectively.  $\bar{D}_t^{ha}$  denotes the average transmission delay of the H2A link.

The overall packet loss probability of the HAP communication, similar to the A2A communication, can be computed as

$$1 - (1 - \varepsilon_b)(1 - \varepsilon_q^g)(1 - \varepsilon_t^{gh})(1 - \varepsilon_q^h)(1 - \varepsilon_t^{ha}) \leq \varepsilon^{th}$$

#### 5.1.6.4 E2E Path Through LEO Satellite Communication

The E2E delay constraint of LEO satellite path, similar to the HAP communication, is given by

$$D_b + D_q^g + \bar{D}_t^{gs} + D_p^{gs} + D_q^s + \bar{D}_t^{sa} + D_p^{sa} \leq D^{th}$$

where  $D_p^{gs}$  and  $D_p^{sa}$  are the propagation delay of the G2 S and S2A links, respectively.  $\bar{D}_t^{sa}$  denotes the average transmission delay of the S2A link.

Due to movement of LEO satellite, in addition to the aforementioned factors, the reliability depends on the availability of LEO satellite links and can be guaranteed if

$$1 - (1 - \varepsilon_b)(1 - \varepsilon_q^g)(1 - \varepsilon_1^{gs})(1 - \varepsilon_t^{gs})(1 - \varepsilon_q^s)(1 - \varepsilon_1^{sa})(1 - \varepsilon_t^{sa}) \leq \varepsilon_{th}$$

$\varepsilon_1^{xy}$ ,  $xy \in \{gs, sa\}$  is the unavailability probability of LEO satellite X2Y link, which is defined as  $1 - P_{vis}^{xy}$ . Here, we approximate the link availability probability with visibility probability which is given by [39]

$$P_{vis}^{xy} = 1 - \left(1 - \frac{d_{max}^{xy} - \hbar_s^2}{4R_e(R_e + \hbar_s)}\right)^{n_s}$$

where  $d_{max}^{xy}$  is the maximum distance between nodes x and y at the minimum elevation angle  $\vartheta_{min}$ . Moreover,  $R_e$  is the Earth radius,  $\hbar_s$  and  $n_s$  are altitude and the number of LEO satellites, respectively.

#### 5.1.7 Simulation Assumptions

In this section, we evaluate the performance of different E2E connectivity paths comprising multiple RATs and investigate how MC can ensure the stringent requirements of remote piloting the eVTOLs. To this end, we consider an urban scenario with macro cells for the ground network. The system parameters are listed in Table 22.

Table 22 System Parameters.

| System parameter                             | Value   |
|--|---------|
| Required reliability, $1 - \varepsilon^{th}$ | 0.99999 |
| Delay threshold, $D^{th}$                    | 20 ms   |

|   |                      |
|---|----------------------|
| Packet size, $b$  | 32 bytes             |
| Average packet arrival rate of AV, $\lambda_a$          | 100 packets/s [34]   |
| Average packet arrival rate of gBS, $\lambda_g$         | 1000 packets/s [34]  |
| Average packet arrival rate of HAP, $\lambda_h$         | 10000 packets/s [40] |
| Average packet arrival rate of satellite, $\lambda_s$   | 10000 packets/s      |
| Queueing delay bound, $D_{\max}^q$                      | 0.7 ms               |
| Queueing delay violation probability, $\varepsilon_q^x$ | $10^{-6}$            |
| Backhaul failure probability, $\varepsilon_b$           | $10^{-6}$ [40]       |
| Carrier frequency of all links in S-band, $f_c$         | 2 GHz                |
| Carrier frequency of satellite links in Ka-band, $f_c$  | 30 GHz               |
| AV Tx power   | 23 dBm[41]           |
| gBS/HAP Tx power  | 46 dBm[41]           |
| LEO Tx power  | 50 dBm[42]           |
| AV Tx/Rx antenna gain, $g_a$                            | 0 dBi[41]            |
| Maximum gain of gBS antenna element, $g_e^{\max}$       | 8 dBi[43]            |
| Maximum gain of HAP Tx/Rx antenna, $g_h^{\max}$         | 32 dBi[40]           |
| Maximum gain of LEO Tx/Rx antenna, $g_s^{\max}$         | 38 dBi               |
| AV Rx noise figure                                      | 9 dB[41]             |
| HAP/LEO Rx noise figure                                 | 5 dB[41]             |
| Number of gBS antenna elements, $N_e$                   | 8 [43]               |
| Downtilt angle, $\phi_t$                                | $102^\circ$ [43]     |
| Inter-site distance (ISD)                               | 500 m[41]            |
| Height of gBS, $h_g$                                    | 25 m[41]             |
| Altitude of AV, $h_a$                                   | 300 m[24]            |
| Altitude of HAP, $h_h$                                  | 20 km[24]            |
| Altitude of LEO satellite, $h_s$                        | 1110 km[46]          |
| Number of LEO satellites, $n_s$                         | 4425[46]             |
| Minimum elevation angle, $\vartheta_{\min}$             | $15^\circ$ [47]      |

|   |  |
|---|--|
| Rice factor of G2A link, $K_{ga}$           | 5 ~ 12 dB[40]                                |
| Rice factor of A2A link, $K_{aa}$           | 12 dB[40]                                    |
| Rice factor of G2H link, $K_{gh}$           | 5 ~ 15 dB[40]                                |
| Rice factor of H2A link, $K_{ha}$           | 12 ~ 15 dB[40]                               |
| Rice factor of G2S link, $K_{gs}$           | 5 ~ 15 dB (S-band),<br>10 ~ 30 dB (Ka-band)  |
| Rice factor of S2A link, $K_{sa}$           | 12 ~ 15 dB (S-band),<br>20 ~ 30 dB (Ka-band) |
| Noise spectral density, $N_0$               | -174 dBm/Hz                                  |
| LoS (NLoS) shadow fading standard deviation | 4 (6)dB [41]                                 |

The resource blocks (RBs) assigned to each AV consist of 4 consecutive RBs. The subcarrier spacing is 0.2 MHz. Therefore, the allocated bandwidth of the X2Y link,  $B^{xy}$ ,  $xy \in \{ga, aa, ha, sa\}$ , to transmit a packet is 0.8 MHz, which does not exceed the coherence bandwidth of 1.2 MHz [44]. The dedicated bandwidth of the G2H/G2S link,  $B^{gh}/B^{gs}$ , is assumed to be fixed as 1 MHz. The queueing delay requirement is considered as  $D_{q,max} = 0.7$  ms and  $\varepsilon_q^x = 10^{-6}$  for  $x \in \{a, g, h, s\}$ . The average packet arrival rate of AV, ground BS, HAP, and satellite is assumed as  $\lambda_a = 100$  packets/s,  $\lambda_g = 1000$  packets/s, and  $\lambda_h = \lambda_s = 10000$  packets/s, respectively. So based on (26), the effective bandwidth of the arrival process to satisfy the queueing delay requirement is determined as  $E_{BW}^a \approx 3700$  packets/s,  $E_{BW}^g \approx 6500$  packets/s, and  $E_{BW}^h = E_{BW}^s \approx 18000$  packets/s. We consider the data rate of all the links,  $R^{xy}$ , as 500 kbps. In addition, probability of interference,  $P_{interf}$ , and CoMP cluster size,  $N$ , are assumed 0.05 and 3, respectively. In our simulations, the system parameters in most cases are as specified above or listed in Table I, unless otherwise stated.

We consider a hexagonal grid for the cellular terrestrial network consisting of 3 tiers, i.e., 37 cells in total. 10 AVs are located randomly with uniform distribution at a fixed altitude over the considered cells. We employ a swarm of at most 3 coordinated AVs, and 6 of AVs are interfering with the AV of interest. The location of the desired AV's serving BS and the HAP/LEO satellite projection on the ground is assumed at the origin. The horizontal distance of the HAP (LEO satellite) and its ground station is set as 5 (300) km. Altitude and number of LEO satellites in Table I are assumed based on Starlink constellation. In [45], the Rician  $K$ -factor was found to increase exponentially with elevation angle between two nodes. Here for simplicity, we assume that the Rician factor of each link increases linearly with the elevation angle. The elevation angles are considered from  $0^\circ$  to  $90^\circ$  with a  $10^\circ$  step, and the Rice factor is assumed to be constant in each interval. The experiments are provided to assess the reliability and network availability of different E2E paths and their parallel combinations for remote piloting of eVTOLs and investigate how we can achieve high E2E reliability and low E2E latency by MC along with adjusting system parameters such as data rate, bandwidth, CoMP cluster size, and interference level.

### 5.1.8 Simulation Results and Conclusions

Figure 26 (a) Reliability and (b) network availability performance of multi-path connectivity vs. data rate. shows the overall error probability and network unavailability of different multi-path connectivity with respect to the data rate when the AVs' allocated bandwidth,  $B^{xy}$ ,  $xy \in \{ga, aa, ha, sa\}$ , is 0.8 MHz. CoMP cluster size and probability of interference are set as 3 and 0.05, respectively. Figure 26 depicts the performance gain of multiple communication paths connectivity with DA2G/JT CoMP as a master connectivity.

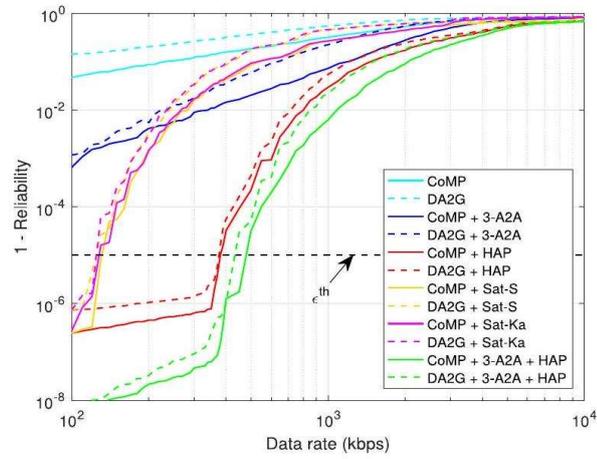
It is observed that for the minimum required data rate of 250 kbps, the reliability of "DA2G +3 -A2A" and "DA2G + Sat-S/Ka" schemes is  $\sim 0.99$ , and their network availability is  $\sim 0.97$  and  $\sim 0.93$ , respectively, which shows improvement compared to the single RAT transmission.

Furthermore, "DA2G + HAP" and "DA2G +3 – A2 A + HAP " schemes improve the target reliability of 0.99999 with network availability of  $\sim 0.999$  up to  $\sim 400$  kbps and  $\sim 500$  kbps data rates, respectively. Additionally, it is shown that JT CoMP improves the reliability and network availability compared with DA2G communication because of combating the intercell interference by cooperation among ground BSs. The results show the cooperation of 3 adjacent ground BSs.

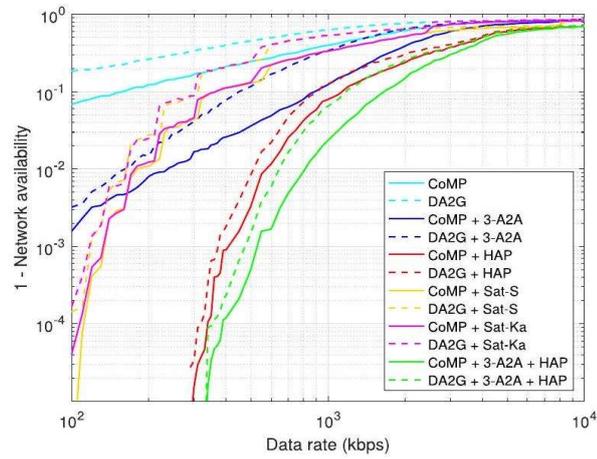
In Figure 27, we investigate how the CoMP cluster size affects the reliability and network availability, when data rate and AV's allocated bandwidth are 500 kbps and 0.8 MHz, respectively, and  $P_{interf} = 0.05$ . As shown in Fig. 7, the reliability and availability can be improved by increasing CoMP cluster size. In this figure, CoMP cluster size of 1 is equivalent to DA2G communication. The performance gap between the cluster size of 1 and 2, i.e., adopting DA2G or JT CoMP, is notable, especially when A2A links via JT CoMP are considered as the auxiliary communication path, such as "CoMP + 3-A2A", "CoMP + 3-A2A + Sat-S/Ka", and "CoMP +3 – A2 A + HAP " schemes.

Thus, utilizing JT CoMP along with A2A links and increasing CoMP cluster size can be a promising approach to achieve the target reliability and network availability. As it is observed, "CoMP +3 – A2 A + HAP" scheme with cluster size of at least 3 can achieve the required reliability in the evaluated scenario.

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(a)



(b)

Figure 26 (a) Reliability and (b) network availability performance of multi-path connectivity vs. data rate.

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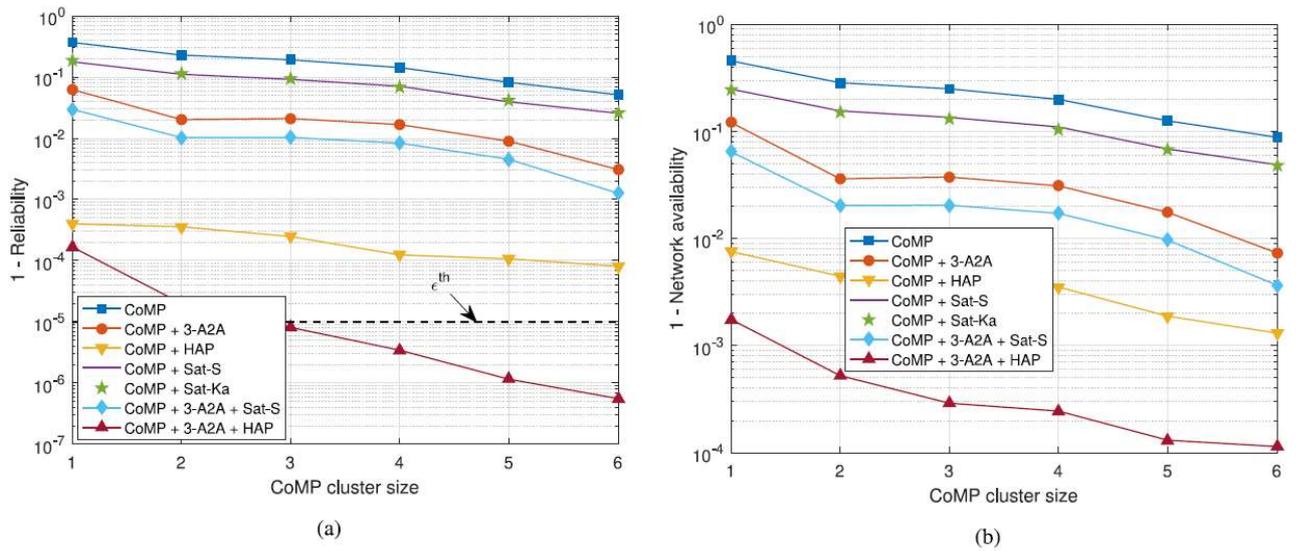


Figure 27(a) Reliability and (b) network availability performance vs. CoMP cluster size.

## 5.2 Free-Space Optics

Free-Space Optics (FSO) could be used as Inter Satellite Links (ISL), Inter HAPS Links and orbit-to-ground links (also called Direct To Earth (DTE)).

Compared to the RF based ISL the main advantages of optical inter satellite links (OISL) are driven by a higher bandwidth, lower terminal SWaP, unlicensed spectrum (no ITU frequency coordination required), and minimized interference between densely packed constellations [48]. Typical requirements for OISL are 10 Gbps and more for 6000 km distance range.

### 5.2.1 Inter Satellite Links

As described in [49], ISLs are necessary to reduce the number of ground stations. Additionally, the ISLs are required to guarantee an ultra-secure communication and gateway independent meshed network data connectivity, from transmitter to receiver.

As minimum of four laser terminals per satellite are required to establish the intra-plane and inter-plane links between the satellites of one constellation. An example for ISLs is shown in the Figure 28. The SWaP of each terminal launched into orbit has a direct impact on the cost and the power needed by the satellite, which translates into the size of the solar panels, power harness, and battery storage [48].

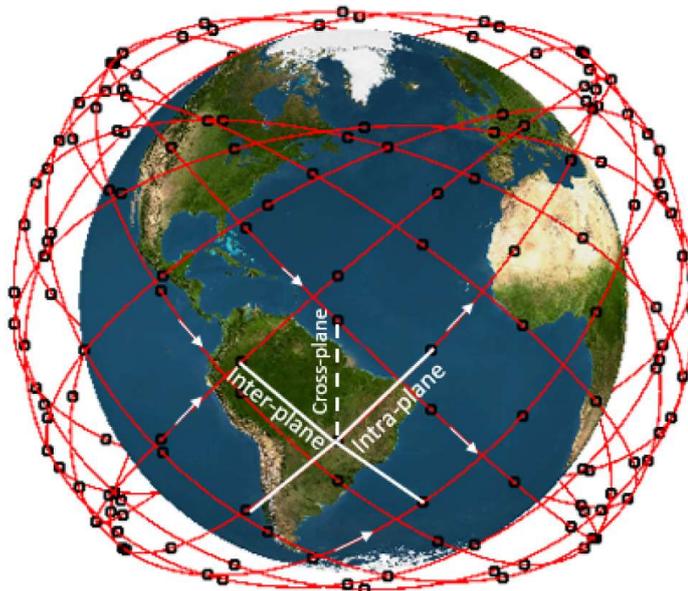


Figure 28 Walker orbit 192/12/45/2<sup>6</sup> highlighting the intra-plane, inter-plane, and cross-plane links between satellites [C. Carrizo, "Optical inter-satellite link terminals for next generation satellite constellations", 2020-03-02]

10 Gb/s and 7,000 km distance can be provided with the SCOT80 laser communication terminal developed by Tesat with a mass of 15 kg and a total power consumption of 80 W [50].

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<sup>6</sup> 192 is the total number of satellites, 12 is the number of orbital planes, the constellation inclination is 45 degrees and 2 is the relative spacing between satellites in adjacent planes

Another example for an OISL flight terminal is CONDOR Mk3 by mynarc<sup>7</sup>. The block diagram in Figure 29 show that the data interface from the satellite processing unit to the electronics system of the OISL terminal is realized via 10 Gigabit Ethernet IEEE 802.3.

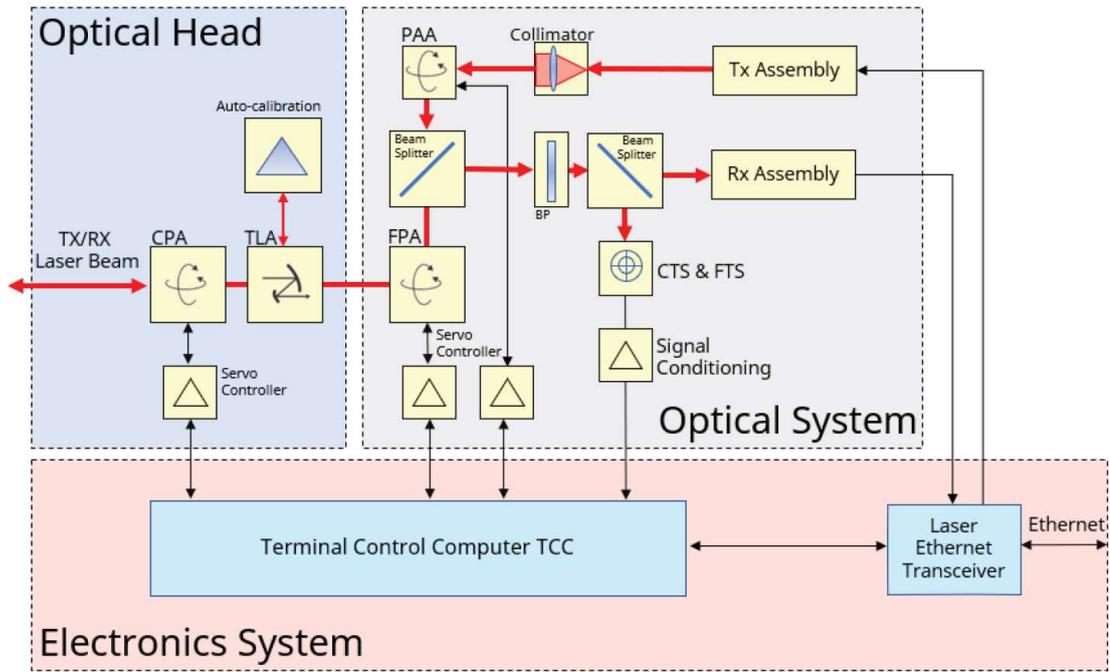


Figure 29 Functional block diagram of the CONDOR terminal [48]

A variant without Coarse Pointing Assembly (CPA), for body-pointing, can be used for intra-plane links, further reducing the weight of the terminal set (4 terminals) by 12 kg [48].

<sup>7</sup> <https://mynarc.com/products/space/>

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| Parameter                            | Nominal Value      |                                      |
|--------------------------------------|--------------------|--------------------------------------|
| Aperture Diameter                    | Ø                  | 80 mm                                |
| Operational Wavelength               | $\lambda$          | C-Band 1550 nm                       |
| Beam Divergence                      |                    | 17.44 $\mu$ rad                      |
| Coarse Steering Range (customizable) | Azimuth            | $\pm 175$ deg                        |
|                                      | Elevation          | (+5, -25) deg                        |
| Fine Steering Range                  | Azimuth            | $\pm 0.35$ deg                       |
|                                      | Elevation          | $\pm 0.35$ deg                       |
| Point-Ahead Steering Range           |                    | $> \pm 0.1$ deg                      |
| Acquisition Field of View            |                    | $\pm 0.125$ deg                      |
| Acquisition Time                     | No Calib. / Calib. | < 30 sec / 2 sec                     |
| Alignment Stability                  |                    | < 1 $\mu$ rad                        |
| Tracking Stability                   |                    | < 1 $\mu$ rad                        |
| Data Rate                            | Per channel        | 10 Gbps                              |
| Dimensions                           | HxWxD              | 590 x 253 x 228 mm (w/CPA)           |
|                                      |                    | 345 x 253 x 167 mm (w/o CPA)         |
|                                      |                    | 345 x 312 x 80 mm (Electronics unit) |
| Mass                                 |                    | < 18 kg                              |
| Input Voltage                        |                    | 28 V (DC)                            |
| Power Consumption                    |                    | < 60 W                               |
| Optical Tx Power                     |                    | 1 W                                  |
| Communication Interfaces             |                    | 802.3 IEEE standard                  |
| Operating Temperature                | min./max.          | -40° C / +60° C                      |
| Lifetime                             |                    | 7 or 10 years                        |

Figure 30 CONDOR Gen1 terminal specification [48]

Figure 31 shows that the system can operate with a reduced data rate of 5 Gbit/s and 3 dB power margin at a maximum distance of 7780 km with the standard terminal configuration. Without the 3 dB margin a data rate of 10 Gbit/s is possible.

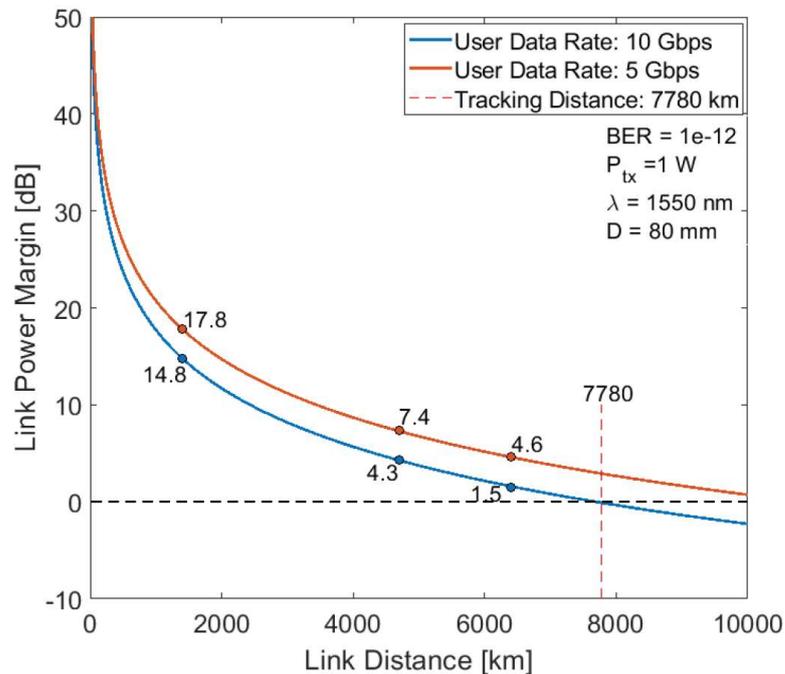


Figure 31 Link power margin for different data rates and link distances [48]

### 5.2.2 Inter HAPS Links

HAPS are defined by the International Telecommunications Union (ITU) as an aerial terminal that can stay at a quasi-stationary position in the stratosphere at an altitude of about 20 – 50 km [51]. As their position is quasi-stationary, the Doppler shift is decreased to a certain level that do not impact the communication performance. The precision and tracking problems can also be neglected due to the quasi-stationary position of HAPS systems.

In general, HAPS can be used as platforms for remote sensing, in sparsely populated areas with limited infrastructure, in case of natural disasters, for navigation and localization [52].

Inter-HAPS links could be used for services with minimal ground network infrastructure - for example, as back up if terrestrial networks are damaged by disasters [51] and major sporting events.

As the maximum cloud height of 13 km can be assumed [53], the optical paths above this altitude are not affected by the cloud blockage [52].

An optical communication terminal module, called SCOT80, developed by TESAT could be used for optical inter HAPS links [50].

### 5.2.3 Links between HAPS and Satellites

See inter satellite links in chapter 5.2.1 of [54].

### 5.2.4 Direct to Earth (DTE)

In general, DTE FSO are already operable but the cloud coverage, harsh weather conditions and atmospheric turbulence can limit communication considerably or make it completely impossible [52] [55]. Thus, the use of DTE FSO links is not suitable in all regions of the world.

European studies on the availability statistics of optical HAP-to-ground links show single-link availabilities ranging from 20% during winter in Northern Europe to over 70% during summer in Southern Europe [56].

Smaller communication terminals from TESAT for small satellites and Direct To Earth (DTE) connections are CUBE LCT (data rate up to 100 Mbps, power consumption 8 W and mass of 360 g) and TOSIRIS (data rate up to 10 Gbps, power consumption 40 W and mass of 8 kg) [57].

### 5.2.5 Overview of FSO Modules

| Nr. | Module     | Data rate [Gbit/s] | Range [km] | Power Consumption [W] <sup>8</sup> | Mass [kg] | Application  | Manufacturer    |
|-----|------------|--------------------|------------|------------------------------------|-----------|--|-----------------|
| 1   | SCOT80     | 10                 | 8000       | 60-86                              | 15        | LEO to LEO   | TESAT           |
| 2   | Smart LCT  | 1.8                | 45000      | 130                                | 30        | LEO to GEO   | TESAT           |
| 3   | LTC 135    | 1.8                | 80000      | 150                                | 53        | GEO to GEO, GEO to LEO, GEO to Airborne, GEO to Ground | TESAT           |
| 4   | CUBE LTC   | 0.1                | 1500       | 8-10                               | 0.397     | LEO to Ground  | TESAT           |
| 5   | TOSIRIS    | 10                 | 1500       | 40                                 | 8         | LEO to Ground  | TESAT           |
| 6   | CONDOR Mk3 | 0.3-2.5            | 6500       | -                                  | -         | LEO to LEO   | mynaric         |
| 7   | CONDOR Mk2 | 0.3-1.25           | 5000       | -                                  | -         | LEO to LEO   | mynaric         |
| 8   | HAWK       | 7                  | 12         | 110-150                            | 13        | Air to Air, Air to Ground                              | mynaric         |
| 9   | LEOCAT     | up to 10           | 4400       | -                                  | -         | LEO  | FSO Instruments |

Table 23 Overview of FSO Modules.

## 6 Sustainability

The information and communications technologies (ICT) industry play an important role in today's digital economy and has enormous potential to improve people's lives by enabling and providing worldwide mobile connectivity and global coverage [58].

Combined airspace and non-terrestrial networks (combined ASN) supporting a 3D network architecture improves resilience of communication infrastructure and promotes digital innovation over large geographical areas. For example, reliable connectivity in rural areas enable activities that are key on improving sustainable development, such as smart agriculture and automation on environmental monitoring. These activities have the potential to improve management of natural resources and contribute to environmental sustainability [58].

## 7 Conclusion

A combined ASN having a 3D architecture can contain different communication links including air-to-air (A2A), air-to-ground (A2G), high altitude platforms stations (HAPS), and non-terrestrial networks (NTN) satellite link communications. This deliverable presented analysis of selected links within a 3D

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<sup>8</sup> depending on data rate

architecture and describes best link parameters and antenna systems for these selected communication channels.

It is noted that a multitude of different bands can be considered for each link. We identified existing and envisioned spectrum candidates for several of the links. For example, a HAPS to terrestrial user link can already use 3GPP bands on sub-6GHz (FR1) for handset type of user equipment (UE). FR3 is a potential new spectrum candidate for this link, for which several studies exist, and which will be further covered in WRC-27.

We set target Key Performance Indicators (KPIs) values for the 6G time frame for selected links, aiming to set realistic figures which are technologically reachable by 6G systems. This is confirmed by analysis of the several links of a 3D architecture network. It is important to properly determined the required data rate of satellite feeder links, so that they do not become a bottleneck.

For HAPS with satellite backhaul, analysis of several antenna configurations has been performed. This analysis identified the antenna configuration bringing the best results for each orbit. For the case of HAPS serving terrestrial UEs, the link analysis concluded that throughput values meet the target values set for the 6G timeframe.

Analysis of the link between terrestrial base station (BS) and airborne UEs, such as unmanned aerial vehicles (UAVs) and electric vertical take-off and landing (eVTOL) flying taxis, concludes that target KPIs for 6G can be meet by using suitable antenna configuration, and large enough bandwidth.

We study how end-to-end connectivity requirements for remote piloting scenarios can be meet using multi connectivity. We show that these requirements are satisfied using multi connectivity, by considering vertical heterogeneous networks with direct air-to-ground (DA2G) and air-to-air (A2A) communication, high altitude platforms, and low Earth orbit (LEO) satellites. Thus, showing that multi connectivity is a key enabler for safe operation of aerial vehicles.

Finally, we explore the use of free-space optics (FSO) for use as Inter Satellite Links (ISL), Inter HAPS Links, links between satellite and HAPS, and orbit-to-ground links. An overview of FSO modules is presented highlighting aspects that make them suitable for each of the mentioned links.

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## 8 Annexes

## 8.1 Target KPI worksheets

Detailed KPI targets for the 5G and 6G timeframes are available in the Figures between Figure 32 and Figure 35.

In these figures the following link definitions are used:

- HAPS\_GEO: link between HAPS and GEO
- HAPS\_MEO: Link between HAPS and MEO
- HAPS\_LEO: Link between HAPS and LEO
- HAPS\_HAPS: Link connecting two HAPS
- GS\_GEO: Link between Ground Station (GS) and GEO
- GS\_LEO: Link between GS and LEO
- HAPS\_UE\_Terr: Link between HAPS and terrestrial UEs
- Terr BS\_UAV, eVTOL, Helicopter: Link between a terrestrial Base station and aerial UE, like UAV, eVTOL, and Helicopter
- AC\_SAT: Link between Aircraft and Satellite
- AC\_Terr BS: Link between Aircraft and terrestrial BS
- AC\_Terr BS Airport: Link between Aircraft and terrestrial BS located at Airport
- Passenger: Requirements for a passenger/crew inside aircraft

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|   | HAPS_GEO      | HAPS_MEO      | HAPS_LEO      | HAPS_HAPS  | GS_GEO                                      | GS_LEO  |
|---|---------------|---------------|---------------|------------|---|---|
| Peak data rate (user terminated or DL)              | 260 Mbps      | 240 Mbps      | 210Mbps       | n/a        | 0.5 Gbit/s                                  | 32Mbit/s ... 212Mbit/s  |
| Peak data rate (user terminated or UL)              | 150 Mbps      | 190 Mbps      | 210Mbps       | n/a        | 2 Gbit/s                                    | 1.2Gbit/s ... 7.42Gbit/s  |
| Experienced user throughput (user terminated or DL) | 260 Mbps      | 240 Mbps      | 210Mbps       | n/a        |   | -   |
| Experienced user throughput (user originated or UL) | 150 Mbps      | 190 Mbps      | 210Mbps       | n/a        |   | -   |
| Beam/cell capacity DL                               | 1444 Mbps     | 1333 Mbps     | 1167Mbps      | n/a        |   | 32Mbit/s ... 212Mbit/s  |
| Beam/cell capacity UL                               | 833 Mbps      | 1055 Mbps     | 1167Mbps      | n/a        |   | 1.2Gbit/s ... 7.42Gbit/s  |
| Total number of Beams/cells DL                      | 500           | 16            | 16            | n/a        | 1-8   | 1-4   |
| Total number of Beams/cells UL                      | 500           | 16            | 16            | n/a        | 1-8   | 1-4   |
| 5th percentile spectral efficiency DL               |               |               |               | n/a        |   | -   |
| 5th percentile spectral efficiency UL               |               | 1333.333333   |               | n/a        |   | -   |
| traffic capacity (DL)                               |               |               |               | 10 Gbps    |   | -   |
| traffic capacity (UL)                               |               |               |               | 10 Gbps    |   | -   |
| Minimum elevation angle                             | 30°           | 30°           | 30°           | n/a        | -   | -   |
| Acquisition time                                    | 5s to 60s     | 60s           | 60s           | n/a        | -   | -   |
| Reliability/Availability (%)                        |               |               |               | 99.9       | 99.9  | 99.9  |
| User density  |               |               |               | n/a        | 1-2   | -   |
| mobility  |               |               |               | < 500 km/h | -   | -   |
| UE type   | 6MHz, Ka band | 6MHz, Ka band | 6MHz, Ka band | n/a        |   |   |
| Max EIRP  | 49 dBW        | 49 dBW        | 49 dBW        | n/a        | 55dBW                                       |   |
| G/T   | 11.2 dBi      | 11.2 dBi      | 11.2 dBi      | n/a        | 36dB/K                                      |   |
| Polarization  | RHCP/LHCP     | RHCP/LHCP     | RHCP/LHCP     | n/a        | circular                                    | circular  |
| Location (geographic location)                      |               |               |               | Global     |   |   |
| Height considerations                               |               |               |               | >14 km     |   |   |
| End to end latency                                  | 142ms         | 37ms          | 7ms           | < 600 km   | 541.46 ms (max.), 477.48 ms (min.) (Note 1) |   |
| C-plane latency                                     |               |               |               | 10 ms      |   | 40 ms   |
| U-plane latency                                     |               |               |               | n/a        |   | 10 ms   |
| Source / Reference (e.g. 3GPP TS)                   |               |               |               | n/a        |   | ITU-R M.2514-0, 3GPP TSG RAN WG1 #116   |
| Notes/ Comments                                     |               |               |               | n/a        | Note 1: 3GPP TR 38.811                      | <a href="https://www.itu.int/dms_pub/itu-r/opb/rep/R-REP-M.2514-2022-PDF-E.pdf">https://www.itu.int/dms_pub/itu-r/opb/rep/R-REP-M.2514-2022-PDF-E.pdf</a> |

Figure 32 Technical performance requirements in 5G time frame for selected HAPS and satellite links

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|   | HAPS_GEO                             | HAPS_MED                             | HAPS_LEO                             | HAPS_HAPS  | GS_GEO  | GS_LEO      |
|---|--------------------------------------|--------------------------------------|--------------------------------------|------------|---|-------------|
| Peak data rate (user terminated or DL)              | 790 Mbps                             | 740 Mbps                             | 660Mbps                              | n/a        | 2 Gbit/s  | 1.06 Gbit/S |
| Peak data rate (user terminated or UL)              | 325 Mbps                             | 440 Mbps                             | 510Mbps                              | n/a        | 8 Gbit/s  | 37.1 Gbit/s |
| Experienced user throughput (user terminated or DL) | 790 Mbps                             | 740 Mbps                             | 660Mbps                              | n/a        |   | -           |
| Experienced user throughput (user originated or UL) | 325 Mbps                             | 440 Mbps                             | 510Mbps                              | n/a        |   | -           |
| Beam/cell capacity DL                               | 1462 Mbps                            | 1370 Mbps                            | 1222Mbps                             | n/a        |   | 1.06 Gbit/S |
| Beam/cell capacity UL                               | 602 Mbps                             | 814 Mbps                             | 944Mbps                              | n/a        |   | 37.1 Gbit/s |
| Total number of Beams/cells DL                      | 1000                                 | 100                                  | 100                                  | n/a        | 1-8   | 1-4         |
| Total number of Beams/cells UL                      | 1000                                 | 100                                  | 100                                  | n/a        | 1-8   | 1-4         |
| 5th percentile spectral efficiency DL               |                                      |                                      |                                      | n/a        |   | -           |
| 5th percentile spectral efficiency UL               |                                      | 0                                    |                                      | n/a        |   | -           |
| traffic capacity (DL)                               |                                      |                                      |                                      | 100 Gbps   |   | -           |
| traffic capacity (UL)                               |                                      |                                      |                                      | 100 Gbps   |   | -           |
| Minimum elevation angle                             | 30°                                  | 30°                                  | 30°                                  | n/a        | -   |             |
| Acquisition time                                    | 2s to 20s                            | 20s                                  | 30s                                  | n/a        | -   |             |
| Reliability/Availability (%)                        |                                      |                                      |                                      | 99.99      | 99.99   | 99.99       |
| User density  |                                      |                                      |                                      | n/a        | 1-4   |             |
| mobility  |                                      |                                      |                                      | < 500 km/h | -   | -           |
| UE type   | VSAT (25W BUC), BW = 108MHz, Ka band | VSAT (25W BUC), BW = 108MHz, Ka band | VSAT (25W BUC), BW = 108MHz, Ka band | n/a        |   |             |
| Max EIRP  | 49.4 dBW                             | 49.4 dBW                             | 49.4 dBW                             | n/a        | 0   |             |
| G/T   | 11.6 dBi                             | 11.6 dBi                             | 11.6 dBi                             | n/a        | 0   |             |
| Polarization  | RHCP/LHCP                            | RHCP/LHCP                            | RHCP/LHCP                            | n/a        | circular  | circular    |
| Location (geographic location)                      |                                      |                                      |                                      | Global     |   |             |
| Height considerations                               |                                      |                                      |                                      | >14 km     |   |             |
| End to end latency                                  | 142ms                                | 37ms                                 | 7ms                                  | < 600 km   | Transparent:<br>541.46 ms (max.), 477.48 ms (min.) (Note 1)<br>Regenerative:<br>270.73 ms (max.), 238.74 ms (min.) (Note 1) |             |
| C-plane latency                                     |                                      |                                      |                                      | 1 ms       |   |             |
| U-plane latency                                     |                                      |                                      |                                      | n/a        |   |             |
| Source / Reference (e.g. 3GPP TS)                   |                                      |                                      |                                      | n/a        |   |             |
| Notes/ Comments                                     |                                      |                                      |                                      | n/a        | Note 1: 3GPP TR 38.811  |             |

Figure 33 Technical performance requirements in 6G time frame for selected HAPS and satellite links

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|   | HAPS_UE_Terr  |                    | Terr BS_UAV, eVTOL, Helicopter  |   |
|---|---|--------------------|---|---|
|   | 5G timeframe  | 6G timeframe       | 5G timeframe  | 6G timeframe  |
| Peak data rate (user terminated or DL)              | 200 Mbps  | 1000Mbps           |   |   |
| Peak data rate (user originated or UL)              | 50 Mbps   | 100Mbps            |   |   |
| Experienced user throughput (user terminated or DL) | 100Mbps   | 500Mbps            | 15 Mbit/s   | 50 Mbit/s   |
| Experienced user throughput (user originated or UL) | 25 Mbps   | 50Mbps             | 7.5 Mbit/s  | 25 Mbit/s   |
| Beam/cell capacity DL                               | 200 Mbps  | 1000Mbps           |   |   |
| Beam/cell capacity UL                               | 50 Mbps   | 250Mbps            |   |   |
| Total number of Beams (cells) DL                    | <20   | 91                 |   |   |
| Total number of Beams (cells) UL                    | <20   | 91                 |   |   |
| 5th percentile spectral efficiency DL               | 2   | 4                  |   |   |
| 5th percentile spectral efficiency UL               | 0.5   | 1                  |   |   |
| traffic capacity (DL)                               | 200 Mbps  | 25Gbps             | 50 Mbit/s/aircraft (Note 1)   | 100 Mbit/s/aircraft (Note 1)  |
| traffic capacity (UL)                               | 100 Mbps  | 10Gbps             | 25 Mbit/s/aircraft (Note 2)   | 120 Mbit/s/aircraft (Note 2)  |
| Minimum elevation angle                             | 45  | 20                 |   |   |
| Acquisition time                                    | n/a   | n/a                |   |   |
| Reliability/Availability (%)                        | 99.9  | 99.99              | 0.999   | 0.999   |
| Throughput per km2                                  | 0.7   | 3                  |   |   |
| mobility  | 300 km/h  | 500 km/h           | up to 500 km/h (Note 3)   | up to 500 km/h (Note 3)   |
| UE type   | Smartphone type UE  | Smartphone type UE | aircraft mounted  | aircraft mounted  |
| MIMO mode   | 1Tx4R   | 2Tx8R              |   |   |
| Max TX power  | 23 dBm  | 26 dBm             |   |   |
| Antenna gain  | 0 dBi   | 12 dBi             |   |   |
| Polarization  | linear  | linear             |   |   |
| xNB type  | gNB   |                    |   |   |
| MIMO mode   | 2 (DL), 1 (UL)  | 2 (DL), 2 (UL)     |   |   |
| Max TX power  | 10 W  | 100 W              |   |   |
| Antenna gain  | 28 dBi  | 38 dBi             |   |   |
| Polarization  | Linear  | Linear             |   |   |
| BW  | 20MHz   | 100MHz             |   |   |
| Location (geographic location)                      | Europe  | Europe             |   |   |
| Height considerations                               | 14...20 km  | 14...20 km         |   |   |
| End to end latency                                  | 20 ms   | 10 ms              | 100 ms UL / 20 ms DL (Note 4)   | 100 ms UL / 20 ms DL (Note 4)   |
| Source / Reference (e.g. 3GPP TS)                   | DT 5G HAPS Experiments                                      | n/a                |   |   |
| Notes/ Comments                                     | HAPS Experiments using 5G over 20MHz channel BW on B7, FDD. |                    | <p>Note 1: Enough for up to 3 active UEs at 15 Mbit/s each plus 5 Mbit/s for aircraft data payload.</p> <p>Note 2: Either up to 3 active users at (7.5 Mbit/s) or use the 25 Mbit/s for remote UAV controller with HD video.</p> <p>Note 3: EASA eVTOL certification is valid for speeds up to 250 knots calibrated air speed (KCAS) approx 463 Km/h</p> <p>Note 4: From the remote UAV controller with HD video use case in 3GPP TS 22.125.Aim to get at least rural eMBB in 5G specs ITU M.2410</p> | <p>Note 1: Enough for 2 active UEs at 50 Mbit/s each.</p> <p>Note 2: Either, 2 active users at 25 Mbit/s each plus 50 Mbit/s for video or use the 120 Mbit/s for laser mapping/HD patrol.</p> <p>Note 3: EASA eVTOL certification up to 250 knots calibrated air speed (KCAS) approx 463 Km/h</p> <p>Note 4: From the remote UAV controller with HD video use case in 3GPP TS 22.125.Aim to get at least rural eMBB in 5G specs ITU M.2410.</p> |

Figure 34 Technical performance requirements in 5G and 6G time frames HAPS - Terrestrial UE link and the link between terrestrial BS and aerial UEs

|   | AC_SAT           | AC_Terr BS       | AC_Terr BS Airport                | Passenger                    |
|---|------------------|------------------|-----------------------------------|------------------------------|
| Experienced user throughput (user terminated or DL) | 1200 Mbit/s      | 1200 Mbit/s      | 200 Mbit/s                        | 50 Mbit/s                    |
| Experienced user throughput (user originated or UL) | 600 Mbit/s       | 600 Mbit/s       | 300 Mbit/s                        | 30 Mbit/s                    |
| mobility  | up to 1300km/h   | up to 1300km/h   | up to 500km/h                     |                              |
| UE type   | aircraft mounted | aircraft mounted | aircraft mounted                  | Passenger/Crew/... BYOD, MTC |
| Location (geographic location)                      | moving           | moving           | moving within 20km airport radius | moving within cabin          |
| Height considerations                               | 0 to 12000m      | 0 to 12000m      | 0 to 1500m                        | (0 to 13000m)                |

Figure 35 KPIs in 6G time frame for selected links to aircraft

## 8.2 Link budget worksheets for GEO/MEO/LEO - HAPS link

Detailed link budget calculations are presented in the following tables.

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| ID | Generation | orbit type | orbit height [km] | maximal distance [km] | max latency [ms] | link type | antenna 1      | EIRP [dBW] | antenna 2      | G/T [dB/K] | frequency [GHz] | bandwidth [MHz] | atmospheric losses [dB] | implementation penalty [dB] | CNR [dB] | channel capacity [Mb/s] |
|----|------------|------------|-------------------|-----------------------|------------------|-----------|----------------|------------|----------------|------------|-----------------|-----------------|-------------------------|-----------------------------|----------|-------------------------|
| 1  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | A              | 49         | GEO UL antenna | 29.1       | 30              | 36              | 2                       | 3                           | 12.4122  | 151.3345                |
| 1  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna | 72.663     | A              | 11.2       | 20              | 36              | 2                       | 3                           | 21.697   | 259.8238                |
| 2  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | B              | 37.7       | GEO UL antenna | 29.1       | 30              | 36              | 2                       | 3                           | 1.1122   | 43.0749                 |
| 2  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna | 72.663     | B              | 5.2        | 20              | 36              | 2                       | 3                           | 15.697   | 189.1003                |
| 3  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | C              | 42.7       | GEO UL antenna | 29.1       | 30              | 36              | 2                       | 3                           | 6.1122   | 84.4675                 |
| 3  | 5G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna | 72.663     | C              | 5.2        | 20              | 36              | 2                       | 3                           | 15.697   | 189.1003                |
| 4  | 5G         | MEO        | 8000              | 10084.14              | 37.0008          | UL        | A              | 49         | MEO UL antenna | 21.1       | 30              | 36              | 2                       | 3                           | 16.0732  | 193.4851                |
| 4  | 5G         | MEO        | 8000              | 10084.14              | 37.0008          | DL        | MEO DL antenna | 59.663     | A              | 11.2       | 20              | 36              | 2                       | 3                           | 20.358   | 243.9362                |
| 5  | 5G         | MEO        | 8000              | 10084.14              | 37.0008          | UL        | B              | 37.7       | MEO UL antenna | 21.1       | 30              | 36              | 2                       | 3                           | 4.7732   | 72.0174                 |
| 5  | 5G         | MEO        | 8000              | 10084.14              | 37.0008          | DL        | MEO DL antenna | 59.663     | B              | 5.2        | 20              | 36              | 2                       | 3                           | 14.358   | 173.5765                |
| 6  | 5G         | LEO        | 1200              | 1998.881              | 7.3343           | UL        | A              | 49         | LEO UL antenna | 9.1        | 30              | 36              | 2                       | 3                           | 18.1302  | 217.6106                |
| 6  | 5G         | LEO        | 1200              | 1998.881              | 7.3343           | DL        | LEO DL antenna | 43.163     | A              | 11.2       | 20              | 36              | 2                       | 3                           | 17.915   | 215.0776                |
| 7  | 5G         | LEO        | 1200              | 1998.881              | 7.3343           | UL        | B              | 37.7       | LEO UL antenna | 9.1        | 30              | 36              | 2                       | 3                           | 6.8302   | 91.4739                 |
| 7  | 5G         | LEO        | 1200              | 1998.881              | 7.3343           | DL        | LEO DL antenna | 43.163     | B              | 5.2        | 20              | 36              | 2                       | 3                           | 11.915   | 145.7299                |
| 8  | 5G         | LEO        | 600               | 1075.088              | 3.9447           | UL        | A              | 49         | LEO UL antenna | 9.1        | 30              | 36              | 2                       | 3                           | 23.517   | 281.4696                |
| 8  | 5G         | LEO        | 600               | 1075.088              | 3.9447           | DL        | LEO DL antenna | 37.163     | A              | 11.2       | 20              | 36              | 2                       | 3                           | 17.3019  | 207.8702                |
| 9  | 5G         | LEO        | 600               | 1075.088              | 3.9447           | UL        | B              | 37.7       | LEO UL antenna | 9.1        | 30              | 36              | 2                       | 3                           | 12.217   | 149.1303                |
| 9  | 5G         | LEO        | 600               | 1075.088              | 3.9447           | DL        | LEO DL antenna | 37.163     | B              | 5.2        | 20              | 36              | 2                       | 3                           | 11.3019  | 138.8713                |
| 10 | 5G         | LEO        | 300               | 564.168               | 2.07             | UL        | A              | 49         | LEO UL antenna | 3          | 30              | 36              | 2                       | 3                           | 23.0178  | 275.5266                |
| 10 | 5G         | LEO        | 300               | 564.168               | 2.07             | DL        | LEO DL antenna | 31.063     | A              | 11.2       | 20              | 36              | 2                       | 3                           | 16.8026  | 202.0147                |
| 11 | 5G         | LEO        | 300               | 564.168               | 2.07             | UL        | B              | 42.7       | LEO UL antenna | 3          | 30              | 36              | 2                       | 3                           | 16.7178  | 201.021                 |
| 11 | 5G         | LEO        | 300               | 564.168               | 2.07             | DL        | LEO DL antenna | 31.063     | B              | 5.2        | 20              | 36              | 2                       | 3                           | 10.8026  | 133.335                 |

Table 24 Analysis LEO/MEO/GEO links for 5G timeframe

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| ID | generation | orbit type | orbit height [km] | maximal distance [km] | max latency [ms] | link type | antenna 1         | EIRP [dBW] | antenna 2         | G/T [dB/K]      |                 |                         |                             |          |                         |          |
|----|------------|------------|-------------------|-----------------------|------------------|-----------|-------------------|------------|-------------------|-----------------|-----------------|-------------------------|-----------------------------|----------|-------------------------|----------|
|    |            |            |                   |                       |                  |           |                   |            |                   | frequency [GHz] | bandwidth [MHz] | atmospheric losses [dB] | implementation penalty [dB] | CNR [dB] | channel capacity [Mb/s] |          |
| 1  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | A 6G              | 49.4139    | GEO UL antenna 6G | 29.5            | 30              | 108                     | 2                           | 3        | 8.4688                  | 324.5604 |
| 1  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna 6G | 77.4342    | A 6G              | 11.6            | 20              | 108                     | 2                           | 3        | 22.111                  | 794.2264 |
| 2  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | B 6G              | 38.1139    | GEO UL antenna 6G | 29.5            | 30              | 108                     | 2                           | 3        | -2.8312                 | 65.3477  |
| 2  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna 6G | 77.4342    | B 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 16.111                  | 581.7792 |
| 3  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | UL        | C 6G              | 43.1139    | GEO UL antenna 6G | 29.5            | 30              | 108                     | 2                           | 3        | 2.1688                  | 151.7129 |
| 3  | 6G         | GEO        | 35786             | 38608.88              | 141.664          | DL        | GEO DL antenna 6G | 77.4342    | C 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 16.111                  | 581.7792 |
| 4  | 6G         | MEO        | 8000              | 10084.14              | 37.0008          | UL        | A 6G              | 49.4139    | MEO UL antenna 6G | 21.5            | 30              | 108                     | 2                           | 3        | 12.1298                 | 444.4392 |
| 4  | 6G         | MEO        | 8000              | 10084.14              | 37.0008          | DL        | MEO DL antenna 6G | 64.4342    | A 6G              | 11.6            | 20              | 108                     | 2                           | 3        | 20.7719                 | 746.5297 |
| 5  | 6G         | MEO        | 8000              | 10084.14              | 37.0008          | UL        | B 6G              | 38.1139    | MEO UL antenna 6G | 21.5            | 30              | 108                     | 2                           | 3        | 0.82979                 | 123.5951 |
| 5  | 6G         | MEO        | 8000              | 10084.14              | 37.0008          | DL        | MEO DL antenna 6G | 64.4342    | B 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 14.7719                 | 535.078  |
| 6  | 6G         | LEO        | 1200              | 1998.881              | 7.3343           | UL        | A 6G              | 49.4139    | LEO UL antenna 6G | 9.51            | 30              | 108                     | 2                           | 3        | 14.1868                 | 514.8097 |
| 6  | 6G         | LEO        | 1200              | 1998.881              | 7.3343           | DL        | LEO DL antenna 6G | 47.9342    | A 6G              | 11.6            | 20              | 108                     | 2                           | 3        | 18.329                  | 659.8577 |
| 7  | 6G         | LEO        | 1200              | 1998.881              | 7.3343           | UL        | B 6G              | 38.1139    | LEO UL antenna 6G | 9.51            | 30              | 108                     | 2                           | 3        | 2.8868                  | 168.2369 |
| 7  | 6G         | LEO        | 1200              | 1998.881              | 7.3343           | DL        | LEO DL antenna 6G | 47.9342    | B 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 12.329                  | 451.1814 |
| 8  | 6G         | LEO        | 600               | 1075.088              | 3.9447           | UL        | A 6G              | 49.4139    | LEO UL antenna 6G | 9.51            | 30              | 108                     | 2                           | 3        | 19.5737                 | 703.9512 |
| 8  | 6G         | LEO        | 600               | 1075.088              | 3.9447           | DL        | LEO DL antenna 6G | 41.9342    | A 6G              | 11.6            | 20              | 108                     | 2                           | 3        | 17.7158                 | 638.2019 |
| 9  | 6G         | LEO        | 600               | 1075.088              | 3.9447           | UL        | B 6G              | 38.1139    | LEO UL antenna 6G | 9.51            | 30              | 108                     | 2                           | 3        | 8.2737                  | 318.4488 |
| 9  | 6G         | LEO        | 600               | 1075.088              | 3.9447           | DL        | LEO DL antenna 6G | 41.9342    | B 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 11.7158                 | 430.484  |
| 10 | 6G         | LEO        | 300               | 564.168               | 2.07             | UL        | A 6G              | 49.4139    | LEO UL antenna 6G | 3.41            | 30              | 108                     | 2                           | 3        | 19.0744                 | 686.2453 |
| 10 | 6G         | LEO        | 300               | 564.168               | 2.07             | DL        | LEO DL antenna 6G | 35.8342    | A 6G              | 11.6            | 20              | 108                     | 2                           | 3        | 17.2165                 | 620.6046 |
| 11 | 6G         | LEO        | 300               | 564.168               | 2.07             | UL        | B 6G              | 43.1139    | LEO UL antenna 6G | 3.41            | 30              | 108                     | 2                           | 3        | 12.7744                 | 466.3206 |
| 11 | 6G         | LEO        | 300               | 564.168               | 2.07             | DL        | LEO DL antenna 6G | 35.8342    | B 6G              | 5.61            | 20              | 108                     | 2                           | 3        | 11.2165                 | 413.7646 |

Table 25 Analysis LEO/MEO/GEO links for 6G timeframe

### 8.3 Link budget worksheets for HAPS - UE link

| HAPS - UE   Downlink |              |   |             | 6G timeframe                          |  | 5G timeframe                          |  |
|----------------------|--------------|---|-------------|---------------------------------------|--|---------------------------------------|--|
|                      |              |   |             | Scenario 1;<br>HAPS Nadir<br>position | Scenario 2;<br>Service area<br>edge position | Scenario 1;<br>HAPS Nadir<br>position | Scenario 2;<br>Service area<br>edge position |
| <b>Transmitter</b>   | Freq         | Link Budget Frequency                               | GHz         | 8.0                                   | 8.0  | 2.6                                   | 2.6  |
|                      | BW           | Total Bandwidth                                     | MHz         | <b>100</b>                            | <b>100</b>                                   | <b>20</b>                             | <b>20</b>                                    |
|                      | BSTxPwr      | Total Transmit Power available per Transmit channel | W           | <b>1.55</b>                           | <b>1.55</b>                                  | <b>10</b>                             | <b>10</b>                                    |
|                      | BSTxPwr      | Total Transmit Power available per Transmit channel | dBm         | 31.9                                  | 31.9   | 40.0                                  | 40.0   |
|                      | TxChanNo     | Number of TX channels                               | -           | <b>128</b>                            | <b>128</b>                                   | <b>2</b>                              | <b>2</b>                                     |
|                      | DLayers      | Number of DL layers                                 | -           | <b>2</b>                              | <b>2</b>                                     | <b>2</b>                              | <b>2</b>                                     |
|                      | BSTxPwrRE    | TX power per Resource Element                       | dBm         | 17.9                                  | 17.9   | 12.0                                  | 12.0   |
|                      | LFeeder      | Tx FeederLoss (distribution loss)                   | dB          | 0.5                                   | 0.5  | 0.5                                   | 0.5  |
|                      | TxAntGain    | Tx Antenna Gain                                     | dBi         | 37.7                                  | 33.0   | 28.1                                  | 23.4   |
|                      | EIRPRE       | <b>EIRP per RE</b>                                  | <b>dBm</b>  | <b>55.1</b>                           | <b>50.4</b>                                  | <b>39.6</b>                           | <b>34.9</b>                                  |
|                      | EIRP         | <b>Total EIRP</b>                                   | <b>dBm</b>  | <b>90.2</b>                           | <b>85.5</b>                                  | <b>70.6</b>                           | <b>65.9</b>                                  |
| <b>Link Path</b>     | Dist         | Link Distance                                       | km          | 18.3                                  | 63.0   | 18.3                                  | 63.0   |
|                      | FSPL         | FSPL  | dB          | 135.8                                 | 146.5  | 126.0                                 | 136.7  |
|                      | LAtmGas      | Atmospheric Gases and Water Vapor Attenuation       | dB          | 0.1                                   | 0.9  | 0.1                                   | 0.5  |
|                      | LRain        | Rain Attenuation (ITU P.838-3, 10mm/h)              | dB          | 0.1                                   | 2.6  | 0.1                                   | 0.2  |
|                      | Fading       | Fading Margin                                       | dB          | 10.0                                  | 10.0   | 10.0                                  | 10.0   |
|                      | PLTotal      | <b>Path Loss Total</b>                              | <b>dB</b>   | <b>146.0</b>                          | <b>159.9</b>                                 | <b>136.2</b>                          | <b>147.4</b>                                 |
| <b>Receiver</b>      | RxAntGain    | Rx Antenna Gain                                     | dBi         | 12.5                                  | 9.1  | 0                                     | 0  |
|                      | LBody        | Body Loss   | dB          | 3.0                                   | 3.0  | 3.0                                   | 3.0  |
|                      | RxDivGain    | Receiver Diversity Gain                             | dB          | 3.0                                   | 3.0  | 3.0                                   | 3.0  |
|                      | RxLev        | <b>RxLev at Receiver Input</b>                      | <b>dBm</b>  | <b>-78.4</b>                          | <b>-100.5</b>                                | <b>-96.7</b>                          | <b>-112.5</b>                                |
|                      | SCBW         | Subcarrier BW (OFDM SCS)                            | kHz         | 30                                    | 30   | 15                                    | 15   |
|                      | SysTemp      | System Temperature                                  | K           | 290                                   | 290  | 290                                   | 290  |
|                      | RxNF         | Rx LNA NF   | dB          | 8                                     | 8  | 8                                     | 8  |
|                      | RxNfloor     | Receiver Noise Floor                                | dBm         | -121.2                                | -121.2                                       | -124.2                                | -124.2                                       |
|                      | Interference | Interference Margin                                 | dB          | 1.0                                   | 1.0  | 3.0                                   | 3.0  |
|                      | Sefactor     | Spectrum efficiency increase factor                 | -           | 1.20                                  | 1.20   | 1.00                                  | 1.00   |
|                      | SNR          | <b>SNR</b>  | <b>dB</b>   | <b>41.8</b>                           | <b>19.7</b>                                  | <b>24.6</b>                           | <b>8.7</b>                                   |
|                      | Thput        | <b>Throughput (5G NR based)</b>                     | <b>Mbps</b> | <b>1251.0</b>                         | <b>813.5</b>                                 | <b>161.0</b>                          | <b>74.6</b>                                  |

Table 26 Link Budget DL

DL Throughput calculations are based on 3GPP TS 38.214, Section 5.1.3.2

## CELTIC-Next 6G-SKY project Deliverable 2.1 v1.0

| MCS Index<br>$I_{MCS}$ | Modulation Order<br>$Q_m$ | Target code Rate x<br>[1024]<br>R | Spectral efficiency |
|------------------------|---------------------------|-----------------------------------|---------------------|
| 0                      | 2                         | 120                               | 0.2344              |
| 1                      | 2                         | 193                               | 0.377               |
| 2                      | 2                         | 308                               | 0.6016              |
| 3                      | 2                         | 449                               | 0.877               |
| 4                      | 2                         | 602                               | 1.1758              |
| 5                      | 4                         | 378                               | 1.4766              |
| 6                      | 4                         | 434                               | 1.6953              |
| 7                      | 4                         | 490                               | 1.9141              |
| 8                      | 4                         | 553                               | 2.1602              |
| 9                      | 4                         | 616                               | 2.4063              |
| 10                     | 4                         | 658                               | 2.5703              |
| 11                     | 6                         | 466                               | 2.7305              |
| 12                     | 6                         | 517                               | 3.0293              |
| 13                     | 6                         | 567                               | 3.3223              |
| 14                     | 6                         | 616                               | 3.6094              |
| 15                     | 6                         | 666                               | 3.9023              |
| 16                     | 6                         | 719                               | 4.2129              |
| 17                     | 6                         | 772                               | 4.5234              |
| 18                     | 6                         | 822                               | 4.8164              |
| 19                     | 6                         | 873                               | 5.1152              |
| 20                     | 8                         | 682.5                             | 5.332               |
| 21                     | 8                         | 711                               | 5.5547              |
| 22                     | 8                         | 754                               | 5.8906              |
| 23                     | 8                         | 797                               | 6.2266              |
| 24                     | 8                         | 841                               | 6.5703              |
| 25                     | 8                         | 885                               | 6.9141              |
| 26                     | 8                         | 916.5                             | 7.1602              |
| 27                     | 8                         | 948                               | 7.4063              |
| 28                     | 2                         | reserved                          | reserved            |
| 29                     | 4                         | reserved                          | reserved            |
| 30                     | 6                         | reserved                          | reserved            |
| 31                     | 8                         | reserved                          | reserved            |

Table 27 3GPP TS 38.214 Table 5.1.3.1-2: MCS index table 2 for PDSCH and PUSCH

## CELTIC-Next 6G-SKY project Deliverable 2.1 v1.0

| SINR [dB] | MCS [-] |
|-----------|---------|
| -2        | 0.4     |
| -1        | 1.7     |
| 0         | 3.0     |
| 1         | 4.2     |
| 2         | 5.3     |
| 3         | 6.3     |
| 4         | 7.3     |
| 5         | 8.2     |
| 6         | 9.0     |
| 7         | 9.8     |
| 8         | 10.6    |
| 9         | 11.3    |
| 10        | 12.0    |
| 11        | 12.6    |
| 12        | 13.3    |
| 13        | 13.9    |
| 14        | 14.5    |
| 15        | 15.1    |
| 16        | 15.8    |
| 17        | 16.4    |
| 18        | 17.0    |
| 19        | 17.7    |
| 20        | 18.4    |
| 21        | 19.1    |
| 22        | 19.9    |
| 23        | 20.7    |
| 24        | 21.6    |
| 25        | 22.5    |
| 26        | 23.5    |
| 27        | 24.5    |
| 28        | 25.7    |
| 29        | 26.9    |
| 30        | 28.2    |

Table 28 DL SINR and MCS based on HAPS experimental data

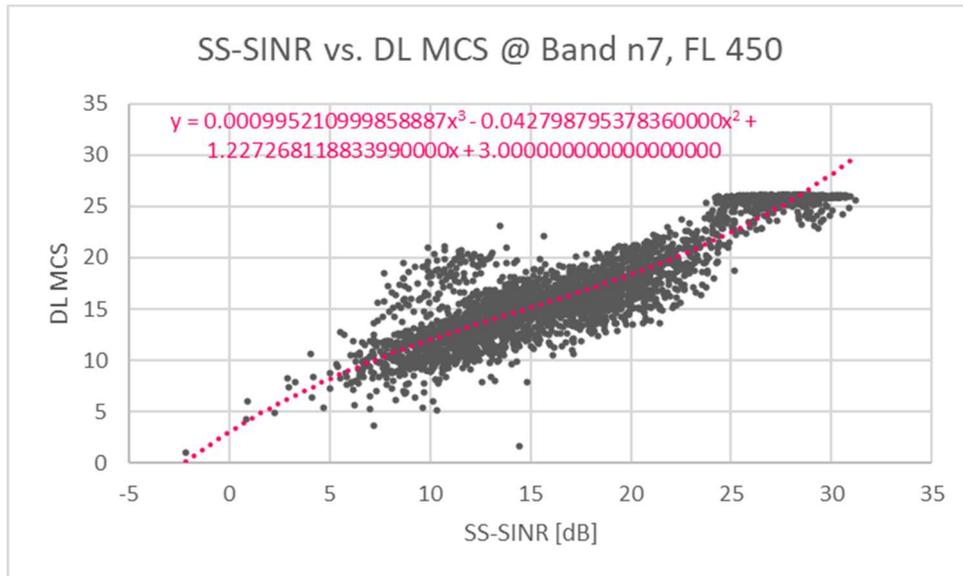


Figure 36 SINR vs DL MCS based on HAPS experimental data

For Uplink throughput estimation, two different sets of log files from field experiments are used for mapping between CL and SNR to UL throughput. These are summarized in Table 29 and Table 30 below. The 100 MHz normalized bandwidth is considered as FDD system, while n78 90 MHz system is TDD (using TDD pattern DDDSU).

CELTIC-Next 6G-SKY project Deliverable 2.1 v1.0

| 5G TN, n7, 4T4R, 20MHz |               | 5G TN, n78, 8T8R, 90MHz |               | Normalized@100MHz BW |
|------------------------|---------------|-------------------------|---------------|----------------------|
| UL SINR                | MovMed RLC UL | UL SINR                 | MovMed RLC UL | MovMed RLC UL        |
| -38                    | 0.8446        | 29                      | 62.7          | 303.1                |
| -36                    | 1.0868        | 27                      | 62.7          | 303.1                |
| -30                    | 1.1894        | 26                      | 62.3          | 301.0                |
| -26                    | 1.2920        | 25                      | 61.7          | 298.1                |
| -24                    | 1.4906        | 24                      | 60.9          | 294.3                |
| -21                    | 1.6891        | 23                      | 60.7          | 293.1                |
| -20                    | 2.0967        | 22                      | 60.3          | 291.1                |
| -18                    | 2.5042        | 21                      | 59.8          | 288.7                |
| -17                    | 2.9612        | 20                      | 56.8          | 274.6                |
| -16                    | 3.4181        | 19                      | 56.1          | 271.2                |
| -15                    | 3.1896        | 18                      | 55.4          | 267.7                |
| -14                    | 3.9459        | 17                      | 53.8          | 260.0                |
| -13                    | 4.7722        | 16                      | 51.7          | 249.7                |
| -12                    | 5.6214        | 15                      | 48.6          | 234.8                |
| -11                    | 6.8244        | 14                      | 46.0          | 222.3                |
| -10                    | 8.8398        | 13                      | 45.2          | 218.2                |
| -9                     | 10.8268       | 12                      | 44.0          | 212.3                |
| -8                     | 11.1861       | 11                      | 39.0          | 188.3                |
| -7                     | 11.6064       | 10                      | 33.5          | 162.0                |
| -6                     | 14.6174       | 9                       | 27.7          | 133.7                |
| -5                     | 18.4994       | 8                       | 22.9          | 110.6                |
| -4                     | 22.8879       | 7                       | 20.1          | 96.9                 |
| -3                     | 25.5265       | 6                       | 19.1          | 92.4                 |
| -2                     | 31.7846       | 5                       | 18.7          | 90.3                 |
| -1                     | 34.4816       | 4                       | 18.7          | 90.5                 |
| 0                      | 36.9378       | 3                       | 18.5          | 89.2                 |
| 1                      | 39.2562       | 2                       | 16.0          | 77.3                 |
| 2                      | 41.3478       | 1                       | 10.0          | 48.3                 |
| 3                      | 42.4496       | 0                       | 10.4          | 50.0                 |
| 4                      | 44.2590       | -1                      | 7.6           | 36.8                 |
| 5                      | 46.6575       | -2                      | 5.3           | 25.8                 |
| 6                      | 48.8109       | -3                      | 3.2           | 15.6                 |
| 7                      | 50.9394       | -4                      | 2.1           | 10.1                 |
| 8                      | 54.1625       | -5                      | 1.7           | 8.0                  |
| 9                      | 56.7388       | -6                      | 1.0           | 4.9                  |
| 10                     | 57.3606       | -7                      | 0.8           | 3.7                  |
| 11                     | 58.4139       | -8                      | 0.7           | 3.3                  |
| 12                     | 63.5936       | -11                     | 0.7           | 3.2                  |
| 13                     | 68.3506       | -12                     | 0.6           | 2.9                  |
| 14                     | 73.7355       | -13                     | 0.5           | 2.3                  |
| 15                     | 76.6831       | -14                     | 0.4           | 1.7                  |
| 16                     | 80.1238       | -15                     | 0.3           | 1.4                  |
| 17                     | 85.2394       | -17                     | 0.2           | 1.0                  |
| 18                     | 87.0796       | -19                     | 0.2           | 1.0                  |
| 19                     | 87.5547       | -23                     | 0.2           | 0.9                  |
| 20                     | 87.7124       | -26                     | 0.2           | 0.9                  |
| 21                     | 87.7183       | -29                     | 0.2           | 0.9                  |
| 22                     | 87.7762       |                         |               |                      |

Table 29 UL Throughput based on UL SINR

CELTIC-Next 6G-SKY project Deliverable 2.1 v1.0

| 5G TN, n7, 4T4R, 20MHz |        |        | 5G TN, n78, 8T8R, 90MHz |        |        | Normalized@100MHz BW |
|------------------------|--------|--------|-------------------------|--------|--------|----------------------|
| CouplingLoss           | MovMed | RLC UL | CouplingLoss            | MovMed | RLC UL | MovMed RLC UL        |
| 90                     | 87.91  |        | 82                      | 62.7   |        | 303.1                |
| 91                     | 87.91  |        | 84                      | 62.7   |        | 303.1                |
| 92                     | 87.91  |        | 88                      | 62.7   |        | 303.1                |
| 93                     | 87.91  |        | 89                      | 62.1   |        | 300.0                |
| 94                     | 87.75  |        | 90                      | 61.4   |        | 296.5                |
| 95                     | 87.36  |        | 91                      | 60.8   |        | 293.7                |
| 96                     | 86.55  |        | 92                      | 60.4   |        | 291.6                |
| 97                     | 84.89  |        | 93                      | 60.0   |        | 289.9                |
| 98                     | 81.39  |        | 94                      | 57.4   |        | 277.5                |
| 99                     | 79.29  |        | 96                      | 56.1   |        | 270.8                |
| 100                    | 76.68  |        | 97                      | 54.4   |        | 262.6                |
| 101                    | 73.98  |        | 98                      | 52.0   |        | 251.3                |
| 102                    | 69.74  |        | 99                      | 49.1   |        | 237.2                |
| 103                    | 64.96  |        | 100                     | 46.4   |        | 224.2                |
| 104                    | 60.07  |        | 101                     | 45.2   |        | 218.3                |
| 105                    | 58.58  |        | 102                     | 44.3   |        | 213.9                |
| 106                    | 57.41  |        | 103                     | 40.6   |        | 196.3                |
| 107                    | 56.84  |        | 104                     | 36.5   |        | 176.1                |
| 108                    | 56.23  |        | 105                     | 32.0   |        | 154.8                |
| 109                    | 55.55  |        | 106                     | 25.6   |        | 123.9                |
| 110                    | 52.05  |        | 107                     | 20.6   |        | 99.8                 |
| 111                    | 49.06  |        | 108                     | 19.5   |        | 94.4                 |
| 112                    | 47.12  |        | 109                     | 18.6   |        | 89.9                 |
| 113                    | 45.13  |        | 110                     | 18.8   |        | 90.8                 |
| 114                    | 43.27  |        | 111                     | 18.9   |        | 91.2                 |
| 115                    | 42.08  |        | 112                     | 17.4   |        | 84.1                 |
| 116                    | 40.78  |        | 113                     | 14.0   |        | 67.8                 |
| 117                    | 39.21  |        | 114                     | 10.3   |        | 49.7                 |
| 118                    | 37.27  |        | 115                     | 11.9   |        | 57.6                 |
| 119                    | 34.27  |        | 116                     | 8.0    |        | 38.4                 |
| 120                    | 30.91  |        | 117                     | 5.1    |        | 24.8                 |
| 121                    | 25.07  |        | 118                     | 3.5    |        | 17.0                 |
| 122                    | 24.03  |        | 119                     | 2.4    |        | 11.5                 |
| 123                    | 20.70  |        | 120                     | 1.8    |        | 8.6                  |
| 124                    | 15.26  |        | 121                     | 1.1    |        | 5.1                  |
| 125                    | 11.64  |        | 122                     | 0.8    |        | 3.9                  |
| 126                    | 11.32  |        | 123                     | 0.8    |        | 3.8                  |
| 127                    | 10.87  |        | 127                     | 0.7    |        | 3.5                  |
| 128                    | 8.98   |        | 128                     | 0.6    |        | 2.9                  |
| 129                    | 6.80   |        | 129                     | 0.4    |        | 2.1                  |
| 130                    | 6.12   |        | 131                     | 0.4    |        | 1.7                  |
| 131                    | 4.54   |        | 132                     | 0.2    |        | 1.2                  |
| 132                    | 4.27   |        | 134                     | 0.2    |        | 1.0                  |
| 133                    | 3.32   |        | 139                     | 0.2    |        | 1.0                  |
| 135                    | 2.63   |        | 141                     | 0.2    |        | 0.9                  |
| 136                    | 2.13   |        |                         |        |        |                      |
| 138                    | 1.76   |        |                         |        |        |                      |
| 140                    | 1.72   |        |                         |        |        |                      |
| 143                    | 1.69   |        |                         |        |        |                      |
| 145                    | 1.49   |        |                         |        |        |                      |
| 146                    | 1.29   |        |                         |        |        |                      |
| 148                    | 1.19   |        |                         |        |        |                      |
| 154                    | 1.09   |        |                         |        |        |                      |
| 157                    | 0.84   |        |                         |        |        |                      |

Table 30 UL Throughput based on Coupling Loss

## 8.4 Link budget worksheets for Base station - airborne UE

The following tables present more details on the calculations for the link between terrestrial base station and airborne UEs.

| Parameter                                | Symbol                        | Unit | 5G     | 6G UAV | 6G eVTOL |
|--|-------------------------------|------|--------|--------|----------|
| <i>System parameters:</i>                |                               |      |        |        |          |
| Carrier frequency                        | Freq                          | GHz  | 3.5    | 10     | 10       |
| Channel Bandwidth                        | BW                            | MHz  | 20.0   | 100.0  | 100.0    |
| UL Bandwidth used for calculations       | BW <sub>eff</sub>             | MHz  | 18.4   | 98.3   | 98.3     |
| <i>Transmitter side:</i>                 |                               |      |        |        |          |
| Transmit power                           | P <sub>out</sub>              | dBm  | 23.0   | 23.0   | 26.0     |
| Pointing losses                          | L <sub>pointingTX</sub>       | dB   | 1.0    | 3.0    | 2.0      |
| <i>Path:</i>                             |                               |      |        |        |          |
| Total TX to RX distance                  |                               | m    | 1525.0 | 1525.0 | 3154.5   |
| Path loss                                | PL                            | dB   | 110.0  | 119.1  | 125.4    |
| Atmospheric loss                         | L <sub>atmos</sub>            | dB   | 0.4    | 1.2    | 1.5      |
| Rain and water evaporation attenuation   | L <sub>rain</sub>             | dB   | 0.0    | 0.3    | 0.7      |
| shadowing/fading margin                  |                               | dB   | 3.0    | 3.0    | 3.0      |
| Total path loss                          | PL <sub>total</sub>           | dB   | 110.4  | 120.7  | 127.6    |
| <i>Receiver side:</i>                    |                               |      |        |        |          |
| Antenna Gain                             | G <sub>RX</sub>               | dBi  | 24.2   | 33.8   | 33.8     |
| Cable loss, line loss, and switch losses | L <sub>implementationRX</sub> | dB   | 2.0    | 2.0    | 2.0      |
| Receive noise factor                     | NF                            | dB   | 5.0    | 7.0    | 7.0      |
| <i>Metrics:</i>                          |                               |      |        |        |          |
| Effective Isotropically Radiated Power   | EIRP                          | dBm  | 22.0   | 20.0   | 24.0     |
| Received signal power                    | S <sub>RX</sub>               | dBm  | -66.2  | -68.9  | -71.8    |
| Total noise power                        | Noise <sub>total</sub>        | dBm  | -96.3  | -87.1  | -87.1    |
| Interference power                       | P <sub>interference</sub>     | dBm  | -94.5  | -85.3  | -85.3    |
| Signal-to-noise ratio                    | SNR                           | dB   | 30.2   | 18.1   | 15.2     |
| Signal-to-Interference-plus-noise ratio  | SINR                          | dB   | 26.1   | 14.1   | 11.2     |

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|  |       |      |       |       |       |
|--|-------|------|-------|-------|-------|
| Capacity   | C     | Mbps | 159.5 | 466.8 | 376.4 |
| Throughput   | Thput | Mbps | 53.7  | 186.7 | 150.6 |
| <b><u>Base station antenna gain calculation:</u></b> |       |      |       |       |       |
| Antenna element gain                                 |       | dBi  | 6.2   | 6.2   | 6.2   |
| subarray gain  |       | dB   | 3.0   | 7.8   | 7.8   |
| array gain   |       | dB   | 15.1  | 19.8  | 19.8  |
| Antenna Gain   | G_RX  | dBi  | 24.2  | 33.8  | 33.8  |
| <b><u>Requirements</u></b>                           |       |      |       |       |       |
| User-experienced bitrate (requirement)               |       | Mbps | 25    | 120   | 120   |

Table 31 Analysis of uplink for the link from airborne UE to terrestrial base station

| Parameter                                  | Symbol                        | Unit | 5G     | 6G UAV | 6G eVTOL |
|--|-------------------------------|------|--------|--------|----------|
| <b><u>System parameters:</u></b>           |                               |      |        |        |          |
| Carrier frequency                          | Freq                          | GHz  | 3.5    | 10     | 10       |
| Channel Bandwidth                          | BW                            | MHz  | 20.0   | 100.0  | 100.0    |
| DL Bandwidth used for calculations         | BW <sub>eff</sub>             | MHz  | 18.4   | 98.3   | 98.3     |
| <b><u>Transmitter side:</u></b>            |                               |      |        |        |          |
| Transmit power                             | P <sub>out</sub>              | dBm  | 49.0   | 53.0   | 53.0     |
| Antenna Gain                               | G <sub>TX</sub>               | dBi  | 24.2   | 33.8   | 33.8     |
| Cable loss, line losses, and switch losses | L <sub>implementationTX</sub> | dB   | 2.0    | 2.0    | 2.0      |
| Pointing losses                            | L <sub>pointingTX</sub>       | dB   | 1.0    | 3.0    | 2.0      |
| <b><u>Path:</u></b>                        |                               |      |        |        |          |
| Total TX to RX distance                    |                               | m    | 1525.0 | 1525.0 | 3154.5   |
| Path loss                                  | PL                            | dB   | 110.0  | 119.1  | 125.4    |
| Atmospheric loss                           | L <sub>atmos</sub>            | dB   | 0.4    | 1.2    | 1.5      |
| Rain and water evaporation attenuation     | L <sub>rain</sub>             | dB   | 0.0    | 0.3    | 0.7      |
| shadowing/fading margin                    |                               | dB   | 3.0    | 3.0    | 3.0      |
| Total path loss                            | PL <sub>total</sub>           | dB   | 110.4  | 120.7  | 127.6    |
| <b><u>Receiver side:</u></b>               |                               |      |        |        |          |
| Receive noise factor                       | Nf                            | dB   | 9.0    | 13.0   | 13.0     |
| <b><u>Metrics:</u></b>                     |                               |      |        |        |          |

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|   |                |      |       |        |       |
|---|----------------|------|-------|--------|-------|
| Effective Isotropically Radiated Power  | EIRP           | dBm  | 70.2  | 81.8   | 82.8  |
| Received signal power                   | S_RX           | dBm  | -40.2 | -38.9  | -44.8 |
| Total noise power                       | Noise_total    | dBm  | -92.3 | -81.1  | -81.1 |
| Interference power                      | P_interference | dBm  | -87.6 | -76.3  | -76.3 |
| Signal-to-noise ratio                   | SNR            | dB   | 52.2  | 42.1   | 36.2  |
| Signal-to-Interference-plus-noise ratio | SINR           | dB   | 46.1  | 36.1   | 30.2  |
| Capacity                                | C              | Mbps | 281.4 | 1179.6 | 986.4 |
| Throughput                              | Thput          | Mbps | 109.8 | 587.7  | 587.7 |
| <b><u>Requirements</u></b>              |                |      |       |        |       |
| User-experienced bitrate (requirement)  |                | Mbps | 50    | 100    | 100   |

Table 32 Analysis of downlink for the link from terrestrial base station to airborne UE